

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Reade, Linda R.	2. Court or Organization District Court ND Iowa	3. Date of Report 08/08/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Courthouse 111 7th Ave SE Cedar Rapids, IA 52401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 08/01/93	State of Iowa and filer-Iowa Judicial Retirement Plan. The state is paying me retirement income as of February 2013.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	State of Iowa judicial retirement income--paid monthly beginning 2/27/13	\$31,958.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Bradshaw Fowler Proctor & Fairgrave, PC - professional fees and buy out
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. US Bank Accounts	A	Interest	L	T					
2. CSCO Stock	A	Dividend	J	T					
3. Medtronic Inc. Stock	A	Dividend	J	T					
4. Deferred Comp Plan	A	Int./Div.	J	T					
5. -Stock Index Fund-Valic		None							
6. -Science & Technology Fund-Valic		None							
7. -Putnam New Opportunity Fund-Valic		None							
8. -Putnam Global Gr Fund-Valic		None							
9. -American Cent Ultra Fund-Valic		None							
10. Retirement Account	E	Int./Div.	P1	T					
11. -Schwab Money Market Fund									
12. -ABEON Therapeutics (ABEO) formerly known as Plasmatech (PTBI)									
13. -AT&T (T)									
14. -Air Canada (ACDVF)					Buy	02/20/15	K		
15. -Altria Group, Inc (MO)									
16. -American Airlines (AAL)									
17. -American Int'l Grp (AIG)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Annaly Capital Mgmt Reit (NLY)									
19. -Apple (APPL)									
20. -Applied Materials (AMAT)									
21. -AuricoGold Inc (AUQ) now Alamos Gold (AGI)									
22. -Aurico Metals Inc (ARCTF)					Spinoff (from line 21)	07/07/15	J		
23. -Bank of America (BAC)									
24. -Barrick Gold Corp (ABX)									
25. -Berkshire Hathaway									
26. -Blackrock Health Sciences Fund (SHSAX)									
27. -Blackrock Build Am (BBN)					Sold	12/22/15	K		
28. -Boeing (BA)									
29. -British Petro (BP)									
30. -Canadian Oil (COSWF)									
31. -Carlyle Grp (CG)					Buy (add'l)	11/05/15	K		
32. -Chemtura (CHMT)					Sold	02/24/15	K		
33. -Citigroup (CIT)					Sold	05/11/15	K		
34. -Cleanpath Resources (CLNP)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -CrownCastle (CCI)					Buy	10/19/15	J		
36. -Cytokinetics Inc (CYTK)									
37. -DDR Corp									
38. -Dendreon Corp (DNDN)(Y)									
39. -Donnelley (RRD)									
40. -Doubleline Inc (DSL)									
41. -Enbridge Energy (EEP)									
42. -Exact Sciences (EXAS)									
43. -Exxon Mobile (XOM)					Sold	02/17/15	K		
44. -Gamestop (GME)					Sold	07/07/15	K		
45. -Garmin (GRMN)					Buy	07/21/15	K		
46. -General Electric Co (GE)									
47. -Graphic Packaging Hldg (GPK)									
48. -GSV Capital (GSVC)									
49. -Hovnanian (HOV)									
50. -Icahn Ent (IEP)									
51. -India Fund (IFN)					Buy	02/17/15	K		

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52. -Intel (INTC)					Sold	01/16/15	K		
53. -JP Morgan Chase (JBL)									
54. -Metlife (MET)									
55. -Micron Tech (MU)					Buy	06/12/15	K		
56. -Microsoft (MSFT)									
57. -Morgan Stanley (MS)									
58. -New York Management REIT(NYMT)					Buy	05/11/15	K		
59. -Newmont Mining (NEM)									
60. -NVIDIA (NVDA)					Sold	02/05/15	K		
61. -NXPI Semiconductors(NXPI)					Buy	01/23/15	K		
62.					Sold	03/02/15	K		
63. -Ovascience Inc. (OVAS)					Buy	01/15/15	L		
64. -Paragon Shippng (PRGN)									
65. -Pembina Pipeline (PBA)					Sold	12/14/15	J		
66. -Pepsico (PEP)									
67. -Pfizer (PFE)									
68. -Pimco (PTY)									

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69. -Principal Financial (PFG)					Buy	01/30/15	K		
70. -Proctor & Gamble (PG)									
71. -Renhe Commercial Hldg (RNHEF)					Sold	06/01/15	J		
72. -Safe Bulkers (SB)									
73. -Schlumberger (SLB)									
74. -Sirius XM (Siri)					Sold	10/20/15	K		
75. -Sodastream (SODA)									
76. -Suntech Power (STP)									
77. -Taser International (TASR)					Buy	06/23/15	J		
78. -Telecom Argentina SA (TCMFF)									
79. -Total SAADRF1 (TOT)									
80. -Tsakos Energy (TNP)									
81. -Veracyte Inc (VCYT)					Buy (add'l)	01/09/15	J		
82.					Sold	10/23/15	K		
83. -Verastem (VSTM)					Sold	10/23/15	J		
84. -Verizon Communications (VZ)									
85. -VISA									

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86. -Vodafone (VOD)									
87. -Yamana Gold Incoo (AVY)									
88. McVean Trading and Investment	B	Int./Div.	K	T					
89. Farm 1 Dallas Co., IA	C	Rent	M	W					
90. Farm 3 Dallas Co. IA	D		L	W					
91. Farm 5 Adair Co, IA	E	Rent	N	W					
92. Farm 7 Adair Co, IA	D		L	W					
93. Farm 10 Adair Co, IA	E	Rent	N	W					
94. Farm 14 Adams Co, IA	E	Rent	M	W					
95. Farm 15 Adams Co, IA	D	Rent	L	W					
96. Farm 20 Decatur County, IA	B	Rent	J	W					
97. Cincinnati Life Insurance Whole Life Policy		None	M	T					
98. Mineral Interest,Dallas County, IA		None	J	T					
99. Fidelity ExplorRoyalty Bowman Co, ND	C	Royalty	J	T					
100. Ben Con Properties LLC Des Moines, IA		None	M	U					
101. Towne View Apartments, LTD, Des Moines, IA		None	J	U					
102. Phoenix-Tuson Ranch LLC		None	J	T					

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103. Brokerage 1	A	Int./Div.	O	T					
104. -Schwab money market	A	Interest	L	T					
105. -American Airlines Grp (AAL)	A	Dividend	L	T					
106. -At & T (T)	B	Dividend	K	T					
107. -Air Canada (ACDVF)		None	K	T	Buy	02/02/15	K		
108.					Buy	02/17/15	K		
109. -Bank of Nova Scotia (BNS)	A	Dividend	K	T	Buy	03/02/15	K		
110. -Blackstone (BX)	C	Dividend	K	T					
111. -Boeing (BA)	A	Dividend	K	T					
112. -Caterpillar Inc (CAT)	A	Dividend	J	T	Buy	01/02/15	K		
113. -Cliffs Natural Resources (CLF)		None	J	T					
114. -Crocs (CROX)		None	J	T					
115. --Cytokinetics (CYTK)		None	K	T	Buy	05/13/15	K		
116.					Donated (part)				
117. -Dodge & Cos (DODGX)	C	Dividend	K	T	Buy	01/13/15	K		
118. -Flextronics Int (FLEX)		None	K	T					
119. -Ford Motor (F)	A	Dividend	J	T					

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120. -General Motors (GM)	B	Dividend	K	T					
121. -Glaxosmithkline (GSK)		None	J	T	Buy	11/19/15	J		
122. -GSVC Capital (GSVC)		None			Sold	01/26/15	J		
123. -Hatteras Financial (HTS)	A	Dividend	J	T					
124. -Mobileye (MBLY)		None	J	T	Buy	06/23/15	J		
125. -NewYork Comm Bancorp (NYCB)	B	Dividend	K	T	Buy	01/26/15	K		
126. -Ovascience Inc (OVAS)		None	J	T	Sold (part)	01/13/15	K	E	
127. -Pimco Opp Fund (PTY)	B	Dividend	J	T					
128. -Principal Finan (PFG)	A	Dividend	J	T					
129. -PJT Partners (PJT)		None	J	T	Spinoff (from line 110)	09/30/15	J		
130. -Qorvo Inc (QRVO)		None	K	T	Buy	04/08/15	K		
131. -Ruby Tuesday (RT)		None			Sold	02/17/15	J		
132. -Seadrill (SDRL)		None	J	T					
133. -Sodastream Intntl (SODA)		None			Sold	01/26/15	J		
134. -Suncor Energy (SU)	A	Dividend	J	T					
135. -Total SA (TOT)	C	Dividend	K	T					
136. -Vale SA ADR (VALE)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Veracyte (VCYT)		None	K	T	Buy (add'l)	10/23/15	J		
138.					Buy (add'l)	01/09/15	J		
139. -Verastem (VSTM)		None	K	T	Buy (add'l)	10/23/15	J		
140. Brokerage II	A	Int./Div.	K	T					
141. -GSV Cap Corp		None			Sold	01/15/15	J		
142. -Hovnanian Ent Inc		None			Sold	01/09/15	J		
143. -GSK		None			Buy	11/09/15	K		
144.					Sold	12/4/15	K		
145. -NYCB	B	Dividend	K	T	Buy	01/26/15	K		
146. Brokerage III		None	J	T					
147. -Taser Int		None			Sold	08/25/15	J		
148. -HPY		None			Buy	12/14/15	J		
149.					Sold	12/28/15	J	B	
150. -Mobileye		None			Buy	06/26/15	J		
151.					Sold	12/15/15	J		
152. -QRVO		None			Buy	03/25/15	J		
153.					Sold	06/19/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 38 Dendreon went into bankruptcy aon June 12, 2015 and the stock is worthless

Lines 81-83--Shares of Vercyte and Versastem were transfered to Brokerage Account 1 as part of requirement that owner take qualified distribution from IRA. There is no discription available in the form to correctly cpature these transactions. Transfer of these accounts is shown in Brokerage Account 1 on lines 137, 138

Lines 21 and 22-Aurica Gold and Alamos Gold merged into Alamos Gold. One project of Aurica Gold became Aurica Metals.

Lines 90. 92 col B, the income is from crops

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Linda R. Reade**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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