

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Murphy, Diana E.	2. Court or Organization U.S.Court of Appeals (8th Cir)	3. Date of Report 04/24/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. Courthouse 300 S. Fourth Street, 11E Minneapolis, MN 55415		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Life Trustee	University of Minnesota Foundation
2.	Trustee	University of St. Thomas
3.	Director	Minnesota Opera
4.	Director	Hill Museum and Manuscript Library
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

Murphy, Diana E.

Date of Report

04/24/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. St. John's University, New
York

March 28-30

New York, NY

Speaking engagement

Transportation, hotel, meals

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 15

Name of Person Reporting

Murphy, Diana E.

Date of Report

04/24/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

Murphy, Diana E.

Date of Report

04/24/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Ind'l Retirement Acct-Robt W Baird & Co., Milw, WI (USBonds)		None			Distributed	12/29/11	J		
2. Wells Fargo NTL Tax Free MM Fund, Mpls, MN	A	Interest	M	T					
3. Wells Fargo Ntl Tax Free MM Fund, Mpls, MN	A	Interest	M	T					
4. Checking Acct, Wells Fargo Bk, Mpls, MN	A	Interest	J	T					
5. Trust, Wells Fargo Bk (mun bds & cash eq)	G	Interest	P2	T					
6. IRA Robt W Baird & Co., Milw, WI (USBonds)		None	M	T	partial dist	12/25/11	J		
7. Bond - U.S. Treasury Notes		None			Sold	09/23/11	N	A	
8. Bond - Mahtomedi, MN	C	Interest	L	T					
9. Bond - Minnetonka, MN	C	Interest	L	T					
10. Bond - Maple Grove, MN	A	Interest	L	T					
11. Bond - US Treasury		None			Sold	08/22/11	N	F	
12. Bond - Stillwater, MN	A	Interest			Sold	08/12/11	M	A	
13. Bond - Olmsted County	C	Interest	L	T					
14. Bond - Richfield, MN	C	Interest	L	T					
15. Bond - Edina, MN	A	Interest			Sold	08/16/11	M	C	
16. Bond - Ramsey Cty, MN	B	Interest			Sold	08/11/11	M		
17. Bond - Richfield, MN	C	Interest	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

Name of Person Reporting

Murphy, Diana E.

Date of Report

04/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Bond - Champlin, MN	C	Interest	L	T					
19. Bond - Chaska, MN	C	Interest	L	T					
20. Bond - Lake Elmo, MN	C	Interest	L	T					
21. Bond - Minnetonka, MN	C	Interest	L	T					
22. Bond - Northfield, MN	C	Interest	L	T					
23. Bond - Saint Anthony, MN	C	Interest	L	T					
24. Bond - Shakopee, MN	C	Interest	L	T					
25. Bond - Washington Cty, MN	C	Interest	L	T					
26. Bond - West St. Paul, MN	C	Interest	L	T					
27. Bond - Bloomington, MN	C	Interest	L	T					
28. Bond - Edina, MN	C	Interest	L	T					
29. Bond - Golden Valley, MN	B	Interest	L	T					
30. Bond - Anoka, MN	C	Interest	L	T					
31. Bond - Bloomington, MN	C	Interest	L	T					
32. Bond - Dayton, MN	C	Interest	L	T					
33. Bond - Golden Valley, MN	C	Interest	L	T					
34. Bond - Golden Valley, MN	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 15

Name of Person Reporting

Murphy, Diana E.

Date of Report

04/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Bond - Golden Valley, MN	C	Interest	L	T					
36. Bond - Washington County, MN	B	Interest			Sold	08/16/11	M		
37. Bond - Bloomington, MN	B	Interest	L	T					
38. Bond - Hopkins, MN	B	Interest			Sold	08/16/11	N		
39. Bond - Spring Lake Park	C	Interest	L	T					
40. Bond - Three Rivers Park, MN	C	Interest	L	T					
41. Bond - Alexandria, MN	C	Interest	L	T					
42. Bond - Bloomington, MN	C	Interest	L	T					
43. Bond - Brooklyn Ctr, MN	C	Interest	L	T					
44. Bond - Crystal, MN	C	Interest	L	T					
45. Bond - Eden Prairie, MN	C	Interest	L	T					
46. Bond - Sauk Centre, MN	C	Interest	L	T					
47. Bond - Staples, MN	C	Interest	L	T					
48. Bond - Edina, MN	C	Interest	L	T					
49. Bond - Fridley, MN	C	Interest	L	T					
50. Bond - Minneapolis, MN	C	Interest	L	T					
51. Bond - Mound, MN	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 15

Name of Person Reporting

Murphy, Diana E.

Date of Report

04/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Bond - North St. Paul, MN	C	Interest	L	T					
53. Bond - North St. Paul, MN	C	Interest	L	T					
54. Bond - Olmsted, MN	A	Interest			Sold	08/11/11	L		
55. Bond - Rochester, MN	C	Interest	L	T					
56. Bond - St. Paul, MN	B	Interest			Sold	08/11/11	M		
57. Bill - U.S. Treasury		None			Sold	06/22/11	P2	G	
58. Bond - Waconia, MN	C	Interest	L	T					
59. U.S. Treasury Bill		None			Sold	02/07/11	M	A	
60. U.S. Treasury Bond		None			Sold	08/22/11	P1	G	
61. Bond - Anoka MN	C	Interest	L	T					
62. U.S. Treasury Bill		None			Sold	02/17/11	P1		
63. U.S. Treasury Bill		None			Redeemed	06/23/11	P1	G	
64. U.S. Treasury Bill		None			Sold	01/25/11	P1	A	
65. U.S. Treasury Bill		None			Redeemed	06/23/11	P1		
66. U.S. Treasury Bill		None			Donated				
67. U.S. Treasury Bill		None			Sold	09/19/11	P1	E	
68. Bond - Minnesota State	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 15

Name of Person Reporting

Murphy, Diana E.

Date of Report

04/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Bond - Mound MN	A	Interest			Sold	08/11/11	J		
70. Bond - St. Paul MN		None	L	T					
71. U.S. Treasury Bill		None			Sold	02/15/11	P2	A	
72. U.S. Treasury Bill		None			Sold	10/03/11	O		
73. U.S. Treasury Bill		None			Sold	02/15/11	O		
74. U.S. Treasury Bill		None			Sold	07/25/11	O	A	
75. U.S. Treasury Bill		None			Sold	02/15/11	P1	A	
76. U.S. Treasury Bill		None			Sold	04/05/11	P1	E	
77. Bond - Minnesota State		None	L	T					
78. Bond - Mound MN	C	Interest	M	T					
79. Bond - St. Paul MN	C	Interest	L	T					
80. Bond - Hopkins MN	C	Interest	L	T					
81. Bond - St. Paul MN	C	Interest	L	T					
82. SPDR Gold Trust		None			Sold	05/19/11	P1	G	
83. SPDR Gold Trust		None			Sold	05/19/11	P2	H1	
84. Bond - Alaska		None			Sold	08/11/11	L		
85. Bond - Alaska		None	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 15

Name of Person Reporting

Murphy, Diana E.

Date of Report

04/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. Bond - Missouri State	C	Interest	L	T					
87. Bond - Omaha	C	Interest	L	T					
88. U.S. Treasury Bill		None			Donated				
89. U.S. Treasury Bill		None			Sold	03/10/11	P1	E	
90. U.S. Treasury Bill		None			Sold	02/17/11	P1		
91. Bond - Minnesota	C	Interest	L	T					
92. Bond - Otsego	B	Interest			Redeemed	12/01/11	L		
93. Bond - Hennepin County	C	Interest			Sold	08/11/11	O		
94. Bond - So. MN Municipal	B	Interest	L	T					
95. Bond - Elk River	B	Interest			Sold	08/11/11	K	B	
96. Bond - Centennial Sch	C	Interest	L	T					
97. Bond - Brooklyn Park	C	Interest	L	T					
98. Bond - Rosemount	C	Interest	L	T					
99. Bond - Andover	C	Interest	L	T					
100. Bond - Moundsview	C	Interest	L	T					
101. Bond - Minnesota	C	Interest	L	T					
102. Bond - Hopkins	D	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 15

Name of Person Reporting

Murphy, Diana E.

Date of Report

04/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Bond -Three Rivers Park	B	Interest	L	T					
104. Bond - Ramsey County	A	Interest			Sold	08/16/11	L		
105. Bond - Minneapolis	A	Interest			Redeemed	12/01/11	K		
106. Bond - Eden Prairie	C	Interest	L	T					
107. Bond - Dakota County		None			Redeemed	02/01/11	L	B	
108. Bond - Prior Lake	C	Interest	L	T					
109. Bond Robbinsdale	C	Interest	L	T					
110. Bond -St. Cloud	C	Interest	L	T					
111. Bond - St. Michael	C	Interest	L	T					
112. Bond Minnesota	C	Interest	L	T					
113. Bond - Spring Lake Park	C	Interest	L	T					
114. Bond - Canadian Govt	D	Interest	N	T	Buy	07/12/11	N		
115. Bond - Canadian Govt	D	Interest	O	T	Buy	07/14/11	O		
116. Bond - Canadian Govt	D	Interest	O	T	Buy	07/20/11	O		
117. Bond - Canadian Govt	D	Interest	N	T	Buy	07/26/11	N		
118. Bond - Hennepin County	A	Interest	M	T	Buy	09/30/11	M		
119. Bond - Hopkins, MN	B	Interest	L	T	Buy	07/20/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 15

Name of Person Reporting

Murphy, Diana E.

Date of Report

04/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Bond - Minneapolis, MN		None	L	T	Buy	12/05/11	L		
121. Bond - Minnesota State	B	Interest	M	T	Buy	10/3/11	M		
122. Bond - Moundsview, MN	C	Interest	M	T	Buy	07/19/11	M		
123. Bond - Omaha, NE	B	Interest	M	T	Buy	10/03/11	M		
124. Bond - Orono, MN	B	Interest	L	T	Buy	07/19/11	L		
125. Bond - Texas State	B	Interest	M	T	Buy	10/03/11	M		
126. Bond - West Fargo, ND	B	Interest	M	T	Buy	09/13/11	M		
127. U.S. Treasury Bill		None	O	T	Buy	09/19/11	O		
128. U.S. Treasury Note		None	P1	T	Buy	09/20/11	P1		
129. Bond - Alexandria, MN	A	Interest	L	T	Buy	12/05/11	L		
130. Bond - Apple Valley, MN		None			Buy	06/15/11	N		
131.					Sold	08/11/11	N		
132. Bond - Canadian Govt		None	N	T	Buy	01/20/11	N		
133. Bond - Canadian Govt		None	N	T	Buy	07/12/11	N		
134. Bond - Canadian Govt		None	O	T	Buy	07/14/11	O		
135. Bond - Canadian Govt		None	O	T	Buy	07/20/11	O		
136. Bond - Canadian Govt		None	O	T	Buy	07/26/11	O		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

Murphy, Diana E.

Date of Report

04/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Bond - Canadian Govt		None	P1	T	Buy	08/11/11	P1		
138. Bond - Hennepin County, MN	B	Interest	L	T	Buy	05/03/11	L		
139. Bond - Hennepin County, MN	B	Interest	L	T	Buy	06/23/11	L		
140. Bond - Hennepin County, MN	B	Interest	M	T	Buy	09/30/11	M		
141. Bond - Hopkins, MN	C	Interest	L	T	Buy	06/13/11	L		
142. Bond - Minneapolis, MN	A	Interest	L	T	Buy	12/05/11	L		
143. Bond - Moundview, MN	B	Interest	L	T	Buy	07/19/11	L		
144. Bond - Olmsted County, MN		None			Buy	05/25/11	L		
145.					Sold	08/11/11	L		
146. Bond - Olmsted County, MN		None			Buy	06/09/11	L		
147.					Sold	08/16/11	L		
148. Bond - Omaha, NE	C	Interest	M	T	Buy	10/23/11	M		
149. Bond - Orono, MN	B	Interest	L	T	Buy	06/29/11	L		
150. Bond - Ramsey County, MN	B	Interest	L	T	Buy	06/13/11	L		
151. Bond - Ramsey County, MN	B	Interest	L	T	Buy	06/25/11	L		
152. Bond - So. Watershed Dist., MN		None			Buy	06/13/11	M		
153.					Sold	08/16/11	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 15

Name of Person Reporting

Murphy, Diana E.

Date of Report

04/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Bond - St. Paul, MN	B	Interest	L	T	Buy	06/13/11	L		
155. Bond - Washington County, MN	B	Interest	L	T	Buy	05/03/11	L		
156. Bond - Washington County, MN	C	Interest	L	T	Buy	06/22/11	L		
157. Bond - Wayzata, MN		None			Buy	06/23/11	L		
158.					Sold	08/04/11	L		
159. Bond - West Fargo, ND	B	Interest	M	T	Buy	09/13/11	M		
160. U.S. Treasury Bill		None	P1	T	Buy	09/19/11	P1		
161. U. S. Treasury Bill		None	N	T	Buy	12/05/11	N		
162. U. S. Treasury Note		None	P1	T	Buy	09/20/11	P1		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

Murphy, Diana E.

Date of Report

04/24/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII, Investments and Trusts, lines 67, 71-76 gross value at end of previous reporting period had been mistakenly listed as "L".

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Murphy, Diana E.

Date of Report

04/24/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Diana E. Murphy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544