

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ST. EVE, AMY J.	2. Court or Organization District Court	3. Date of Report 05/15/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) USDCJ - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 219 South Dearborn Street Room 1260 Chicago, Illinois 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Adjunct Professor	Northwestern Law School
2.	Board Member	Federal Bar Association -- Chicago Chapter
3.	Member	Public Safety Commissioner
4.	Board Member	Posse Foundation Chicago
5.	Board Member	Loyola Academy

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Northwestern Law School (teaching)	\$2,000.00
2. 2017	Thompson Reuters (payment for editing chapters in a treatise)	\$3,330.28
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Northwestern Medical Faculty Foundation
2. 2017	Interventional Consultants, LLC
3. 2017	U.S. Government - Department of Veteran's Affairs
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Ginnie Mae Mutual Fund	A	Dividend	K	T					
2. Fidelity Magellan Mutual Fund (monthly purchase of \$250)	D	Dividend	L	T					
3. Fidelity Low Priced Stock Mutual Fd. (monthly purchase \$250)	D	Dividend	M	T					
4. Fidelity Municipal Money Market Account	A	Interest	K	T					
5. Chase (Cash Accounts)	C	Interest	N	T					
6. Abbott Laboratories Common Stock	A	Dividend	K	T					
7. Fidelity Contrafund (Monthly purchase of \$250)	D	Dividend	M	T					
8. Fidelity Select Biotech	A	Dividend	J	T	Buy	07/24/17	J		
9. Van Kampen Equity Trust Small Cap Growth Fund		None			Sold	07/24/17	J	A	
10. ING International Value Fund		None			Sold	07/24/17	J		
11. Neuberger Berman Genesis Fund		None			Sold	07/24/17	J		
12. ING Small Company Fund A		None			Sold	07/24/17	J		
13. Lord Abbett Affiliated Fund A		None			Sold	07/24/17	J		
14. McDonalds Corp. CS	A	Dividend	K	T					
15. Ameriprise Insured Money Market	A	Interest	M	T					
16. Illinois Municipal Bonds	A	Interest			Sold	07/24/17	J	A	
17. Fidelity Strategic Dividend/Income	C	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. 529 Unique College Investing Plan (age based) See Note 1	D	Interest	O	T					
19. General Electric Company	A	Dividend			Sold (part)	07/13/17	J	D	
20.					Sold	11/14/17	J		
21. Fidelity Health Care Services (see Note 3)	D	Dividend	K	T					
22. Entertainment PPTYS Trust Ser V	A	Dividend			Sold	07/21/17	J		
23. Illinois State Tax Free Bonds	A	Dividend			Sold	07/21/17	J	C	
24. Illinois State College Bonds	A	Interest			Sold	07/21/17	J	C	
25. Fidelity Balanced	A	Dividend	J	T					
26. Vanguard Target 2030 (See Note 2 in Part VIII)	C	Dividend			Sold	07/24/17	L		
27. Fidelity Total Bond Fund (See Note 2 in Part VIII)	B	Dividend			Sold	07/24/17	L		
28. Fidelity Small Cap Value Fund (See Note 2 in Part VIII)	C	Dividend			Sold	07/24/17	L		
29. Federated Strategic Mutual Value Fund CLC		None							
30. Fidelity New Markets Income Mutual Fund	A	Dividend	J	T					
31. Monsanto	A	Dividend	K	T					
32. Manchester United	A	Dividend	J	T					
33. PIF Gov. : HQ Bond 1		None			Sold	07/24/17	J	A	
34. American Century Ultra IS Fund (see note 2 in Part VIII)	D	Dividend			Sold	07/24/17	M	D	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Fidelity Growth Co. K Fund (see note 2 in Part VIII)	D	Dividend			Sold	07/24/17	M	D	
36. HTFD Int'l Opps Y Fund (see note 2 in Part VIII)	C	Dividend			Sold	07/24/17	M		
37. Janus Truton Fund (See note 2 in Part VIII)	B	Dividend			Sold	07/24/17	L		
38. JPM Midcap Value IS Fund (see note 2 in Part VIII)	C	Dividend			Sold	07/24/17	N	B	
39. PIF Midcap Fund I (see note 2 in Part VIII)	C	Dividend			Sold	07/24/17	M	B	
40. NYL Guar Int. Accocent Fund (see note 2 in Part VIII)	B	Interest			Sold	07/24/17	L		
41. EIM Mutual Fund Closed End	A	Interest			Sold	07/24/17	J		
42. Invesco American Franchise Mutual Fund	A	Dividend	K	T					
43. Invesco European Growth Fund	A	Dividend	J	T	Buy	07/24/17	J		
44. Facebook		None	L	T	Buy (add'l)	07/31/17	K		
45. Anheuser Busch	A	Dividend			Sold	04/10/17	K		
46. Palo Alto Networks		None	K	T	Buy (add'l)	01/24/17	J		
47. Chevron	A	Dividend	K	T					
48. JP Morgan	B	Dividend	M	T	Buy (add'l)	07/31/17	K		
49. Pfizer	A	Dividend	K	T					
50. Mosaic		None			Buy	01/12/17	J		
51.					Sold	12/01/17	J		

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52. AbbVie	A	Dividend	K	T					
53. Eden Vance Bonds	A	Dividend	J	T					
54. Lennar	A	Dividend			Sold (part)	09/25/17	J	A	
55.					Sold	12/26/17	J	A	
56. Post		None	K	T	Buy (add'l)	01/24/17	J		
57. Snap Inc.		None			Buy	03/02/17	J		
58.					Sold	12/26/17	J		
59. Nutrien (see Note 4 in Part VIII)	A	Dividend	J	T					
60. Union Pacific Railroad	A	Dividend	K	T					
61. Halliburton	A	Dividend	K	T	Buy	09/19/17	J		
62. Fidelity Gov't Cash Reserves	A	Dividend	J	T	Buy	07/31/17	K		
63.					Buy (add'l)	12/20/17	J		
64. Alphabet Inc. Cap Stk Class A	B	Dividend	K	T	Buy	07/31/17	K		
65. Amazon		None	M	T	Buy	02/28/17	K		
66.					Buy (add'l)	07/31/17	K		
67. Apple Inc.	A	Dividend	L	T	Buy	07/31/17	K		
68.					Buy (add'l)	10/11/17	K		

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69. Berkshire Hathaway Inc. B		None	L	T	Buy	07/31/17	K		
70.					Buy (add'l)	08/04/17	K		
71.					Buy (add'l)	10/11/17	K		
72. Clorox Co.	A	Dividend	K	T	Buy	07/31/17	K		
73. Goldman Sachs Group Inc.	A	Dividend	K	T	Buy	07/31/17	K		
74. Starbucks Corp.	A	Dividend	K	T					
75. Visa Inc.	A	Dividend	K	T	Buy	07/31/17	K		
76. Select Sector Spdr Tr Health Care	B	Dividend	M	T	Buy	08/04/17	M		
77. Vanguard Index FDS/Vanguard Growth ETF	B	Dividend	M	T	Buy	07/31/17	M		
78.					Buy (add'l)	12/21/17	J		
79. Vanguard Index FDS/Vanguard Small Cap Fund	B	Dividend	M	T	Buy	08/04/17	M		
80. Vanguard Specialized Funds Div App ETF	B	Dividend	M	T	Buy	07/31/17	M		
81.					Buy (add'l)	12/21/17	J		
82. Vanguard Index Funds High Dividend Yield	B	Dividend	M	T	Buy	08/04/17	L		
83. EOG Energy		None	J	T	Buy	09/25/17	J		
84. Freeport McMoran		None	J	T	Buy	01/24/17	J		
85. JP Morgan Growth & Income Fund	A	Dividend	J	T	Buy	12/08/17	J		

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86. PNC Financial	A	Dividend	J	T	Buy	01/24/17	J		
87. Pioneer National Resources	A	Dividend	J	T	Buy	09/25/17	J		
88. Under Armour	A	Dividend	J	T	Buy	12/18/17	J		
89. Fidelity Cash Reserves	A	Interest	J	T	Buy	12/21/17	J		
90. SPDR S&P Dividend ETF	A	Dividend	J	T	Buy	12/21/17	J		
91. Vanguard Whitehall FDS High Dividend		None	J	T	Buy	09/21/17	J		
92. Vanguard Index Funds Vanguard Value EFT	B	Dividend	J	T	Buy	09/21/17	J		
93. Vanguard Bond Index Total Bond Market ETF	B	Dividend	J	T	Buy	09/21/17	J		
94. Ishares Core US Aggregate Bond ETF		None	J	T	Buy	09/21/17	J		
95. Fidelity Gov Money Market	A	Interest	J	T	Buy	09/21/17	J		
96. Tetro-Westwood Mighty Mites	A	Dividend	J	T	Buy	09/21/17	J		
97. Citigroup	A	Dividend	K	T	Buy	09/21/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Notes

1. We made monthly contributions to these Fidelity 529 Education Plans. We contributed a total of \$2,000 monthly in 2017.
2. My husband's employer made automatic monthly contributions to these funds as part of his retirement through the beginning of July 2017. On July 24, 2017, my husband liquidated these funds and rolled them over into a new retirement account. He thereafter purchased stocks for the retirement account.
3. The fund changed from Fidelity Select Medical Delivery to Fidelity Select Health Care Services.
4. Nutrien merged with Potash. Potash was reflected on my 2016 Financial Disclosure Report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ AMY J. ST. EVE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544