

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SEIBERT, JAMES E.	2. Court or Organization US DISTRICT COURT, NDWV	3. Date of Report 05/04/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US MAGISTRATE JUDGE; FULL TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 1125 CHAPLINE STREET WHEELING, WV 26003		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. POWER OF ATTORNEY	CHECKING ACCOUNT
2. ADVISORY COMMITTEE	WHEELING AUTO CLUB FUND (Community Foundation of the Ohio Valley)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

SEIBERT, JAMES E.

Date of Report

05/04/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

SELF EMPLOYED REAL ESTATE AGENT; Independent Contractor Realtor

2. 2015

FIRST CHOICE REAL ESTATE, LLC; Owner

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

SEIBERT, JAMES E.

Date of Report

05/04/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	UNITED BANK	JDS INVESTMENTS, LLC; Guarantor of Note Secured by Deed of Trust; Property #1	K
2.	UNITED BANK	JDS INVESTMENTS, LLC; Guarantor of Note Secured by Deed of Trust; Property #2	K
3.	NML INSURANCE COMPANY	LOANS AGAINST WHOLE LIFE INSURANCE POLICIES	K
4.	GUARDIAN INSURANCE COMPANY	LOANS AGAINST WHOLE LIFE INSURANCE POLICIES	J
5.	SUN LIFE INSURANCE COMPANY	LOANS AGAINST WHOLE LIFE INSURANCE POLICIES	J
6.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

SEIBERT, JAMES E.

Date of Report

05/04/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MAIN STREET BANK - ACCOUNT #1	A	Interest	L	T					
2. MAIN STREET BANK - ACCOUNT #2 (X)	A	Interest	J	T					
3. UNITED BANK - ACCOUNT #1	A	Interest	J	T					
4. UNITED BANK - ACCOUNT #2	A	Interest	J	T					
5. UNITED BANK -ACCOUNT #3 (X)	A	Interest	J	T					
6. MAIN STREET BANK - CERTIFICATE OF DEPOSIT	A	Interest	J	T					
7. MAIN STREET BANK - COMMON STOCK	A	Dividend	J	T					
8. SUN LIFE FINANCIAL- COMMON STOCK	A	Dividend	J	T					
9. LEWIS COUNTY, WV - GAS ROYALTY INTEREST	A	Royalty	J	W					
10. DIRECT ONLINE MARKETING - NOTE RECEIVABLE	A	Interest	M	T					
11. WHEELING, WV COMMERCIAL RENTAL (Benwood, WV)	B	Rent	J	W					
12. JDS INVESTMENTS, LLC - RENTAL PROPERTY #1 (Ohio County, WV)	D	Rent	M	U					
13. JDS INVESTMENTS, LLC - RENTAL PROPERTY #2 (Ohio County, WV)	D	Rent	M	W					
14. JDS INVESTMENTS, LLC - RENTAL PROPERTY #2 (Ohio County, WV)	B	Royalty	J	W					
15. FIRST CHOICE REAL ESTATE	A	Interest	K	T					
16. KJT, LLC - RENTAL PROPERTY #1 (Wellsburg, WV)	A	Rent	J	U					
17. SUN LIFE ASSURANCE - WHOLE LIFE POLICY	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

SEIBERT, JAMES E.

Date of Report

05/04/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. GUARDIAN - WHOLE LIFE POLICY #1+	A	Dividend	J	T					
19. GUARDIAN - WHOLE LIFE POLICY #2	A	Dividend	J	T					
20. NML - WHOLE LIFE POLICY #1	A	Dividend	K	T					
21. NML - WHOLE LIFE POLICY #2	A	Dividend	K	T					
22. NML - WHOLE LIFE POLICY #3	A	Dividend	K	T					
23. NML - WHOLE LIFE POLICY #4	B	Royalty	K	T					
24. NML - WHOLE LIFE POLICY #5	A	Dividend	J	T					
25. NML - WHOLE LIFE POLICY #6	A	Dividend	J	T					
26. NML - WHOLE LIFE POLICY #7	A	Dividend	J	T					
27. NML - WHOLE LIFE POLICY #8	A	Dividend	J	T					
28. SUMMIT BROKERAGE SEP - Header Line	A	Int./Div.							
29. SUMMIT BROKERAGE SEP - Money Market		None	J	T					
30. SUMMIT BROKERAGE SEP - BP Common Stock		None	J	T					
31. SUMMIT BROKERAGE SEP - RSTK Main Street Common Stock		None	J	T					
32. SUMMIT BROKERAGE SEP - American Growth & Income Mutual Fund		None	L	T					
33. SUMMIT BROKERAGE SEP - American Growth Mutual Fund		None	L	T					
34. SUMMIT BROKERAGE SEP - American Balanced Mutual Fund		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

SEIBERT, JAMES E.

Date of Report

05/04/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. PRUDENTIAL APEX ANNUITY - Header	A	None							
36. PRUDENTIAL APEX ANNUITY - TRowe Price Large Cap Growth		None	K	T					
37. PRUDENTIAL APEX ANNUITY - Small Cap Growth Opportunity		None	K	T					
38. PRUDENTIAL APEX ANNUITY - Goldman Sachs Mid Cap Growth		None	K	T					
39. PRUDENTIAL APEX ANNUITY - Goldman Sachs Small Cap Value		None	K	T					
40. PRUDENTIAL APEX ANNUITY - Small Cap Growth		None	K	T					
41. PRUDENTIAL APEX ANNUITY - MFS Global Equity		None	K	T					
42. PRUDENTIAL APEX ANNUITY - BlackRock Loomis Sayles		None	K	T					
43. PRUDENTIAL APEX ANNUITY - Western Asset Core Plus Bond		None	K	T					
44. GOLD FR ROOSTER		None	J	W					
45. SILVER EAGLE		None	J	W					
46. PEREGRINE PHARMACEUTICALS - Common Stock		None	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

SEIBERT, JAMES E.

Date of Report

05/04/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

GENERAL: Items are reported in a different order than in the prior year

GENERAL: Item #5 and 6 on the 2014 report erroneously. In the prior year the Spouse changed financial advisors and the new accounts are listed in Items # 28-33

ITEM #11 - This commercial real estate was sold prior to 12/31/2015. It has been included because it was owned a portion of the 2015 year.

ITEM #13 - Property is located in Wheeling, WV (This is family owned real estate)

ITEM #14 - Property is located in Triadelphia, WV (This is family owned real estate)

ITEMS #28 - 34 - The income earned during 2015 for the SEP Retirement Account was reported by the broker in total & is reported on Line #28 There were no buy or sale transactions.

ITEMS #35 - 42 - This item was previously reported as one line item without breaking out the underlying assets. The income earned during 2015 for the Annuity was reported by the broker in total and is reported on Line #35. There were no buy or sale transactions.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

SEIBERT, JAMES E.

Date of Report

05/04/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ JAMES E. SEIBERT**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544