

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DUBOIS, JAN E.	2. Court or Organization U.S.D.C., E.D., PA	3. Date of Report 06/15/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE, U.S.D.C.	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 12613 U.S. COURTHOUSE 601 MARKET STREET PHILADELPHIA, PA 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.	New York Intellectual Property Law Association	March 25, 2011	New York City, New York	Annual Dinner	The Waldorf-Astoria (meals, garage and hotel)
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2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 10. General Electric Co.	D	Dividend	M	T					
2. 58. Home Depot Inc. (5) IRA	B	Dividend	L	T					
3. 59. Intel Corp. (5) IRA	C	Dividend	L	T					
4. 69. Merck & Co. (5) (42) IRA	C	Dividend	M	T					
5. 80. Emerson Electric Co. (9)	B	Dividend	L	T					
6. 94. Wells Fargo (10)	A	Interest	J	T					
7. 99. NCR Corporation (51)		None	J	T					
8. 102. CitiGroup (5) IRA	A	Dividend	K	T					
9. 125. Citigroup (9)(35)	A	Dividend	J	T					
10. 134. Oracle Corp. (5) IRA	A	Dividend	L	T					
11. 137. Cisco Sys Inc. (5) IRA	A	Dividend	L	T					
12. 152. Pfizer, Inc. (5) IRA	C	Dividend	L	T					
13. 159. Medco Health Solutions, Inc. (5)(42) IRA		None	J	T					
14. 161. iShares MSCI Japan Index Fund (6) IRA	A	Dividend			Sold	03/15/11	K		
15. 162. iShares MSCI EAFE Fund (6) IRA	B	Dividend	K	T					
16. 163. Allscripts Healthcare Shs Inc. (6) IRA		None	K	T					
17. 165. BDP-Morgan Stanley Bank (47)	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. 166. Royal Dutch Shell PLC(43)	B	Dividend	K	T					
19. 171. Royal Dutch Shell PLC(9)(44)	C	Dividend	L	T					
20. 173. BDP Morgan Stanley Bank (48)	A	Interest	L	T					
21. 182. Nuveen Global VLE Opport Fund	C	Dividend	K	T					
22. 183. Eaton Van Tax MNGD GLBL (9)	C	Dividend	K	T					
23. 184. Morgan Stanley Bank (9) (46)	A	Interest	K	T					
24. 185. Nuveen Global VLE Opport Fund (9)	C	Dividend	K	T					
25. 186. Morgan Stanley Bank	A	Interest	M	T					
26. 188. Walgreen Co (5) IRA	B	Dividend	L	T					
27. 189. Starbuck Corp. Washington (5) IRA	B	Dividend	L	T	Sold (part)	11/04/11	K	D	
28. 191. MS Emerging Mkts Domestic Debt (9)	B	Dividend	K	T					
29. 193. AT&T (50)	C	Dividend	L	T					
30. 194. Teradata (51)		None	J	T					
31. 196. The Financial Sel Sect Spdr. FD (5) IRA	A	Dividend			Sold	07/29/11	K		
32. 197. Manitek Intl Inc. Com. (53)		None	K	T					
33. 198. Sirius XM Radio, Inc. (5) IRA (54)		None			Sold	05/11/11	K		
34. 200. Blackrock Real Asset Equity (6) IRA	B	Dividend	K	T					

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35. 201. Blackrock GLBL OPP EQ TR (6) (55) IRA	B	Dividend	J	T					
36. 202. Merge Healthcare, Inc. (6)		None	J	T	Sold (part)	10/26/11	J	C	
37. 203. Wisdom Tree Int'l Large CAP (DOL) (6)	B	Dividend	K	T					
38. 204. Ishares MSCI Emerging Mkts FD(6)	A	Dividend	K	T					
39. 205. Micron Tech Inc. (6)		None	K	T	Buy	01/31/11	K		
40.					Buy (add'l)	07/27/11	K		
41. 206. Dow Chemical Co. (5) IRA		None	L	T	Buy	10/05/11	K		
42. 207. Chesapeake Energy Corp. (5) IRA	A	Dividend	K	T	Buy	06/29/11	K		
43.					Buy (add'l)	08/09/11	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- (5) (Lines 58, 59, 64, 69, 102, 106, 120, 132, 134, 136, 137, 151, 152, 177, 179, 188, 189, 196, 198, 206, 207) Securities in an IRA Account with Morgan Stanley.
- (6) (Lines 161, 162, 163, 174, 201, 202, 203, 204, 205) Securities in [REDACTED] IRA Account with Morgan Stanley.
- (9) (Lines 80, 125, 171, 182, 183, 184, 185, 191) Securities in [REDACTED] Trust of which [REDACTED] is co-trustee and income beneficiary.
- (10) (Line 94) Checking Account - First Union changed name to Wachovia. Wachovia changed name to Wells Fargo.
- (35) (Line 125) [REDACTED] shares of Associates First Cap CP A exchanged for [REDACTED] shares Citigroup.
- (36) (Line 139) Spin-off from Ford Motor Company.
- (42) (Line 159) Stock dividend distributed to Merck & Co Shareholders (Line 69).
- (43) (Line 166) [REDACTED] shares of Royal Dutch Pete 2B exchanged for [REDACTED] shares of Royal Dutch Shell PLC.
- (44) (Line 171) [REDACTED] shares of Royal Dutch Pete 2B exchanged for [REDACTED] shares of Royal Dutch Shell PLC.
- (46) (Line 186) Conversion from Active Assets Account to Bank Deposit Program ("BDP") - Morgan Stanley Bank.
- (47) (Line 165) Conversion from Active Assets Account to Bank Deposit Program ("BDP") - Morgan Stanley Bank.
- (48) (Line 173) Conversion from Active Assets Account to Bank Deposit Program ("BDP") - Morgan Stanley Bank.
- (50) (Line 193) AT&T acquired Bell South Corp.
- (51) (Lines 99 and 194) Stock dividend distributed by NCR.
- (53) (Line 197) Ver-Tek Int'l Corp., changed its name to Manitek Int'l Inc. Com.
- (54) (Lines 179 and 198) XM Satellite Radio Hldg. CL A - changed its name to Sirius XM Radio, Inc.
- (55) (Line 201) Exchanged [REDACTED] shares of Blackrock World Invest Trust stock for [REDACTED] shares of Blackrock Global Opportunity Equity Trust stock.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ JAN E. DUBOIS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544