

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Moore, Kimberly A.	2. Court or Organization Federal Circuit	3. Date of Report 10/25/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States Court of Appeals for the Federal Circuit 717 Madison Place, NW Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	West-Thomson, Book Royalties	\$1,574.01
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	attorney, self-employed, partner
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. State Bar of Georgia	03/26/2015 - 03/27/2015	Atlanta, GA	Activity of professional association	Transportation, meals and lodging
2. The New York Intellectual Property Association	03/27/2015 - 03/28/2015	New York, NY	Activity of professional association	Transportation, meals and lodging
3. Stanford University	04/16/2015 - 04/17/2015	San Francisco, CA	Educational activities other than judicial education	Transportation, meals and lodging
4. The Institute of Continuing Legal Education in Georgia	09/17/2015 - 09/20/2015	Amelia Island, FL	Educational activities exclusive of judicial education	Transportation, meals and lodging

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5.	State Bar of Georgia	11/11/2015 - 11/15/2015	Cancun, Mexico	Educational activities exclusive of judicial education	Transportation, meals and lodging
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Rental Property (2008 \$2,211,554.00) (Currituck County, NC)	G	Rent	P1	S					
2. Capital One Bank Accounts	A	Interest	N	T					
3. Citibank Account	A	Interest	O	T					
4. Northwestern Mutual Life Insurance	B	Dividend	J	T					
5. Northwestern Mutual Life Insurance	B	Dividend	J	T					
6. Berkshire Life Insurance	A	Dividend	J	T					
7.									
8. Charles Schwab Accounts B (H)									
9. - Vanguard Developed Markets	A	Dividend	J	T					
10. - Vanguard Total Stock Market	B	Dividend	N	T					
11. - Morley Stable Value Fund Net 20		None	M	T					
12. - T. Rowe Price Mid-Cap Growth	B	Dividend	N	T	Buy	01/02/15	J		
13.					Buy (add'l)	12/14/15	K		
14. - Vanguard Institutional Index I	B	Dividend	M	T					
15. - Vanguard Total Bond	A	Interest	N	T					
16.									
17. VA 529 Plans (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - pre Paid		None	M	T					
19. - in Vest Southside		None	M	T	Buy	01/07/15	J		
20.					Buy (add'l)	02/05/15	K		
21. - in Vest Piedmont		None	L	T	Buy (add'l)	01/07/15	J		
22.					Buy (add'l)	02/25/15	K		
23. - in Vest Chesapeake		None	M	T	Buy (add'l)	01/07/15	K		
24.					Buy (add'l)	02/25/15	L		
25.									
26. Vanguard Account (H)									
27. - Prime Money Market Fund	A	Dividend	K	T					
28. - Energy Fund Investor	A	Dividend	K	T	Buy	01/22/15	K		
29. - Large Cap Index	B	Dividend	M	T	Buy	01/05/15	N		
30. - Mid Cap Index	B	Dividend	M	T					
31. - Small Cap Index	A	Dividend	K	T					
32. - Total Stock Market Fund	B	Dividend	M	T					
33. - 500 Index Fund	D	Dividend	M	T					
34.									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Wells Fargo Account A (Brokerage)									
36. - Amerisourcebergen Corp	A	Dividend	L	T	Buy	03/13/15	L		
37. - Baxalta		None	M	T	Buy	10/30/15	L		
38. - Boston Beer		None			Sold	12/04/15	K		
39. - Caseys General Store Inc	A	Dividend	L	T	Buy	05/12/15	L		
40. - Church & Dwight Inc.	B	Dividend	K	T	Buy	03/13/15	K		
41. - Cracker Barrel Old Ctry Store	C	Dividend	L	T	Buy (add'l)	03/13/15	K		
42. - CSX Corp.	B	Dividend	K	T	Buy	03/13/15	L		
43. - CVS Health Corp.		None	L	T	Buy	10/30/15	L		
44. - DanaHER Corp.		None	L	T	Buy	12/09/15	L		
45. - Deluxe Corp.	A	Dividend	K	T	Buy	03/13/15	K		
46. - Fortune Brands	A	Dividend			Buy	03/13/15	L		
47.					Sold	10/29/15	L	D	
48. - Gilead Sciences Inc.	A	Dividend	L	T	Buy	03/13/15	L		
49. - HanesBrands Inc.	A	Dividend	M	T	Buy (add'l)	03/13/15	L		
50. - Hershey Company	B	Dividend			Buy	03/13/15	L		
51.					Sold	12/09/15	L		

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52. - Intuitive Surgical		None	L	T	Buy	03/13/15	K		
53. - J&J Smucker	B	Dividend	L	T	Buy	03/13/15	K		
54. - J&J Snack Foods Corp.	A	Dividend			Buy	03/13/15	L		
55.					Sold	10/29/15	M	E	
56. - MWI Veterinary Supply		None			Sold	01/21/15	L	D	
57. - Nike		None	L	T	Buy	12/04/15	L		
58. - Norfolk Southern Corp.	B	Dividend	L	T	Buy	03/13/15	L		
59. - Pall Corp	A	Dividend	L	T	Buy	03/13/15	L		
60.					Sold	05/12/15	M	E	
61. - Papa Johns	A	Dividend			Buy	05/12/15	L		
62.					Sold	10/29/15	L	D	
63. - Pepsico Incorporated	B	Dividend	M	T					
64. - Reynolds American Inc.		None	L	T	Buy	10/30/15	L		
65. - Stericycle Inc.		None	K	T	Buy	03/13/15	K		
66. - Therapeutics MD Inc.		None	M	T	Buy	03/13/15	L		
67. - Tompkins Financial Corp.	A	Dividend	L	T	Buy	03/13/15	L		
68. - Tractor Supply Company	A	Dividend	L	T	Buy	03/13/15	L		

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69.									
70. Wells Fargo Account B (IRA)									
71. - Anheuser Bush - Corp. Bond	C	Interest	K	T					
72. - Church & Dwight Inc.	B	Dividend	L	T					
73. - CSX Corp.	B	Dividend	K	T					
74. - Deluxe Corp.	B	Dividend	L	T					
75. - Diamond Foods Inc.		None	L	T	Buy	04/02/15	L		
76. - Gilead Science	A	Dividend	L	T					
77. - Ingersoll Rand - Foreign Bond	C	Interest	L	T					
78. - Intuitive Surgical		None	L	T					
79. - JM Smuckers	A	Dividend	L	T					
80. - United Health Group - Corp. Bond	B	Interest			Redeemed	03/15/15	K		
81.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 30-32 were inadvertently omitted in the 2014 Financial Disclosure Report. Please accept these assets for the year 2014 and 2015.

- 30. - Mid Cap Index - Buy - 12/11/2014 - L
- 31. - Small Cap Index - Buy 12/11/2014 - K
- 32. - Total Stock Market - Buy 12/11/2014 - L

Lines 71, 77, and 80 were erroneously closed in 2014. Please accept line items 71, 77, and 80 for the year 2015.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Kimberly A. Moore

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544