

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gerrard, John M.	2. Court or Organization U.S. District Court, Nebraska	3. Date of Report 05/06/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 586 Federal Building 100 Centennial Mall North Lincoln, Nebraska 68508		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	Board of Governors	Nebraska Wesleyan University
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	University of Nebraska College of Law adjunct salary	\$3,950.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	University of Nebraska Health Center - psychiatric nurse practitioner salary
2. 2014	Bryan LGH Physician Network, Inc. - psychiatric nurse practitioner salary
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U.S. Bank	Personal note	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First National Bank Omaha	A	Interest	J	T					
2. U.S. Bank Checking Account	A	Interest	J	T					
3. Charles Schwab Market Account	A	Interest	K	T					
4. ABT Common Stock	A	Dividend	J	T					
5. ALL Common Stock	A	Dividend	J	T	Buy	08/06/14	J		
6.					Buy (add'l)	08/26/14	J		
7. ANTM Common Stock formerly known as WLP Common Stock	A	Dividend	J	T					
8. CBI Common Stock	A	Dividend	J	T	Buy	12/09/14	J		
9. DIS Common Stock	A	Dividend	K	T					
10. DNOW Common Stock	A	Dividend			Buy	02/20/14	J		
11.					Sold	08/22/14	J	A	
12. DODIX Income Fund		None	J	T	Buy	06/30/14	J		
13. Dow Chemical Corp Bond	A	Dividend	J	T					
14. DTV Common Stock	A	Dividend			Sold	06/09/14	J	A	
15. ESRX Common Stock	A	Dividend	J	T					
16. F Common Stock	A	Dividend			Sold	12/11/14	J	A	
17. FCAU Common Stock	A	Dividend	J	T	Buy	12/11/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. FDX Common Stock	A	Dividend			Sold	03/11/14	J	A	
19. GOOG Common Stock	A	Dividend	J	T					
20. HCP Common Stock	A	Dividend	J	T					
21. IBSCX Small Cap Fund		None	K	T					
22. INTC Common Stock	A	Dividend	J	T					
23. KMI Common Stock	A	Dividend	J	T	Buy	05/13/14	J		
24. MCD Common Stock	A	Dividend			Sold	12/18/14	J	A	
25. NOV Common Stock	A	Dividend			Buy	02/20/14	J		
26.					Sold	12/09/14	J	A	
27. OKS Common Stock	A	Dividend	J	T					
28. ORCL Common Stock	A	Dividend	J	T					
29. PTTRX Institutional Fund		None			Sold	06/30/14	J	A	
30. RERGX Growth Fund		None	J	T					
31. RFNGX Growth Fund		None	K	T					
32. SCHC Common Stock	A	Dividend			Sold	12/18/14	J	A	
33. SE Common Stock	A	Dividend			Sold	05/12/14	J	A	
34. SU Common Stock	A	Dividend			Sold	12/10/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. TCLFX Investment Fund		None	L	T					
36. V Common Stock	A	Dividend	J	T	Sold (part)	08/05/14	J	A	
37. VE Common Stock	A	Dividend	J	T	Buy	12/10/14	J		
38. VFORX Investment Fund		None	J	T					
39. WMT Common Stock	A	Dividend	J	T	Buy	03/19/14	J		
40. WEFIX Mutual Fund	A	Dividend	K	T	Buy	03/19/14	J		
41.					Buy (add'l)	03/27/14	J		
42.					Buy (add'l)	06/26/14	J		
43.					Buy (add'l)	09/26/14	J		
44.					Buy (add'l)	12/29/14	J		
45. WEFIZ Bond Fund	A	Dividend	J	T	Buy (add'l)	03/27/14	J		
46.					Buy (add'l)	06/26/14	J		
47.					Buy (add'l)	09/26/14	J		
48.					Buy (add'l)	12/29/14	J		
49. WR Common Stock	A	Dividend			Sold	02/20/14	J	A	
50. WY Common Stock	A	Dividend	J	T					
51. American General Universal Life Insurance		None	J	T					

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52. Nebraska Judges Retirement System (no control)	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Visa (V) Common Stock (Part VII, line 36) was incorrectly listed on the Financial Disclosure Report for 2013 as being sold, while only part of the stock was sold. In 2014, another part of the Visa Common Stock was sold, and the reporting judge still retains some Visa Common Stock.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John M. Gerrard**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544