

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ramos, Edgardo	2. Court or Organization United States District Court, Southern District of New York	3. Date of Report 09/28/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2015 to 12/31/2015
5b. ☒ Amended Report		
7. Chambers or Office Address Thurgood Marshall United States Courthouse 40 Foley Square Suite 410 New York, New York 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member		Executive Committee of the New York City Bar Association
2. Co-Chair		Latino Alumni Committee of the Harvard Law School Association
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Ramos, Edgardo

Date of Report

09/28/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015 _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

Ramos, Edgardo

Date of Report

09/28/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Citibank	Revolving line of credit.	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

Ramos, Edgardo

Date of Report

09/28/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. -JP Morgan Chase Checking and Savings accounts	A	Interest	J	T					
2. -People's Bank Checking and Savings accounts	A	Interest	K	T					
3. -Bank of America Checking and Savings accounts	A	Interest	K	T					
4. -Schwab Retirement Account									
5. -T. Rowe Price Retirement 2025 (X)	A	Dividend	N	T					
6. TIAA CREF Retirement Accounts									
7. - TIAA Traditional	D	Dividend	M	T					
8. - CREF Stock	A	Dividend	M	T					
9. - CREF Equity Index	A	Dividend	K	T					
10. - CREF Global Equities	A	Dividend	K	T					
11. --CREF Bond Market	A	Dividend	K	T					
12. - CREF Inflation-Linked Bond	A	Dividend	J	T					
13. - CREF Money Market	A	Dividend	J	T					
14. Fidelity Retirement Account									
15. - Fidelity Contrafund	B	Dividend	K	T					
16. - Fidelity Intermediate Government Income fund	A	Dividend	J	T					
17. -Fidelity Retirement Government Money Market f/k/a Fidelity Money Mark	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

Name of Person Reporting

Ramos, Edgardo

Date of Report

09/28/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -JPMCB SR 2030 C	A	Dividend	K	T					
19. JPM Smartretire 2025	A	Dividend	J	T	Buy	09/15/15	J		
20.					Buy (add'l)	09/30/15	J		
21.					Buy (add'l)	10/15/15	J		
22.					Buy (add'l)	10/31/15	J		
23.					Buy (add'l)	11/15/15	J		
24. - Harbor International Instl CL	A	Dividend	J	T					
25. -Kalmar Growth-with value small cap	A	Dividend			Sold	01/31/15	J		
26. - Lazard/IBT Capital Allocator Balanced Portfolio	A	Dividend	M	T					
27. -MIP II CL 2	E	Dividend	M	T					
28. - PIMCO Total Return Inst CL	A	Dividend			Sold	01/31/15	K		
29. - Rainier Small Mid Cap Equity Instl CL	A	Dividend	K	T					
30. FID 500 Index Inst f/k/a Spartan 500 Index-Investor Class	A	Dividend	L	T					
31. -TRP Equity Income f/k/a T. Row Price Equity Income SHS	A	Dividend	K	T					
32. - Third Avenue Real Estate Value	A	Dividend	J	T					
33. -DFA US Small Cap I	A	Dividend	J	T	Buy	01/31/15	J		
34. WT CIF II CR PL BD I	A	Dividend	K	T	Buy	01/31/15	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other	W = Estimated		
	U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 6 of 13

Name of Person Reporting

Ramos, Edgardo

Date of Report

09/28/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -CT Higher Education Trust Moderate Managed Allocation		None	M	T	Distributed (part)	06/23/15	K		
36.					Distributed (part)	7/20/15	K		
37.					Distributed	09/21/15	M		
38.					Distributed (part)	12/08/15	K		
39.					Buy (add'l)	01/09/15	J		
40.					Buy (add'l)	01/09/15	J		
41.					Buy (add'l)	01/21/15	J		
42.					Buy (add'l)	01/21/15	J		
43. -CT Higher Education Trust Moderate Managed Allocation		None	M	T	Buy	09/21/15	M		
44.					Distributed (part)	12/10/15	K		
45. -Vanguard U.S. Growth Fund UTMA	A	Dividend	L	T	Distributed (part)	03/18/15	J		
46.					Distributed (part)	04/14/15	J		
47.					Distributed (part)	04/15/15	J		
48. Brokerage Account # 1									
49. - ML Bank Deposit Program f/k/a Merrill Lynch Money Market	A	Int./Div.	K	T					
50. -Abbot Labs	A	Dividend	J	T					
51. -Abbvie	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 13

Name of Person Reporting

Ramos, Edgardo

Date of Report

09/28/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -ConAgra Foods	A	Dividend	J	T					
53. -Dupont E.I. De Nemours	A	Dividend	J	T					
54. -General Electric	A	Dividend	J	T					
55. -GlaxoSmithKline PLC ADR	A	Dividend	J	T					
56. -Hospira		None			Sold	09/03/15	J	B	
57. -Pfizer	A	Dividend	J	T					
58. -Proctor & Gamble	A	Dividend	J	T					
59. Brokerage Account # 2									
60. -Federated Capital Reserves Money Market	A	Dividend	M	T					
61. -Escrow-AMR Corp f/k/a American Airls Group Inc		None			Distributed	03/02/15	J	A	
62. -AT&T	B	Dividend	K	T					
63. -Abbott Labs	A	Dividend	K	T					
64. -Abbvie	B	Dividend	K	T					
65. -Alcoa Inc.	A	Dividend	J	T					
66. -Allstate Corp.	A	Dividend	J	T					
67. American Airlines Group (X)	A	Dividend	J	T					
68. -Amerisource Bergen	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

Ramos, Edgardo

Date of Report

09/28/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -Amgen Inc.	A	Dividend	K	T					
70. -BP PLC Spons ADR	A	Dividend	J	T					
71. -BT Group PLC ADT	A	Dividend	J	T					
72. -Boeing Co Com	A	Dividend	J	T					
73. -Boston Scientific Corp			J	T					
74. -CBS Corp. CL B Com	A	Dividend	J	T					
75. -Cigna Corp.	A	Dividend	K	T					
76. -Campbell Soup Co	A	Dividend	J	T					
77. -Caterpillar Inc.	A	Dividend	K	T					
78. -Chevron Corp New Com	A	Dividend	K	T					
79. -Clorox Co Com	A	Dividend	K	T					
80. -Coach Inc. Com	A	Dividend	J	T					
81. -Coca Cola Company	A	Dividend	J	T					
82. -Comcast Corp CL A	A	Dividend	J	T					
83. -ConAgra Foods Inc. Com	A	Dividend	J	T					
84. -Conoco Phillips Com	A	Dividend	J	T					
85. -Disney Walt Co Disney Com	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 13

Name of Person Reporting

Ramos, Edgardo

Date of Report

09/28/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. -Discover Finl Svcs Inc.	A	Dividend	J	T					
87. -DirectTV Com CL A		None			Sold	07/27/15	J	B	
88. -Dow Chem Co	A	Dividend	J	T					
89. -Eastman Chem Co Com	A	Dividend	J	T					
90. -Exxon Mobil Corp Com	A	Dividend	K	T					
91. -Ford Motor Co Del Com Par	A	Dividend	J	T					
92. -Freeport McMoran Copper & Gold Inc.	A	Dividend	J	T					
93. Frontier Communications Corp	A	Dividend	J	T					
94. -General Electric Co Com	A	Dividend	K	T					
95. -GlaxoSmithKline PLC Spous ADR	A	Dividend	J	T					
96. -Hanesbrands	A	Dividend	J	T					
97. -Hershey Co Com	A	Dividend	J	T					
98. -Hillshire Brands Co Com	A	Dividend							
99. -Hospira Inc. Com		None			Sold	09/03/15	J	D	
100. -Ingredion Inc	A	Dividend	J	T					
101. -Intel Corp Com	A	Dividend	K	T					
102. -IBM Corp	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 13

Name of Person Reporting

Ramos, Edgardo

Date of Report

09/28/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

103. -International Paper Co	A	Dividend	J	T					
104. -JP Morgan Chase & Co Com	A	Dividend	J	T					
105. -Kellogg Co	A	Dividend	J	T					
106. -Lifepoint Hosps Inc. Com		None	J	T					
107. -Merck & Co Inc. New Com	A	Dividend	J	T					
108. -Morgan Stanley Com New	A	Dividend	J	T					
109. -NCR Corp Com		None	J	T					
110. -Newell Rubbermaid Inc. Com	A	Dividend	J	T					
111. -News Corp CL A	A	Dividend	J	T					
112. -OFG Bank Corp f/k/a Oriental Financial Group Inc. com	A	Dividend	J	T					
113. -Pepsico Inc.	A	Dividend	J	T					
114. -Pfizer Inc. Com	B	Dividend	K	T					
115. -Phillips 66	A	Dividend	J	T					
116. -Praxair Inc.	A	Dividend	K	T					
117. -Raytheon Co New Com	A	Dividend	J	T					
118. -Smucker J M Co Com New	A	Dividend	J	T					
119. -Target Corp	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 13

Name of Person Reporting

Ramos, Edgardo

Date of Report

09/28/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Teradata Corp Del Com		None	J	T					
121. -3M Co Com	A	Dividend	J	T					
122. -Time Warner Inc. New Com New	A	Dividend	J	T					
123. -Time Warner Cable Inc. Com	A	Dividend	J	T					
124. -Travelers Cos Inc. Com	A	Dividend	J	T					
125. -Twenty-First Century Fox	A	Dividend	J	T					
126. -Verizon Communications Com	A	Dividend	J	T					
127. -Viacom Inc. CL B Com New	A	Dividend	J	T					
128. -Vodafone Group PLC Spon ADR New	B	Dividend	J	T					
129. -Yum Brands Inc. Com	A	Dividend	J	T					
130. -Public Storage Common Stock (REIT)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Ramos, Edgardo

Date of Report

09/28/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Escrow AMR Corp under the merged company with US Airways Group distributed shares of American Airlines Group.
T. Rowe Price Retirement 2025 was inadvertently omitted

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Ramos, Edgardo

Date of Report

09/28/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Edgardo Ramos**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544