

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Moody, James M.	2. Court or Organization USDC, Eastern Division	3. Date of Report 07/25/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge-Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 500 West Capitol Suite C-446 Little Rock, AR 72201-3396		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1-Part VII Line 37
2.	Trustee	Trust #2-Part VII Line 58
3.	Trustee	Trust #3-Part VII Line 97
4.	Trustee	Trust #4-Part VII Line 121
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 17

Name of Person Reporting

Moody, James M.

Date of Report

07/25/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ **NONE** *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 17

Name of Person Reporting

Moody, James M.

Date of Report

07/25/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 17

Name of Person Reporting

Moody, James M.

Date of Report

07/25/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. One Bank NA-Account	A	Interest	L	T					
2. Bank of America NA	A	Interest			Closed	06/30/12	J		
3. Summit Bank NA-Account	A	Interest	J	T	Open	06/30/12	J		
4. Regions Bank NA-Account	A	Interest	J	T					
5. Telco Federal Credit Union-Account	A	Interest	L	T					
6. Regions Bank/Morgan Keegan (IRA)- Account	B	Int./Div.	K	T					
7. BH & M Oil Comm Stock	B	Dividend	J	T					
8. Header 1-1									
9. Goldman Sachs Bank,USA-Account(X)	A	Interest	J	T					
10. Raymond James Bank, FSB-Account(X)	A	Interest	L	T					
11. First Trust ETF II Consumer (FXD) Discretionary (FXD)	A	Dividend	K	T	Buy (add'l)	11/07/12	K		
12. First Trust ETF II Consumer Staples (FXG)	A	Dividend	K	T	Buy (add'l)	11/07/12	K		
13. First Trust ETF Fund II Alphasdex Fund Annual (FXO)	A	Dividend	K	T	Buy	07/24/12	K		
14. FIRST TRUST HEALTH CARE ALPHADEX FD ETF (FXH)	A	Dividend	K	T	Buy	01/04/12	K		
15. First Trust ETF II Indls Prod Durable Alphasdex Fund (FXR)	A	Dividend	K	T	Buy	07/24/12	K		
16. First Trust ETF II Utilities(FXU)	A	Dividend	K	T	Buy (add'l)	01/10/12	K		
17. First Trust ETF II Utilities(FXU)	A	Dividend	K	T	Sold (part)	09/10/12	K	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 17

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18. First Trust Exchange Alphadex (FDT)	A	Dividend	K	T	Buy (add'l)	05/08/12	K		
19. First Trust Exchange Alphadex (FDT)	A	Dividend			Sold (part)	03/30/12	K	D	
20. First Trust Exchange Alphadex Emerging(FEM)	A	Dividend	K	T	Buy (add'l)	05/08/12	K		
21. First Trust Exchange Alphadex Emerging(FEM)	A	Dividend		T	Sold (part)	03/30/12	K	D	
22. Ishares TR Barclays TIPS Bd (TIP)	A	Dividend	K	T	Buy	08/28/12	K		
23. Ishares TR Iboxx Inv CPBD (LQD)	A	Dividend	K	T	Buy (add'l)	09/05/12	L		
24. Ishares TR Iboxx Inv CPBD (LQD)	A	Dividend	K	T	Sold (part)	08/31/12	L	B	
25. Isahres Barclays 7-10 YR (IEF)	A	Dividend	K	T	Buy (add'l)	11/07/12	L		
26. Isahres Barclays 7-10 YR (IEF)	A	Dividend		T	Sold (part)	08/31/12	K	B	
27. Ishares TR DJ US Real Est (IYR)	A	Dividend	K	T	Buy (add'l)	01/04/12	K		
28. Ishares TR DJ US Real Est (IYR)	A	Dividend		T	Sold (part)	09/10/12	J	A	
29. Ishares TR High Yld Corp (HYG)	A	Dividend	K	T	Buy	08/28/12	K		
30. Ishares TR S&P NTL Amtfree (MUB)	A	Dividend			Sold	11/13/12	L	D	
31. Ishares TR Barclays MBS BD (MBB)	A	Dividend	J	T	Buy (add'l)	01/04/12	L		
32. Ishares TR Barclays MBS BD (MBB)	A	Dividend		T	Sold (part)	08/31/12	J	D	
33. Ishares TR Fltg Rate Nt (FLOT)	A	Dividend	K	T	Buy	08/28/12	K		
34. SPDR Gold Tr Fgold Shs (GLD)	A	Dividend	K	T	Buy (add'l)	08/07/12	K		

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FINANCIAL DISCLOSURE REPORT

Page 6 of 17

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35. SPDR Gold Tr Fgold Shs (GLD)	A	Dividend		T	Sold (part)	01/03/12	K	A	
36. SPDR Barclays Capital 1-3 Month Tbill (BIL)	A	Dividend			Sold	02/10/12	L	B	
37. Header 1-2									
38. Muni Cash Trust	A	Interest	M	T					
39. Batesville Ar School Dist 001 RFDG & Const	A	Interest	L	T					
40. UA FAYetvl Var Fac	B	Interest	J	T	Matured (part)	06/30/12	J		
41. Conway AR Pub Fac Bd Cap Improvements Rev Ref Hendrix	B	Interest			Matured	10/01/12	K		
42. Paragould AR Water & Sewer Rev B/E	C	Interest			Matured	12/04/12	L		
43. AR St R Fdg Water Waste Disp & Poll CB/ E	B	Interest	K	T					
44. AR St Univ Rev Hsg Sys FGIC B/E	C	Interest	L	T					
45. NLR Hlth Fac Board Hlth Care RV Ref Baptist Hth B/E	B	Interest	K	T					
46. FORT Smith AR Water & Sewer Rev Ser C FSA B/E	B	Interest			Matured	10/01/12	K		
47. AR State High Ed Ser B	B	Interest	K	T					
48. Univ of Ark Univ Rev Var Fac Fytvlle Campus A B/E FGIC	B	Interest	L	T					
49. Arkadeplhia AR Pub Ed Facs Rev Ouachita Baptst Univ B/E	C	Interest	L	T					
50. Rogers AR Sewere Imprvt Ambac B/E	C	Interest	L	T					
51. Russelleville AR School	B	Interest	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 17

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52. Bryant AR School	C	Int./Div.	L	T					
53. Paris AR School	C	Interest	L	T					
54. Clinton Ar School-	C	Interest	L	T					
55. Pulaksi Tech-	C	Interest	L	T					
56. El Dorado Ar Sch Dist-	C	Interest	L	T	Buy	08/01/12	L		
57. Little Rock Ar Hlth-	C	Interest	K	T	Buy	08/01/12	K		
58. Header 1-3									
59. First Southwest Prime Funds		None			Closed	06/30/12	J		
60. Schwab Cash Money Market Fund (X)	A	Interest	J	T	Open	06/30/12	J		
61. Aloca Inc.	A	Int./Div.	J	T					
62. Banco Bilbao Vizcaya	A	Int./Div.	J	T					
63. Bank of America Corp	A	Int./Div.	J	T					
64. Berkshire Hathaway, Inc.	A	Int./Div.	J	T					
65. BP Amoco Spons ADR-BP	A	Int./Div.	K	T					
66. Cisco	A	Int./Div.	K	T	Buy	06/30/12	K		
67. Costco	A	Int./Div.	K	T	Buy	06/30/12	K		
68. Contax Paratiicipacoes SA-CTXNY (X)	A	Int./Div.	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 17

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69. FISERV, Inc.	A	Int./Div.	K	T					
70. GlaxosmithKline	A	Int./Div.	K	T					
71. Hospitality Partners Trust-HPT	B	Int./Div.			Sold	03/09/12	K	A	
72. Lexmark Int Group	A	Int./Div.	J	T					
73. MGIC Investment Corp	A	Int./Div.	J	T					
74. Markel Corp	A	Int./Div.	J	T					
75. Microsoft Corp	A	Int./Div.	J	T					
76. PNC Financial Sves Grp	A	Int./Div.	K	T					
77. Petroleo BRasilerio, SA	A	Int./Div.	J	T					
78. Pfizer	A	Int./Div.	J	T					
79. Public Service Enterprise	A	Int./Div.	K	T					
80. Spectra Energy	A	Int./Div.	K	T					
81. Banco Santander SA-STD	A	Int./Div.	J	T					
82. Telefonica de espana SA	B	Int./Div.	K	T					
83. Telefonos de Mexico	A	Int./Div.	J	T					
84. Tim Participacoes	A	Int./Div.	J	T					
85. Thornburg Income Builder CL C-TIBCX	A	Int./Div.	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 17

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86. Oppenheimer Global CL C	A	Int./Div.	M	T					
87. Plains Capital Bank-cash (X)	A	Int./Div.	J	T					
88. Vector Group (VGR)	A	Dividend	K	T					
89. Header 1-4									
90. Raymond James Bank,FSB-Account	A	Interest	J	T					
91. Morgan Stanley Com Stock	A	Dividend	J	T					
92. Wal-Mart Stores Com Stock	B	Dividend	K	T					
93. Discover Fiancial Svc	A	Dividend	J	T					
94. APPLE INCORPORATED (AAPL)	A	Dividend	K	T					
95. TOYOTA MOTOR CORP TM	A	Dividend	K	T					
96. VERIZON COMMUNICATIONS INCORPORATED (VZ)	A	Dividend	J	T					
97. Header 1-5									
98. Russellville AR School Dist Constr CFG	B	Interest	K	T					
99. LR AR School District Ser B	C	Interest	L	T					
100. Fort Smith AR Water & Sewer Rev Ser C	A	Interest			Sold	10/01/12	J	A	
101. General Motors Corp Note	A	Interest	K	T					
102. Muni Cash Trust Funds	A	Interest	K	T					

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Page 10 of 17

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103. Roger AR School	C	Interest	L	T					
104. General Motors Co (GM)	A	Dividend	J	T					
105. Motors Liquidation (MTLQU)	A	Interest	J	T	Buy	06/12/12	J		
106. Conway Ar School Dist 5.04%	B	Interest	K	T					
107. Header 2-1									
108. Raymond James Bank, FSB	A	Interest	J	T					
109. First Horizon National Corp	A	Dividend			Sold	04/05/12	J	A	
110. SunTrust Banks	A	Dividend			Sold	04/03/12	J	A	
111. Header 2-2									
112. Raymond James Bank, FSB	A	Interest	J	T					
113. Fed Ex COrp	A	Dividend	L	T					
114. Intel	B	Dividend	L	T					
115. Qualcomm, Inc	A	Dividend	J	T					
116. Activision Blizzard, Inc.	A	Dividend			Sold	03/05/12	J	A	
117. Morgan Stanley	A	Dividend			Sold	03/05/12	J	A	
118. Apple Inc.-AAPL	A	Dividend	K	T					
119. Merck	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 17

Name of Person Reporting

Moody, James M.

Date of Report

07/25/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Blackstone Group LP Comm Unit Ltd-BX	A	Distribution	J	T					
121. Header 2-3									
122. Raymond James Bank, FSB	A	Interest	J	T					
123. Cisco	A	Dividend			Sold	09/13/12	J	D	
124. Dell Computer	A	Dividend			Sold	09/13/12	J	D	
125. General Electric	A	Dividend	K	T	Sold (part)	04/03/12	J	C	
126. Microsoft	A	Dividend	K	T					
127. Discover FIncl Svcs	A	Dividend			Sold	04/03/12	J	D	
128. Chesapeake Energy Corp	A	Dividend			Sold	04/03/12	J	A	
129. Celgene Corp	A	Dividend	J	T					
130. Header 2-4									
131. Raymond James Bank, FSB	A	Int./Div.	J	T					
132. Goldman Sachs Bank, USA-Account	C	Interest	M	T					
133. Evergreen Solar, Inc.	A	Dividend	J	T					
134. AT&T Incorporated (T)	A	Dividend	J	T	Buy	02/15/12	J		
135. Apple Inc. (AAPL)	A	Dividend	K	T	Buy	02/17/12	K		
136. Conocophillips (COP)	A	Dividend	K	T	Buy (add'l)	06/01/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 17

Name of Person Reporting

Moody, James M.

Date of Report

07/25/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Merck (MRK)	A	Dividend	J	T					
138. Pfizer Inc. (PFE)	A	Dividend	J	T					
139. Phillips 66 (PSX)	A	Dividend	J	T					
140. iDeal Holding Ltd	C	Dividend	K	T					
141. Mineral interest(l)-Lafayette County Arkansas (X)	C	Royalty	J	W					
142. Rental #1-Nashville TN	C	Rent	K	W					
143. FIRST TR ETF II ENERGY ALPHADEX FUND ANNUAL	A	Dividend			Sold	11/13/12	K	A	
144. FIRST TR ETF II ENERGY ALPHADEX FUND ANNUAL		None			Buy	08/10/12	K		
145. FIRST TR ETF II MATERIALS ALPHADEX FUND ANNUAL	A	Dividend			Sold	11/13/12	K	A	
146. FIRST TR ETF II MATERIALS ALPHADEX FUND ANNUAL		None			Buy	07/27/12	K		
147. SECTOR SPDR TR SBI INT-FINL	A	Dividend			Sold	05/11/12	K	A	
148. SECTOR SPDR TR SBI INT-FINL		None			Buy	03/01/12	K		
149. SPDR S&P 500 ETF TRUST	A	Dividend			Sold	08/10/12	M	A	
150. SPDR S&P 500 ETF TRUST		None			Buy	01/09/12	M		
151. FIRST TR EXCHANGE TRADED ALPHADEX FD II DEV MKTS EX US ALPHA	A	Dividend			Sold	11/13/12	K	A	
152. FIRST TR EXCHANGE TRADED ALPHADEX FD II DEV MKTS EX US ALPHA		None			Buy	01/03/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 17

Name of Person Reporting

Moody, James M.

Date of Report

07/25/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. ISHARES TR FLTG RATE NT	A	Dividend			Sold	11/13/12	K	A	
154. ISHARES TR FLTG RATE NT		None			Buy	09/10/12	K		
155. FIRST TR HEALTH CARE ALPHADEx FD ETF	A	Dividend			Sold	09/10/12	K	A	
156. FIRST TR HEALTH CARE ALPHADEx FD ETF		None			Buy	01/13/12	K		
157. FIRST TR ETF FUND II FINL ALPHADEx FUND ANNUAL	A	Dividend			Sold	09/10/12	K	A	
158. FIRST TR ETF FUND II FINL ALPHADEx FUND ANNUAL		None			Buy	06/08/12	K		
159. SPDR GOLD TR GOLD SHS	A	Dividend			Sold	09/10/12	L	A	
160. SPDR GOLD TR GOLD SHS		None			Buy	01/13/12	L		
161. ISHARES TR HIGH YLD CORP	A	Dividend			Sold	11/13/12	J	A	
162. ISHARES TR HIGH YLD CORP		None			Buy	06/30/12	J		
163. ISHARES TR BARCLYS 7-10 YR	A	Dividend			Sold	06/08/12	J	A	
164. ISHARES TR BARCLYS 7-10 YR		None			Buy	01/09/12	J		
165. ISHARES TR IBOXx INV CPBD	A	Dividend			Sold	11/13/12	J	A	
166. ISHARES TR IBOXx INV CPBD		None			Buy	01/03/12	J		
167. ISHARES TR S&P NTL AMTFREE	A	Dividend			Sold	06/08/12	J	A	
168. ISHARES TR S&P NTL AMTFREE		None			Buy	01/03/12	J		
169. ISHARES TR BARCLYS TIPS BD	A	Dividend			Sold	11/13/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 17

Name of Person Reporting

Moody, James M.

Date of Report

07/25/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

170. ISHARES TR BARCLYS TIPS BD		None			Buy	09/10/12	J		
171.									
172.									
173.									
174.									
175.									
176.									
177.									
178.									
179.									
180.									
181.									
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183.									
184.									
185.									
186.									

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 15 of 17

Name of Person Reporting

Moody, James M.

Date of Report

07/25/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
187.									
188.									
189.									
190.									
191.									
192.									
193.									
194.									
195.									
196.									
197.									
198.									
199.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 17

Name of Person Reporting

Moody, James M.

Date of Report

07/25/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Item 129-part was purchased in 2011 and not shown on report.

Items 130-132 were purchased in 2011 but not shown on 2011 report.

FINANCIAL DISCLOSURE REPORT

Page 17 of 17

Name of Person Reporting

Moody, James M.

Date of Report

07/25/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James M. Moody**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544