

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HOPKINS, VIRGINIA E.	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 04/29/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) UNITED STATES DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 619 HUGO L. BLACK COURTHOUSE 1729 5TH AVENUE N BIRMINGHAM, AL 35203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. TRUSTEE	IRREVOCABLE LIFE INSURANCE TRUST #1 (UNFUNDED)
2. POWER OF ATTORNEY (POA #1)	ATTORNEY IN FACT
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Teaching at the University of Virginia	\$8,400.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	SELF EMPLOYED ATTORNEY PRACTICE
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CASH WELLS FARGO BANK ACCOUNT	A	Interest	J	T					
2. YUM BRANDS COMMON	A	Dividend	J	T					
3. CASH - LEGACY CREDIT UNION ACCOUNT	A	Interest	K	T					
4. CASH - MORGAN STANLEY SMITH BARNEY ACCOUNT	A	Dividend	J	T					
5. CASH - DREYFUS LIQUID LIQUID ASSETS FUND CLASS 2 ACCOUNT	A	Dividend	K	T					
6. CITIBANK SOUTH DAKOTA BANK DEPOSIT ACCOUNT	B	Interest	J	T					
7. ABB, LTD		None			Buy	01/30/12	K		
8.					Sold	04/02/12	K	A	
9. ALEXION PHARM INC		None			Buy	09/24/12	K		See Section VIII Addtl Inf
10.					Sold	12/26/12	J	C	See Section VIII Addtl Inf
11. AMAZON.COM INC.		None	K	T	Buy (add'l)	08/21/12	J		
12.					Buy (add'l)	11/23/12	J		
13. AMERICAN CENTURY EQUITY INCOME	A	Dividend	K	T	Buy (add'l)	07/30/12	J		
14. AMERICAN TOWER REIT COM	A	Dividend	K	T	Buy	10/1/12	K		
15.					Buy (add'l)	11/23/12	J		
16. AMERISOURCEBERGEN CORP	A	Dividend			Sold	02/17/12	J	A	
17. ANADARKO PETROLEUM CORP	A	Dividend	K	T	Buy (add'l)	02/21/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Sold (part)	11/28/12	J	A	
19. APPLE INC	A	Dividend	K	T	Buy (add'l)	07/27/12	K		
20.					Buy (add'l)	08/21/12	J		
21. AVAGO TECHNOLOGIES LTD ORD	A	Dividend			Sold	06/01/12	J	A	
22. BAIDU INC SPON ADR RPTING		None			Sold	01/04/12	J	A	
23. BORGWARNER INC.		None			Sold	01/04/12	J	A	
24. CATERPILLAR INC.	A	Dividend			Buy (add'l)	08/21/12	J		
25.					Sold	11/21/12	J	A	
26. CERNER CORP		None			Buy (add'l)	07/27/12	J		
27.					Buy (add'l)	08/21/12	J		
28.					Sold	09/24/12	K	B	
29. CF INDUSTRIES HOLDINGS INC	A	Dividend	K	T	Buy (add'l)	08/21/12	K		
30. COACH INC..	A	Dividend			Sold	06/01/12	K	A	
31. COGNIZANT TECH SOLUTIONS CRP		None			Buy (add'l)	08/21/12	J		
32.					Sold	11/28/12	K	A	
33. COMCAST CORP (NEW) CLASS A	A	Dividend	K	T	Buy	05/04/12	K		
34.					Buy (add'l)	06/15/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. COMP DE BEB AMBEV SP ADR	A	Dividend	K	T	Buy	02/03/12	K		
36.					Buy (add'l)	02/08/12	J		
37.					Sold	06/01/12	K	A	
38.					Buy	07/05/12	J		
39.					Buy (add'l)	08/21/12	K		
40. DAVITA INC		None	J	T	Buy	12/26/12	J		
41. DEERE & CO	A	Dividend			Buy (add'l)	02/08/12	J		
42.					Sold	05/04/12	K	A	
43. EMC CORP MASS		None			Buy	01/30/12	K		
44.					Buy (add'l)	08/21/12	J		
45.					Sold	10/1/12	K	B	
46. EOG RESOURCES INC.	A	Dividend	K	T	Buy (add'l)	11/28/12	J		
47. EQUINIX INC NEW		None	K	T	Buy	11/28/12	K		
48. FASTENAL CO	A	Dividend	K	T	Buy	02/09/12	K		
49.					Buy (add'l)	03/13/12	J		
50.					Buy (add'l)	04/05/12	J		
51.					Buy (add'l)	08/21/12	J		

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52.					Buy (add'l)	08/30/12	J		
53. HERSHEY COMPANY		None	K	T	Buy	12/17/12	K		
54. HOME DEPOT INC	A	Dividend	K	T	Buy	09/27/12	K		
55.					Buy (add'l)	11/13/12	J		
56. ISHARES BARCLAY 3 - 7 YEAR TREAS BD FD	A	Dividend	L	T					
57. ISHARES BARCLAYS AGGREGATE BOND FUND	B	Dividend	L	T					
58. ISHARES BARCLAYS 1 - 3 YEAR TREAS BD FD	A	Dividend	L	T					
59. ISHARES SILVER TRUST		None	K	T	Buy (add'l)	08/21/12	J		
60. METROPOLITAN WEST TOT RET BD I	A	Dividend	K	T	Buy	08/21/12	K		
61.					Buy (add'l)	12/31/12	J		
62. OCCIDENTAL PETROLEUM CORP - DEL	A	Dividend			Buy (add'l)	08/21/12	K		
63.					Sold (part)	11/13/12	J	A	
64.					Sold	11/19/12	J	A	
65. OREILLY AUTOMOTIVE INC NEW		None	K	T	Buy	06/21/12	J		
66.					Buy (add'l)	08/21/12	J		
67. QUALCOMM INC	A	Dividend			Buy (add'l)	02/06/12	J		
68.					Sold	06/01/12	K	A	

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69. ROSS STORES INC	A	Dividend	K	T	Buy	07/05/12	J		
70.					Buy (add'l)	07/30/12	J		
71.					Buy (add'l)	08/21/12	J		
72. ROSETTA RESOURCES INC		None	K	T					
73. SPDR BARCLAYS CAPITAL TIPS	B	Dividend	L	T					
74. SHERWIN WILLIAMS COMPANY OHIO		None			Buy	11/28/12	K		
75.					Sold	12/03/12	K	A	
76. STARBUCKS CORP	A	Dividend	K	T	Buy (add'l)	02/06/12	J		
77.					Buy (add'l)	06/19/12	J		
78.					Buy (add'l)	08/21/12	J		
79. UNION PACIFIC CORP	A	Dividend	K	T	Buy (add'l)	08/21/12	K		
80. WHOLE FOODS MKT INC	A	Dividend	K	T	Buy (add'l)	02/06/12	J		
81.					Buy (add'l)	08/21/12	J		
82. SAP AG SPONS ADR-USD	A	Dividend	K	T					
83. SPDR GOLD TRUST		None	L	T					
84. US TSY INFL PRT NOTE 02.000% DUE 7/15/14	A	Interest			Sold (part)	04/16/12	J	A	
85.					Sold	06/13/12	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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86.									
87. POA #1									
88. CASH - WELLS FARGO BANK	D	Interest	K	T					See Section VIII Addtl Inf
89. CASH - LEGACY FEDERAL CREDIT UNION	B	Interest	M	T					See Section VIII Addtl Inf
90. CASH - WELLS FARGO BROKERAGE ACCT		None	M	T					See Section VIII Addtl Inf
91. AT&T INC	C	Dividend	L	T					See Section VIII Addtl Inf
92. EXELIS INC	B	Dividend	K	T					See Section VIII Addtl Inf
93. HARTFORD FINL SVCS GROUP INC	B	Dividend	L	T					See Section VIII Addtl Inf
94. ITT CORP	A	Dividend			Sold	07/03/12	K	E	See Section VIII Addtl inf
95. LORD ABBETT INV TR SHORT DURATION INC CL C	A	Dividend	K	T	Buy	10/01/12	K		See Section VIII Addtl inf
96. PEPSICO INCORPORATED	B	Dividend	K	T					See Section VIII Addtl Inf
97. PFIZER INCORPORATED	C	Dividend	L	T					See Section VIII Addtl Inf
98. XYLEM INC	A	Dividend			Sold	07/03/12	L	F	See Section VIII Addtl Inf
99. GOLDMAN SACHS GROUP NOTES 4.25% DUE 12/15/14	C	Interest	M	T					See Section VIII Addtl Inf
100. ALABAMA ST PORT AUTH BONDS 5.00% DUE 10/1/12	A	Interest			Redeemed	10/01/12	K	A	See Section VIII Addtl Inf
101. DISCOVER BK CD DUE 10/22/13	C	Interest	M	T					See Section VIII Addtl Inf
102. VERSUS BANK OF COMMERCE CD 2.15% MATURES 11/30/16	B	Interest	L	T					See Section VIII Addtl Inf

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103. WELLS FARGO ADVANTAGE STRATEGIC MUN BD FD (X)	B	Interest	M	T					See Section VIII Addtl Inf
104. HARTFORD MUTUAL FUNDS INC FLOATING RATE FD CL C	C	Dividend	M	T					See Section VIII Addtl Inf

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

HOPKINS, VIRGINIA E.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

THE IRREVOCABLE LIFE INSURANCE TRUST # 1 REPORTED IN SECTION I, LINE 1 IS UNFUNDED AND THEREFORE IS NOT INCLUDED IN SECTION VII, "INVESTMENTS AND TRUSTS"

SECTION VII, LINES 88 - 104 - THESE ASSETS ARE REPORTED UNDER THE POWER OF ATTORNEY LABELED POA #1 AS DESCRIBED IN PART I, POSITIONS.

SECTION VII, LINES 9 AND 10 - ALEXION PHARM INC - THE DIFFERENCE IN VALUE CODE FOR THE PURCHASE OF THIS INVESTMENT ON 9-24-12 AND THE SALE OF THIS INVESTMENT ON 12-26-12 IS PURELY DUE TO DECREASES IN THE MARKET VALUE OF THE SHARES HELD. THERE WERE NO FURTHER DISPOSITIONS TO REPORT.

SECTION VII, LINE 99 - GOLDMAN SACHS GROUP NOTES - THE DIFFERENCE IN VALUE CODE FROM VALUE HELD AT 12-31-11 AND VALUE HELD AT 12-31-12 IS PURELY RELATED TO INCREASES IN THE MARKET VALUE OF THE INVESTMENT. THERE WERE NO FURTHER ACQUISITIONS TO REPORT FOR THIS INVESTMENT.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

HOPKINS, VIRGINIA E.

Date of Report

04/29/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ VIRGINIA E. HOPKINS

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544