

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Creswell, Bessie M.	2. Court or Organization U.S. Bankruptcy Court, Middle District of Alabama	3. Date of Report 04/22/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 08/31/2018
7. Chambers or Office Address Frank M. Johnson U.S. Federal Courthouse One Church Street Montgomery, Alabama 36104		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	Burr & Forman LLP
2.	Board President	Lifelines Family Counseling
3.	Trustee	Alabama Law Foundation
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2018	Burr & Forman Profit Sharing Plan with former law firm with Empowerment Retirement as Recordkeeper
2. 2016-2017	Burr & Forman Profit Sharing Plan with former law firm with Wells Fargo as Recordkeeper
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Burr & Forman, salary	\$189,529.00
2. 2017	Burr & Forman, salary	\$179,892.00
3. 2018	Burr & Forman, salary	\$52,500.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC CASH ACCOUNT	A	Interest	J	T					
2. IRA #1 (H)									
3. -AMAZON.COM INC COM		None	K	T	Exempt				
4. -APPLE INC COM	A	Dividend			Exempt				
5. -DELTA AIR LINES INC COM	A	Dividend			Exempt				
6. -CYPRESS SEMICONDUCTOR CORP COM	A	Dividend			Exempt				
7. -CORNERSTONE STRATEGIC VALUE COM	C	Dividend	K	T	Exempt				
8. -CREDIT SUISSE VELOCITY DAILY 2X SHT TRM T		None	J	T	Exempt				
9. -PAYPAL HLDGS INC COM		None	J	T	Exempt				
10. -SHELL MIDSTREAM PARTNERS COM		None			Exempt				
11. -T-MOBILE US INC COM		None			Exempt				
12. -SPDR GOLD TR GOLD SHS		None	J	T	Exempt				
13. -POWERSHARES C		None			Exempt				
14. -WHEATON PRECIOUS METALS CORP C		None			Exempt				
15. -ADVANCED MICRO DEVICES INC C		None			Exempt				
16. -ALIBABA GROUP HLDG LTD C		None			Exempt				
17. IRA #2 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -DELTA AIR LINES INC COM	A	Dividend			Exempt				
19. -CREDIT SUISSE VELCITYSHRES 2X VIX SH-TRM ETN		None			Exempt				
20. -SHELL MIDSTREAM PARTNERS COM		None			Exempt				
21. -POWERSHARES C		None			Exempt				
22. -WHEATON PRECIOUS METALS CORP C		None			Exempt				
23. -AMAZON.COM INC C		None			Exempt				
24. -BERKSHIRE HATHAWAY INC DEL COM CL B		None	J	T	Exempt				
25. -CORNERSTONE STRATEGIC VALUE COM	A	Dividend	J	T	Exempt				
26. -DODGE & COX FUNDS INTLSTK FD	A	Dividend	J	T	Exempt				
27. BROKERAGE ACCOUNT #1 (H)									
28. -REGIONS FINANCIAL CORP NEW	A	Dividend			Exempt				
29. -ROYAL DUTCH SHELL PLC CL B	A	Dividend			Exempt				
30. -AMERICAN GOLD EAGLE		None			Exempt				
31. -SPDR GOLD TR GOLD SHS		None	J	T	Exempt				
32. BROKERAGE ACCOUNT #2 (H)									
33. -ALASKA AIR GROUP INC COM	A	Dividend	J	T	Exempt				
34. -CORNERSTONE STRATEGIC VALUE COM	B	Dividend	J	T	Exempt				

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -CREDIT SUISSE VELCITYSHRES 2X VIX SH-TRM ETN		None			Exempt				
36. -CYPRESS SEMICONDUCTOR CORP	A	Dividend			Exempt				
37. -DELTA AIRLINES INC	A	Dividend			Exempt				
38. -TELADOC HEALTH INC COM		None	J	T	Exempt				
39. -ADVANCED MICRO DEVICES INC C		None			Exempt				
40. -POWERSHARES C		None			Exempt				
41. -SPDR GOLD TR GOLD SHS C		None			Exempt				
42. -WHEATON PRECIOUS METALS CORP C		None			Exempt				
43. 529 PLAN #1 (H)									
44. -Coca Cola CO	A	Dividend	J	T	Exempt				
45. BROKERAGE ACCOUNT #3 (H)									
46. -CORNERSTONE STRATEGIC VALUE COM	B	Dividend	J	T	Exempt				
47. -REGIONS FINANCIAL CORP COM	A	Dividend			Exempt				
48. -POWERSHARES C		None			Exempt				
49. BROKERAGE ACCOUNT #4 (H)									
50. -APPLE INC COM		None			Exempt				
51. -CREDIT SUISSE VELCITYSHRES 2X VIX SH-TRM ETN		None			Exempt				

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52. -CYPRESS SEMICONDUCTOR CORP COM	A	Dividend			Exempt				
53. -CORNERSTONE STRATEGIC VALUE COM	B	Dividend	J	T	Exempt				
54. -DELTA AIR LINES INC	A	Dividend			Exempt				
55. -ROYAL DUTCH SHELL PLC ADR CLASS B	A	Dividend			Exempt				
56. -POWERSHARES C		None			Exempt				
57. -SPDR GOLD TR GLD SHS GLD C		None			Exempt				
58. -WHEATON PRECIOUS METALS CORP WPM C		None			Exempt				
59. 529 PLAN #2 (H)									
60. -Vanguard Total Stock Market Index	B	Dividend	L	T	Exempt				
61. -Vanguard Developed Markets Index	A	Dividend	K	T	Exempt				
62. -Vanguard Emerging Markets Stock Index	A	Dividend	J	T	Exempt				
63. 529 PLAN #3 (H)									
64. -Vanguard Growth Index	A	Dividend	K	T	Exempt				
65. -Vanguard Mid-Cap Index	A	Dividend	K	T	Exempt				
66. -Vanguard Small-Cap Index	A	Dividend	K	T	Exempt				
67. -Vanguard International Growth	A	Dividend	K	T	Exempt				
68. -DFA Global Equity Portfolio	A	Dividend	K	T	Exempt				

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69. 401(k) #1									
70. 401(k) #2 (H)									
71. -Growth (MAP) Freedom 401k Class		None			Exempt				
72. -Delaware Small Cap Value R6		None			Exempt				
73. -Harbor Capital Appreciation Retirement		None			Exempt				
74. -Vanguard Institutional Index Fund	A	Dividend			Exempt				
75. U.S. Bank, N.A. CASH ACCOUNT		None	K	T					
76.									
77.									
78.									
79.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 69 - 401(k) #1 - Investment account 401(k) #1 was a retirement plan with a previous employer. All investments were transferred to 401(k) #2 in January 2018. Statements were requested for periods covering January to December 2017, but were not received before report date.

Part VII, Line 75 (Amended) - U.S. Bank, N.A. is Roth funds from 401(k) #2 (lines 70-74) that were cashed out and held in a bank account before being rolled to a new retirement account. Investment account 401(k) #2 was a retirement plan with a previous employer. The 401(k) #2 account funds will be rolled over into new retirement accounts via a nontaxable retirement rollover. The traditional 401(k) funds were rolled into the Thrift Savings Plan. The portion of the funds that are Roth contributions are in the process of being rolled to a new account as of the original reporting date. Account value was updated from original report after inquiring about account.

Part I, Line 1 (Amended) - Position noting "Bankruptcy Judge" was removed and remaining positions were moved up one line.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Bessie M. Creswell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544