

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Grandy, Laura K.	2. Court or Organization Bankruptcy Court - Southern Illinois	3. Date of Report 08/29/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Melvin Price Federal Building and United States Courthouse 750 Missouri Avenue East St. Louis, IL 62201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 3/02/2010	Mathis Marifian & Richter LTD See Note in Part VIII
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 19

Name of Person Reporting

Grandy, Laura K.

Date of Report

08/29/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Mathis Marifian & Richter LTD	\$45,999.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	10/23/2012 - 10/26/2012	San Diego, CA	Professional Organization Activity	Lodging
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 19

Name of Person Reporting

Grandy, Laura K.

Date of Report

08/29/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of Springfield	Note Payable (Co-signer on Debt)	K
2.	FCB - Swansea	Mortgage on building owned by MMRG Building LLC	K
3.	First Bank	Mortgage - Mc Fessel Property	K
4.	Bank of Springfield	Mortgage - Pineywood Property	K
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 19

Name of Person Reporting

Grandy, Laura K.

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08/29/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northwestern Mutual Variable Annuity	B	Dividend	L	T					
2. Northwestern Mutual 65 Life Insurance Policy	C	Dividend	L	T					
3. Northwestern Mutual Variable CompLife Insurance Policy	B	Dividend	L	T					
4. Northwestern Mutual 65 Life Insurance Policy	B	Dividend	L	T					
5. Raymond James Morgan Keegan Trust U/A DTD 7/02/98									
6. - Cash/Money Market	A	Int./Div.	K	T					See Note Part VIII
7. - Apple Inc (AAPL)		None	L	T	Buy	06/27/12	L		
8.		None	K	T	Buy (add'l)	08/27/12	K		
9. - First Eagle Global Fund A (SGENX)	C	Dividend	M	T	Sold (part)	01/04/12	K	A	
10. - First Eagle US Value Fund A (FEVAX)	B	Dividend	L	T	Buy	01/04/12	K		
11. -Federated Kaufmann Large Cap C (KLCCX)	B	Dividend	L	T					
12. -Franklin Gold and Prec Metals C (FRGOX)					Sold	01/05/12	K	A	
13. - Freeport McMoran Copper and Gold Class B (FCX)		None	J	T	Buy	06/22/12	J		
14. - FT Capital Strength Ser 14 Cash	A	Interest	K	T	Buy	01/05/12	K		
15. -Hartford Global All Asset Fund C (HLACX)					Sold	08/21/12	L	A	
16. -Ivy Asset Strategy Fund CL A (WASAX)					Sold	01/04/12	K	A	
17. - Ivy Core Equity Fund (WCEAX)	A	Dividend	K	T	Buy	01/04/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 19

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Grandy, Laura K.

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18. - Lululemon Athletica Inc (LULU)		None			Buy	06/27/12	J		
19.					Sold	09/14/12	J	A	
20. - Nuance Communications Inc (NUAN)		None	J	T	Buy	06/27/12	J		
21. Raymond James Morgan Keegan									See Note part VIII
22. - Apple Inc (AAPL)	B	Dividend	L	T					
23. - Federated Kaufmann Large Cap A (KLCAX)	B	Dividend	L	T					
24. - First Eagle Global Fund A (SGENX)	B	Dividend	K	T					
25. - American Funds New Perspective A (ANWPX)	B	Dividend	L	T					
26. - Ivy Global Natural Resources A (IGNAX)					Sold	08/21/12	K	C	
27. - Cash/Money Market	A	Interest	J	T					See note in Part VIII
28. -Transamerica Asset Allocation Moderate Growth A (IMLAX)					Sold	08/21/12	K	B	
29. -Ivy Asset Strategy Fund CL A (SGENX)					Sold	08/21/12	K	B	
30. - Riverfront Long Term Growth (RLTCX)	A	Dividend	K	T	Buy	08/17/12	K		
31. - First Eagle Global Fund A (SGENX)	B	Dividend	K	T	Buy	08/21/12	K		
32. - First Trust Dow Jones Internet (FDN)		None	J	T	Buy	08/21/12	J		
33. Regions FDIC (Y)									See note in Part VIII
34. - First Trust S&P REIT Index Fd (FRI)	A	Dividend	J	T	Buy	08/21/12	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 19

Name of Person Reporting

Grandy, Laura K.

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. - First Trust CNSMR Disc Alpha (FXD)	A	Dividend	J	T	Buy	08/21/12	J		
36. - First Trust Consumer Staples (FXG)	A	Dividend	J	T	Buy	08/21/12	J		
37. - First Trust Health Care Alpha (FXH)	A	Dividend	J	T	Buy	08/21/12	J		
38. -Dodge & Coxx Income (DODIX)	A	Dividend	J	T	Sold (part)	10/10/12	J	A	
39. -Invesco International Growth A (AIHGX)					Sold	10/11/12	J	A	
40. -Blackrock US Opportunities Institutional Class (BMCIX)					Sold	10/11/12	J	A	
41. -DGHM All Cap Value Investor (DGHMX)	A	Dividend			Sold	10/10/12	J	B	
42. -Federated Strategic Value IS (SVAIX)	A	Dividend			Sold	10/10/12	J	B	
43. -Principal Inv Largecap Growth Instit I (PGLIX)	A	Dividend			Sold	10/10/12	J	B	
44. -Thornburg International Value Fund Class I (TGVIX)	A	Dividend			Sold	10/10/12	J	A	
45. -FPA Cresnet Fund (FPACCX)	A	Dividend			Sold	10/10/12	J	A	
46. -Hatteras Long Short Debt I (HFINX)					Sold	10/10/12	J	A	
47. -Hatteras Long Short Equity I (HLSIX)					Sold	10/10/12	J	A	
48. -Ivy Asset Strategy Fund CL A (WASAX)	A	Dividend			Sold	10/10/12	J	A	
49. -Mutual Hedge Frontier Legends I (MHFIX)	A	Dividend			Sold	10/10/12	J	A	
50. -Osterweis Strategic Income (OSTIX)	A	Dividend			Sold	10/10/12	J	A	
51. - American Funds Europacific (AEGFX)	A	Dividend	J	T	Buy	10/11/12	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 19

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52. - AF American Mutual F2 (AMRFX)	A	Dividend	J	T	Buy	10/11/12	J		
53. - Artisan International (ARTIX)	A	Dividend	J	T	Buy	10/11/12	J		
54. - Champlain Mid Cap Fund (CIPMX)	A	Dividend	J	T	Buy	10/11/12	J		
55. - Perkins Mid Cap Value A (JDPAX)	A	Dividend	J	T	Buy	10/11/12	J		
56. - JP Morgan US Large Cap Core Plus (JLPSX)	A	Dividend	J	T	Buy	10/11/12	J		
57. - MFS Research Fund Class A (MFRFX)	A	Dividend	J	T	Buy	10/11/12	J		
58. - Nuveen Winslow Large Cap Growth I (NULIX)		None	J	T	Buy	10/11/12	J		
59. - Trowe Price Smallcap Value Adv (PASVX)	A	Dividend	J	T	Buy	10/11/12	J		
60. - Pimco Commodity Real Return (PCRFX)	A	Dividend	J	T	Buy	10/11/12	J		
61. - JP Morgan Core Bond Fund A (PGBOX)	A	Dividend	J	T	Buy	10/11/12	J		
62. - Pimco Total Return Fund P (PTTPX)	A	Dividend	J	T	Buy	10/11/12	J		
63. - Legg Mason Clearbridge Equity (SOPAX)	A	Dividend	J	T	Buy	10/11/12	J		
64. - Templeton Global Bond Fund (TGBAX)	A	Dividend	J	T	Buy	10/11/12	J		
65. - Wells Fargo Advantage Int. (WFVDX)	A	Dividend	J	T	Buy	10/11/12	J		
66. - AQR Diversified Arbitrage N (ADANX)	A	Dividend	J	T	Buy	10/11/12	J		
67. - Neuberger Berman Income Bond (NHIAX)	A	Dividend	J	T	Buy	10/15/12	J		
68. - iShares GS Investop Corp Bond (LQD)	A	Dividend	J	T	Buy (add'l)	03/09/12	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 19

Name of Person Reporting

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Date of Report

08/29/2013

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69. -First Trust Amex Biotech Index Fund (FBT)					Sold (part)	03/09/12	J	A	
70.					Sold	09/25/12	J	A	
71. -First Trust Large Cap Core Alpha Dex Fund (FEX)	A	Dividend	J	T	Sold (part)	03/09/12	J	A	
72. -Ishares MSCI Chile Index Fund (ECH)	A	Dividend			Sold (part)	03/09/12	J	A	
73.					Sold	09/25/12	J	A	
74. -Ishares MSCI South Korea (EWY)					Sold (part)	03/09/12	J	A	
75.					Sold	09/25/12	J	A	
76. -Ishares MSCI Japan Ind Fund (EWJ)					Sold	03/09/12	J	A	
77. -Ishares Trust S&P Fund Index (IVV)	A	Dividend			Sold (part)	03/09/12	J	A	
78.					Sold	09/25/12	J	A	
79. -Ishares Technology Sector IDX Trust Dow Jones US Fund (IYW)	A	Dividend			Sold (part)	03/09/12	J	A	
80.					Sold	12/11/12	J	A	
81. -Ishares MSCI Indus Invstbl (EIDO)					Sold	03/09/12	J	A	
82. -Rydex S&P Pure Growth (RPG)					Sold	03/09/12	J	A	
83. -SPDR SE TR SPDR S&P Retail ETF (XRT)	A	Dividend	J	T	Sold (part)	03/09/12	J	A	
84. -Vanguard Emerging Markets (VWO)	A	Dividend			Sold (part)	03/09/12	J	A	
85.					Sold	09/25/12	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 9 of 19

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86. -Vanguard Midcap Growth (VOT)	A	Dividend	J	T	Sold (part)	03/09/12	J	A	
87. -Ishares TR DJ US Real Estate Index Fund (IYR)	A	Dividend			Sold (part)	03/09/12	J	A	
88.					Sold	12/11/12	J	A	
89. - Ishares Morningstar Large Growth (JKE)	A	Dividend	J	T	Buy	03/09/12	J		
90. - Ishares TR Russell 3000 Growth (IWZ)	A	Dividend			Buy	03/09/12	J		
91.					Sold	09/25/12	J	A	
92. - Greenhaven Continous Commodity (GCC)	A	Int./Div.	J	T	Buy	03/09/12	J		
93. - SPDR Barclays Cap Conv Bond (CWD)	A	Dividend			Buy	03/09/12	J		
94.					Sold	09/25/12	J	A	
95. - Vanguard FTSE All World Exchange (VEU)	A	Dividend	J	T	Buy	03/09/12	J		
96.			J	T	Buy (add'l)	09/25/12	J		
97. - SPDR S&P Homebuilders (XHB)	A	Dividend	J	T	Buy	03/09/12	J		
98.					Sold (part)	09/25/12	J	A	
99. - Powershares Build American Bond (BAB)	A	Dividend	J	T	Buy	09/25/12	J		
100. - Powershares DYN Lg Cap (PWV)	A	Dividend	J	T	Buy	09/25/12	J		
101. - SPDR Barclays Captl Sht Trm (SJNK)	A	Dividend	J	T	Buy	09/25/12	J		
102. - Vanguard European (VGK)	A	Dividend	J	T	Buy	09/25/12	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 19

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. - SPDR S&P Pharmaceuticals (XPH)	A	Dividend	J	T	Buy	09/25/12	J		
104. - Technology Select Sec SPDR (XLK)		None	J	T	Buy	12/11/12	J		
105. Raymond James Morgan Keegan									
106. - Cash/Money Market	A	Interest	J	T					
107. - Blackrock Global A (MDLOX)	A	Dividend	J	T					
108. - Blackrock Global B (MBLOX)	A	Dividend	K	T					
109. -Loomis Sayles Income Fund Cl A (NEFZX)	A	Dividend	K	T					
110. -Lord Abbett Bond (LBNDX)	A	Dividend	J	T					
111. Mike & Cheryl Faust, personal loan - note receivable	E	Distribution			Sold	11/01/12	K		See Note in Part VIII
112. [REDACTED] (2001 \$110,000)		None	M	R					See Note in Part VIII
113. [REDACTED], Fairview Heights, IL (2005, \$55,000)		None	L	R					See Note in Part VIII
114. [REDACTED], Belleville, Illinois (2003, \$370,000)	F	Rent	N	R					
115. Merrill Lynch									
116. - Cash/Money Market	A	Interest	J	T					
117. - FIA Card Services Money Market Account	A	Interest	K	T					
118. -Apple Inc (AAPL)	A	Dividend	J	T	Sold (part)	02/15/12	J	A	
119. - Altria Group Inc (MO)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 ~\$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 ~More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 19

Name of Person Reporting

Grandy, Laura K.

Date of Report

08/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Ares Capital Corp (ARCC)	C	Dividend	L	T					
121. - American Tower Reit Inc (AMT)	A	Dividend	J	T	Buy	10/15/12	J		
122. -Diageo PLC SPSD ADR NEW (DEO)	A	Dividend	J	T					
123. - Atlas Pipeline Prturs LP (APL)	A	Int./Div.	J	T	Buy	08/09/12	J		See Note in Part VIII
124.					Buy (add'l)	08/20/12	J		
125. - CenturyLink Inc Shs (CTL)	A	Dividend	J	T	Buy	08/09/12	J		
126. - Comcast Corp New CL A (CMCSA)	A	Dividend	J	T	Buy	02/17/12	J		
127. - Consellation Brands Inc (STZ)		None	J	T	Buy	12/20/12	J		
128. - Energy Transfer Ptns LP (ETP)	B	Dividend	K	T					
129. - Express Scripts Inc COM (ESRX)	A	Dividend	K	T					
130. -FRAC Southern Union Co	A	Distribution			Distributed	01/01/12	J	A	
131. -Franklin Temp Hard Curr (ICCHHX)		None			Sold	08/22/12	J	A	
132. -Health Care Reit Inc Com (HCN)	A	Dividend	J	T	Sold (part)	08/20/12	J	B	
133. -INTL Business Machines (IBM)	A	Dividend			Sold (part)	10/17/12	J	A	
134.					Sold	10/22/12	J	A	
135. - Kansas City Southern (KSU)	A	Dividend			Sold	03/12/12	J	C	
136. - Kinder Morgan Energy (KMP)	B	Dividend	J	T	Sold (part)	01/06/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 19

Name of Person Reporting

Grandy, Laura K.

Date of Report

08/29/2013

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137.					Sold (part)	06/28/12	J	A	
138. -Kraft Foods Inc VA CL A (KFT)	A	Dividend	J	T					
139. -Market Vectors ETF Gold Miners ETF (GDX)		None			Sold	06/08/12	J	C	
140. -Market Vectors ETF GLD (GDX)		None			Sold	03/23/12	K	A	
141. -Minefinders CP LTD COM (MFN)	A	Dividend			Sold (part)	01/23/12	J	A	
142.					Sold	01/24/12	J	A	
143. -Oracle Corp (ORCL)	A	Dividend			Sold	03/12/12	J	A	
144. -Rackspace Hosting Inc (RAX)	A	Dividend			Sold (part)	02/15/12	J	A	
145.					Sold (part)	10/25/12	J	A	
146.					Sold	11/08/12	J	A	
147. -TCW Emerging (TGEIX)	B	Dividend	K	T					
148. -Windstream Corp (WIN)	A	Dividend			Sold (part)	08/09/12	J	A	
149.					Sold (part)	08/20/12	J	A	
150.					Sold	08/22/12	J	A	
151. - Mondelez International Inc (MDLZ)	A	Dividend	J	T	Buy	07/17/12	J		
152. - Orexigen Therap Inc ((OREX)		None	J	T	Buy	03/12/12	J		
153.					Buy (add'l)	07/02/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 19

Name of Person Reporting

Grandy, Laura K.

Date of Report

08/29/2013

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154. - Qualcomm Inc (QCOM)	A	Dividend	J	T	Buy	03/23/12	J		
155.					Buy (add'l)	07/19/12	J		
156.					Buy (add'l)	11/21/12	J		
157. - Sirius XM Radio Inc (SIRI)		None	J	T	Buy	10/03/12	J		
158. - Ventas Inc (VTR)		None	J	T	Buy	12/12/12	J		
159. - Walmart Stores Inc (WMT)	A	Dividend	J	T	Buy	02/01/12	J		
160.					Buy (add'l)	11/26/12	J		
161. - Federated Prudent Bear (PBRIX)		None	J	T	Buy	08/22/12	J		
162. - Putnam Diversified (PDVYX)	A	Dividend	J	T	Buy	05/14/12	J		
163. - Wisdomtree Emerging Mrkt (DEM)	A	Dividend	J	T	Buy	09/14/12	J		
164. - Dole Food Company Inc (DOLE)		None			Buy	09/13/12	J		
165.					Sold	12/26/12	J	A	
166. - Freeprt Mcmran Cpr & Gld (FCX)	A	Dividend			Buy	09/14/12	J		
167.					Sold	12/06/12	J	A	
168. - Marathon Oil Corp (MRO)	A	Dividend			Buy	02/21/12	J		
169.					Buy (add'l)	02/24/12	J		
170.					Sold	06/04/12	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 19

Name of Person Reporting

Grandy, Laura K.

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171. - Oakmark Intl Fund (OAKIX)		None			Buy	01/23/12	J		
172.					Sold	05/14/12	J	A	
173. - Vivus Inc Del (VVUS)		None			Buy	04/30/12	J		
174.					Buy (add'l)	07/18/12	J		
175.					Sold	11/07/12	J	A	
176. -Yum Brands Inc (YUM)	A	Dividend	J	T					
177. -Kinder Morgan Energy Partners LP	B	Int./Div.	J	T					See Note in Part VIII
178. -Energy Transfer PTNRS LP	B	Int./Div.	K	T					See Note in Part VIII
179. Bank of America - Merrill Lynch Wealth Management									
180. - Money Manager Checking Sweep Acct	A	Interest	J	T					
181. - ING Groep N.V. PFD (IGK)	C	Int./Div.	K	T					
182. - Bank of America NA Market CD (MAT)	B	Interest	M	T					
183. - Columbia Tax Exempt Class A (COLTX)	A	Interest			Sold	10/23/12	J	A	
184. - AXA Equitable Life INS CO Accumulator 2004	B	Int./Div.	M	T					
185. -DWS Strategic High Yield (SHYTX)	C	Dividend			Sold (part)	08/22/12	M	A	
186.					Sold	09/04/12	J	A	
187. - Altra Group Inc (MO)	A	Dividend	J	T	Buy	08/24/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 19

Name of Person Reporting

Grandy, Laura K.

Date of Report

08/29/2013

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188.					Sold (part)	09/04/12	J	A	
189. - Apple Inc (AAPL)	A	Dividend	K	T	Buy	08/24/12	K		
190.					Sold (part)	10/19/12	J	A	
191. - AT & T Inc (T)	A	Dividend			Buy	08/24/12	J		
192.					Sold	12/11/12	J	A	
193. - Chevron Corp (CVX)	A	Dividend			Buy	08/24/12	J		
194.					Sold	12/11/12	J	A	
195. - First TR S & P REIT Index (FRI)	A	Dividend	J	T	Buy	12/11/12	J		
196. - Google Inc CL A (GOOG)		None			Buy	08/24/12	K		
197.					Sold	10/23/12	K	A	
198. - Home Depot Inc (HD)	A	Dividend	J	T	Buy	09/04/12	J		
199.					Sold (part)	10/19/12	J	A	
200.					Sold (part)	12/11/12	J	A	
201. - IVY Municipal High Income (WYMHX)	A	Dividend	K	T	Buy	10/19/12	K		
202. - Microsoft Corp (MSFT)		None			Buy	08/24/12	J		
203.					Sold	09/04/12	J	A	
204. - Pepsico Inc (PEP)	A	Dividend	J	T	Buy	08/24/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 19

Name of Person Reporting

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Date of Report

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205. - Pfizer Inc (PFE)	A	Dividend	J	T	Buy	08/24/12	J		
206.					Sold (part)	10/19/12	J	A	
207. - Regions Finl Corp (RF)		None	J	T	Buy	10/19/12	J		
208.			J	T	Buy	12/11/12	J		
209. - Salesforce Com Inc (CRM)		None	J	T	Buy	08/24/12	J		
210.					Sold (part)	10/19/12	J	A	
211.					Sold (part)	12/11/12	J	A	
212. - Southern Company (SO)	A	Dividend			Buy	08/24/12	J		
213.					Sold (part)	09/04/12	J	A	
214.					Sold (part)	10/19/12	J	A	
215.					Sold	12/11/12	J	A	
216. - Walmart Stores Inc (WMT)	A	Dividend	J	T	Buy	08/24/12	J		
217.			J	T	Buy (add'l)	09/04/12	J		
218.					Sold (part)	10/19/12	J	A	
219. - Alliancebernstein High (ABTYX)	A	Dividend	K	T	Buy	08/24/12	K		
220.					Sold (part)	09/04/12	J	A	
221.					Sold (part)	10/19/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 19

Name of Person Reporting

Grandy, Laura K.

Date of Report

08/29/2013

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222.					Sold (part)	12/11/12	J	A	
223. - Goldman Sachs High Yield (GHYIX)	A	Dividend			Buy	08/24/12	J		
224.					Sold (part)	09/04/12	J	A	
225.					Sold (part)	10/19/12	J	A	
226.					Sold	12/11/12	J	A	
227. - Invesco Van Kampen High (ACTDX)	A	Dividend	K	T	Buy	08/24/12	K		
228.					Sold (part)	09/04/12	J	A	
229.					Sold (part)	10/19/12	J	A	
230. - Oppenheimer Amt Free (OMFYX)	A	Dividend	J	T	Buy	08/24/12	J		
231.					Sold (part)	09/04/12	J	A	
232.									
233.									
234.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 19

Name of Person Reporting

Grandy, Laura K.

Date of Report

08/29/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II:

Line 1 - Payments for percentage of collections of fees for work performed and generated by Judge, prior to departure.

Part VII:

Line 6/27 - Previously listed as Regions which was simply a clearing account with Merrill Lynch prior to change to Raymond James Morgan Keegan. Raymond James Morgan Keegan has their own clearing account when stocks are sold.

Line 21 - Account name changed from Merrill Lynch to Raymond James Morgan Keegan (Trustee to Trustee transfer)

Line 33 - Previously listed as Regions. However this was simply a clearing account with Merrill Lynch prior to change to Raymond James Morgan Keegan. Raymond James Keegan has their own clearing account when stocks are sold. This item is now reflected on line 6 and 27 of current report.

Line 110 - Personal Note was paid off during current reporting period

Line 111 - [REDACTED] No income received.

Line 112 - [REDACTED] Real Property which is currently vacant. No income received.

Line 122/176/177 - Publicly Traded Partnership that generates interest and dividends

FINANCIAL DISCLOSURE REPORT

Page 19 of 19

Name of Person Reporting

Grandy, Laura K.

Date of Report

08/29/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Laura K. Grandy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544