

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'HARA, JAMES P.	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 5/5/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) MAGISTRATE JUDGE, FULL-TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2016 to 12/31/2016
7. Chambers or Office Address 219 U.S. COURTHOUSE 500 STATE AVENUE KANSAS CITY, KS 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	American Conference Institute	01/28/2016-01/30/2016	Miami, FL	Educational Seminar	meals, lodging, transportation
2.	American Conference Institute	06/01/2016-06/03/2016	New York, NY	Educational Seminar	meals, lodging, transportation
3.	Kansas Bar Association	06/16/2016-06/17/2016	Wichita, KS	Meeting	meals, lodging, transportation
4.	American Conference Institute	09/30/2016-09/30/2016	New York, NY	Educational Seminar	meals, lodging, transportation
5.	American Conference Institute	11/30/2016-12/01/2016	Philadelphia, PA	Educational Seminar	meals, lodging, transportation

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Security Bank of KC (f/k/a Valley View State Bank) savings & checking)	A	Interest	K	T					
2. Mariner Rollover IRA No. 1 (formerly Fidelity Rollover IRA No. 1)									
3. - Fidelity Cash Reserves	A	Interest	J	T					
4. - Harding Loevner Int'l Equity Port Inst'l	A	Dividend	J	T					
5. - Metropolitan West Tot Return Bond Cl M		None			Sold	01/29/16	K	D	
6. Convergence Core Plus Fund Institutional Cl (f/k/a Mariner 1 30/30	A	Dividend	K	T	Sold (part)	01/29/16	J	C	
7. Fund Institutional Cl Shares)									
8. - Palmer Square Absolute Return Cl 1		None			Sold (part)	01/29/16	J	A	
9.					Sold	03/09/16	J	A	
10. - Nuance Concentrated Value Fd Inst'l	A	Dividend	K	T	Sold (part)	01/29/16	J	B	
11. - Tortise MLP Pipeline Fd Inst'l	A	Dividend	K	T	Sold (part)	08/04/16	J	A	
12. - Stone Ridge Reinsurance Risk Premium I	A	Dividend	J	T	Buy	12/13/16	J		
13. - Templeton Global Bond Fund Class A	A	Dividend			Sold (part)	01/29/16	J	A	
14.					Sold	12/13/16	J	A	
15. - Consilium Emerging Mkt. Sm. Cap. Inst'l		None			Sold (part)	01/29/16	J	A	
16.					Sold	09/12/16	J	A	
17. - Walhausen Small Cap Value Fund	A	Dividend	J	T	Sold (part)	01/29/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000.000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	12/13/16	J	A	
19. - Hotchkis & Wiley High Yield	A	Dividend	J	T	Sold (part)	01/29/16	J	A	
20. - Lazard Global	A	Dividend	K	T	Buy	01/29/16	J		
21. - Third Ave. Real Estate		None			Sold	01/29/16	J	A	
22. - William Blair Macro Allocation		None			Sold (part)	01/29/16	J	A	
23.					Sold	05/17/16	J	A	
24. -Cullen Emerging Market High Dividend Cl I	A	Dividend	J	T	Buy	01/29/16	J		
25.					Buy	09/12/16	J		
26. AQR Managed Futures Fund Cl I	A	Dividend	J	T	Buy	05/17/16	J		
27.					Buy	08/04/16	J		
28. -Dodge & Cox Income	A	Dividend	K	T	Buy	12/13/16	K		
29. -Ishares Core S&P 500 ETF		None	K	T	Buy	01/29/16	K		
30. -PrimeCap Oydessy Growth Fund	A	Dividend	K	T	Buy	01/29/16	K		
31. -Stoneridge All Asset Variable Risk Premium I	A	Dividend	J	T	Buy	05/17/16	J		
32. -Vanguard FTSE Developed Market ETF		None	J	T	Buy	01/29/16	J		
33. -Vanguard Market Neutral Fund Inv. Shares	A	Dividend	J	T	Buy	05/17/16	J		
34. -Versus Capital Multi Manager Real Estate Income I	A	Dividend	J	T	Buy	01/29/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Metropolitan West Total Return Class I	A	Dividend	K	T	Buy	01/29/16	K		
36.					Buy	05/17/16	J		
37.					Sold (part)	12/13/16	J	A	
38. -Templeton Global Bond Advisor Class	A	Dividend			Buy	01/29/16	J		
39.					Sold	12/13/16	J	A	
40. Mariner Rollover IRA No. 2 (formerly Fidelity Rollover IRA No. 2)									
41. - Fidelity Cash Reserves	A	Interest	J	T					
42. - Metropolitan West Tot Return Bond Cl M	A	Dividend	J	T	Buy	05/18/16	J		
43.					Sold (part)	12/14/16	J	A	
44. - Consilium Emerging Mkt Sm Cap Inst'l		None			Sold	09/12/16	J	A	
45. - Fidelity 500 Index PremiumClass (f/k/a Spartan 500 Index Fd Adv C1)	A	Dividend	J	T	Buy	12/14/16	J		
46. - Fidelity Int'l Index PremClass (f/k/a Spartan Int'l Index FdAdvC1)	A	Dividend	J	T	Buy	12/14/16	J		
47. - Tortoise MLP & Pipeline Fd Investor Cl	A	Dividend	J	T	Sold (part)	08/05/16	J	A	
48. - Nuance Concentrated Value Fund Class A	A	Dividend	J	T	Buy	12/14/16	J		
49. - Hotchkis & Wiley High Yield	A	Dividend	J	T	Sold (part)	12/14/16	J	A	
50. - Lazard Global	A	Dividend	J	T					
51. - Third Ave. Real Estate		None			Sold	01/20/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. - William Blair Macro Allocation		None			Sold	05/19/16	J	A	
53. - AQR Managed Futures	A	Dividend	J	T	Buy	08/05/16	J		
54. - Cullen Emerging Market	A	Dividend	J	T	Buy	09/12/16	J		
55. - Harding Loevner Int'l Equity	A	Dividend	J	T	Buy	12/14/16	J		
56. - John Hancock Global		None	J	T	Buy	08/05/16	J		
57.					Sold (part)	12/14/16	J	A	
58. - Templeton Global Bond Fund	A	Dividend			Sold	12/14/16	J	A	
59. - Walthausen Small Cap	A	Dividend	J	T					
60. - iShares S&P 500 Growth		None	J	T					
61. - Versus Capital	A	Dividend	J	T					
62. -AQR Equity Market Neutral Fund C1 N	A	Dividend	J	T	Buy	05/17/16	J		
63. -Fidelity U.S. Bond Index Premium Class	A	Dividend	J	T	Buy	12/14/16	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ JAMES P. O'HARA**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544