

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gershon , Nina	2. Court or Organization EDNY	3. Date of Report 05/08/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) USDJ senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/1/2016 to 12/31/2016
7. Chambers or Office Address 225 Cadman Plaza E. Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	JAMS- miscellaneous
2. 2016	pension-NYState
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1. _____

2. _____

3. _____

4. _____

5. _____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. _____

2. _____

3. _____

4. _____

5. _____

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IBM comon stock	A	Dividend	K	T					
2. US Govt HH Bonds	E	Interest			Matured	12/23/16	K	A	
3. Fidelity Magellan IRA	A	Dividend			Redeemed	12/27/16	J	A	
4. Citibank Checking	A	Interest	J	T					
5. Chase CD (IRA)	A	Interest	J	T	Distributed (part)	11/17/16	J		
6. MorganStanley Active (Liquid) Assets	A	Dividend	J	T					
7. Kaufmann Fund	E	Dividend	N	T					
8. NYS Deferred Comp	E	Int./Div.	N	T	Distributed (part)	12/15/16	K	A	
9. NY Money Trust (MS)	A	Interest	J	T					
10. Vanguard 500 (IRA)	A	Dividend	M	T	Distributed (part)	12/15/16	K	A	
11. Fid Int. Govt Fund (IRA)	A	Interest			Distributed (part)	11/15/16	J	A	
12.					Redeemed	12/27/16	K	A	
13. Dreyfus US Int.Fund	A	Interest			Redeemed	12/27/16	J	A	
14. Vanguard GNMA Fund	B	Interest	L	T					
15. Dreyfus Mun. Bond Fund	B	Interest	K	T					
16. Vanguard GNMA (IRA)	B	Dividend	K	T	Distributed (part)	11/15/16	J	A	
17. NYS Hsg Finance Agency Bond	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Citibank Market Rate Acct	A	Interest	L	T					
19. NYS TWY Auth	B	Interest	L	T					
20. Triboro B&T Auth	B	Interest			Redeemed	11/15/16	K	A	
21. SuffolkCty Public Impr. Bond	B	Interest	L	T					
22. LA CA USD-A Bond	B	Interest	K	T					
23. Metropolitan W BE Bond	B	Interest	K	T					
24. VanguardTotalBondMktIndexFund(IRA)	B	Dividend	N	T					
25. NYC GO Ser L Bond	C	Interest	L	T					
26. NYS Dorm Auth Bond	B	Interest	K	T					
27. NYC Mun Water Bond	A	Interest	K	T					
28. MTA NY Trans Bond	A	Interest	K	T					
29. NYC Dorm Auth	B	Interest	K	T					
30. Vanguard 500	A	Dividend	N	T					
31. Nuveen Pre Inc Muni Fd	A	Interest	J	T					
32. Tribrg Tunl A	B	Interest	K	T					
33. PtAuth NY&NJ	B	Interest	K	T					
34. NYS GOB-E	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. NYC TFA Fiscal	B	Interest	K	T					
36. Vanguard SEP (IRA)(Total Intern'l Stock Index Fund)	A	Interest	M	T	Buy (add'l)	05/19/16	K		
37.					Buy (add'l)	09/15/16	K		
38. Vanguard Ext.Mkt.IndexFund	A	Dividend	K	T					
39. Vanguard Total Int'l Stock Index Fund	B	Dividend	K	T					
40. MinisinkVYCentSchDist	A	Interest	K	T					
41. StatePubSchBldgAuth PACollege	A	Interest	K	T					
42. NYS Dorm Auth	B	Interest	L	T					
43. WesternNassauCty WtrAuth	B	Interest	L	T					
44. Western InterMuni FD	B	Interest	K	T					
45. NYC GO Series G	A	Interest			Redeemed	04/11/16	J		
46. Vanguard 500	A	Dividend	K	T					
47. Triboro Bridge Tunnel	C	Interest	L	T	Buy	01/05/16	L		
48. NY Convention Ctr Dev Corp	A	Interest	K	T	Buy	09/15/16	L		
49. NYC NY TransFinAuth	B	Interest	K	T	Buy	11/21/16	K		
50.									
51.									

1. Income Gain Codes: (See Columns B1 and D4)	A -\$1,000 or less F -\$50,001 - \$100,000	B -\$1,001 - \$2,500 G -\$100,001 - \$1,000,000	C -\$2,501 - \$5,000 H1 -\$1,000,001 - \$5,000,000	D -\$5,001 - \$15,000 H2 -More than \$5,000,000	E -\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 -More than \$50,000,000	M =\$100,001 - \$250,000 P2 -\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 46:

This is an account, separate from my Vanguard 500 IRA fund, which I purchased on July 28, 2014 for \$40,000, and which I had inadvertently not separately listed previously.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Nina Gershon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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