

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Seibert, James E.	2. Court or Organization U.S. District Court, NDWV	3. Date of Report 06/04/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 1125 Chapline Street Wheeling, WV 26003		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Power of Attorney	Checking Account
2. Advisory Committee	Wheeling Auto Club Fund of the Community Foundation for the Ohio Valley, Inc.
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Self-Employed, Real Estate Agent

2. 2013

First Choice Real Estate, LLC - Owner and Independent Contractor Realtor

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	United Bank	JDS Investments, LLC - Guarantor of Note Secured by Deed of Trust	L
2.	United Bank	JDS Investments, LLC - Guarantor of Note Secured by Deed of Trust	K
3.	United Bank	James E Seibert - Note Secured by Deed of Trust	K
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. United Bank Accounts	A	Interest	J	T					
2. Main Street Bank Corp Accounts	A	Interest	K	T					
3. United Bank - POA	A	Interest	J	T					
4. First Choice Real Estate LLC	A	Interest	K	T					
5. Brokerage SEP Account #1	A	Dividend	J	T					
6. - American Funds - Short- Term Bond Fund of America									
7. Sun Life Assurance	A	Dividend	J	T					
8. Sun Life Financial	A	Dividend	J	T					
9. Guardian	A	Dividend	J	T					
10. Guardian	A	Dividend	J	T					
11. NML Insurance Co.	A	Dividend	J	T					
12. NML Insurance Co.	A	Dividend	J	T					
13. NML Insurance Co.	A	Dividend	J	T					
14. NML Insurance Co.	A	Dividend	J	T					
15. NML Insurance Co.	A	Dividend	J	T					
16. NML Insurance Co.	A	Dividend	K	T					
17. NML Insurance Co.	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. NML Insurance Co.	A	Dividend	J	T					
19. Main Street Bank CDs	A	Interest	J	T					
20. Main Street Bank Stock	A	Dividend	J	T					
21. Gold FR Rooster		None	J	T					
22. Silver Eagle		None	J	T					
23. Peregrine Pharmaceuticals		None	J	T					
24. Direct Online Marketing - Note Receivable	A	Interest	M	T					
25. JDS Investments LLC - Rental property, Ohio County, WV	E	Rent	M	U					
26. Prudential Advanced Series Apex II Annuity		None	M	T					
27. LPL Financial SEP IRA Retirement Account #2	C	Int./Div.			Closed	11/30/13	M		See note in Part VIII.
28. Summit Brokerage Svcs Inc SEP Retirement Acct #2 (from LPL Finan) (X)	B	Int./Div.	M	T	Open	12/01/13	M		See note in Part VIII.
29. -JPMorgan US Government Money Market Fund					Sold	11/30/13	J		
30. -Pershing Prime Reserves Money Market Fund					Buy	11/30/13	K		
31. -BP PLC Spons Adr Common Stock					Buy	05/23/13	J		
32.					Buy (add'l)	09/20/13	J		
33. -Aston/Montag & Caldwell Growth Fund					Buy	05/08/13	J		
34. -AQR Funds Diversified Arbitrage Fund Class I									

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35. -Artisan Fds Inc Mid Cap Value Fd Inv Shs					Sold (part)	11/20/13	J	A	See note in Part VIII.
36. -Davis New York Venture Class Y					Buy	09/17/13	J		
37. -Doubleline Funds Tr Total Return Bond Fund									
38. -Eaton Vance Municipals Tr National Municipal Income Fund					Sold (part)	05/20/13	J	A	See note in Part VIII.
39. -Forward Funds Global Infrastructure Fund Instl C1					Sold	08/21/13	J	A	See note in Part VIII.
40. -The Hartford Capital Appreciation Fd C1 I					Buy	08/21/13	J		
41. -Hotchkis & Wiley Funds High Yield Fund Class A									
42. -JPMorgan Trust Strategic Income Opptys Fund					Buy (add'l)	09/17/13	J		
43. -MFS Research Fund Class I					Buy	03/15/13	J		
44. -Pimco Funds Total Return Fund					Sold	09/17/13	J		See note in Part VIII.
45. -RS Investment Trust Global Natural Resources Fund					Sold	03/15/13	J	A	See note in Part VIII.
46. -Turner Funds Spectrum Fund					Sold	05/08/13	J	A	See note in Part VIII.
47. -Virtus Emerging Markets Opportunities C1 I					Sold	06/21/13	J	A	See note in Part VIII.
48. -Wells Fargo Advantage Diversified Cap Builder Instl C1					Sold (part)	05/20/13	J	A	See note in Part VIII.
49. -Western Asset Fds Inc Core Plus Bd Port									
50. Commercial Rental Property #1, Wheeling, WV (2010 \$82,825)	D	Rent	L	R					
51. Gas royalty interest, Lewis County, WV	A	Royalty	J	W					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note A -

Parcel 1 is located in Wheeling, WV.

Parcel 2 is located in Valley Grove, WV.

This is a piece of [REDACTED] real estate.

Note B-

[REDACTED] switched financial advisors for [REDACTED] SEP IRA late in 2013.

The holdings were transferred from LPL Financial to Summit Brokerage Services Inc.

This return is being amended to show the transaction activity for the holdings prior to the transfer.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James E. Seibert**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544