

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CARTER, DAVID O.	2. Court or Organization UNITED STATES DISTRICT COURT, CENTRAL DISTRICT OF CA	3. Date of Report 04/19/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Ronald Reagan Federal Building and United States Courthouse 411 West 4th Street, Suite 9-160 Santa Ana, California 92701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	November 10th Association (Marine Corps)
2. Board Member	On Track (Stop Substance Abuse Among Children)
3. Advisory Board Member	KinderCamrarina (Encourage Minority Youth Re Education)
4. Board Member	Orange County Federal Bar Association
5. Judge Advocate/Sgt at Arms	1st Battalion 9th Marines Association
6. Board Member	Global Center on Cooperative Security, Counter Terrorism Executive Directorate, United Nations

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	States of CA - Judicial State Retirement	\$111,882.15
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. United Nations Security Council Counter-Terrorism (CTED)	01/06 - 01/13	Bangkok, Thailand	Seminar	Transportation, Food & Lodging
2. United Nations Security Council Counter-Terrorism (CTED)	02/14 - 02/17	New York, New York	Seminar	Transportation, Food & Lodging
3. United Nations Counter-Terrorism, Global Center on Cooperative Security	02/24 - 03/2	Bangkok, Thailand	Seminar	Transportation, Food & Lodging
4. Internatl Institute for Justice and the Rule of Law (IJ) & Global Counter-Terrorism	03/23 - 03/29	Rabat, Morocco	Seminar	Transportation, Food & Lodging

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5.	Federal Judicial Center Educational Seminar	05/02 - 05/04	Washington, DC	Seminar	Transportation, Food & Lodging
6.	Federal Judges Association	05/04 - 05/07	Washington, DC	Seminar	Transportation, Food & Lodging
7.	United States Department of Justice, OPDAT	05/24 - 06/01	Kathmandu, Nepal	Seminar	Transportation, Food & Lodging
8.	United Nations Counter-Terrorism & Global Center on Cooperative Security	06/06 - 06/13	Bangkok, Thailand	Seminar	Transportation, Food & Lodging
9.	United States Patent and Trademark Office	06/16 - 06/23	Tashkent, Uzbekistan	Seminar	Transportation, Food & Lodging
10.	United Nations Counter-Terrorism & Global Center on Cooperative Security	07/08 - 07/13	Valletta, Malta	Seminar	Transportation, Food & Lodging
11.	Circuit Judicial Conference	07/22 - 07/25	Anaheim, California	Seminar	Transportation, Food & Lodging
12.	United States Department of Justice (OPDAT)	08/04 - 08/11	Hong Kong, Hong Kong	Seminar	Transportation, Food & Lodging
13.	National Association of Women Judges	10/03 - 10/05	San Antonio, Texas	Seminar	Transportation, Food & Lodging
14.	Association of Business Trial Lawyers, Orange County Chapter	10/10 - 10/14	Maui, Hawaii	Seminar	Transportation, Food & Lodging
15.	United States Department of Justice (OPDAT)	10/19 - 10/28	Kuala Lumpur, Malaysia	Seminar	Transportation, Food & Lodging
16.	United Nations Counter-Terrorism, Global Center on Cooperative Security	12/09 - 12/11	New York, New York	Seminar	Transportation, Food & Lodging
17.	United Nations Counter-Terrorism, Global Center on Cooperative Security	12/15 - 12/20	Tokyo, Japan	Seminar	Transportation, Food & Lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	United Chase Visa	Credit Card	None
2.	MasterCard Citibank	Credit Card	None
3.	MasterCard Chase	Credit Card	None
4.	Visa Citi Bank	Credit Card	None
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1.	Rental Property #1, Orange County, California	E	Rent	O	W				
2.	Vacant Land #1 - Siskiyou County, California		None	M	W				
3.	Union Bank of CA	A	Interest	J	T				
4.	Vacant Land #2, Pugatory, Colorado		None	M	W				
5.	Wells Fargo Bank	A	Interest	J	T				
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DAVID O. CARTER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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