

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                     |                                                                  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Gorton, Nathaniel M.                                                                                                  | <b>2. Court or Organization</b><br><br>District Court - Massachusetts                                                                                                                                                                                                                               | <b>3. Date of Report</b><br><br>09/28/2017                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>U.S. District Court - Active                                   | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input checked="" type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2016<br>to<br>12/31/2016 |
| <b>7. Chambers or Office Address</b><br><br>United States District Court<br>1 Courthouse Way, Suite 3110<br>Boston, MA 02210                                                               |                                                                                                                                                                                                                                                                                                     |                                                                  |
| <p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                                     |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

| <u>POSITION</u>                                      | <u>NAME OF ORGANIZATION/ENTITY</u>                                                                                                         |
|------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Stockholder, Clerk/Secretary and Director         | Slade Gorton Seafood Holdings, Inc., Boston, MA (closely held family seafood business) a MA corporation                                    |
| 2. Power of Attorney/Trustee (See NOTE in Part VIII) | Fidelity money market accounts (for all stockholders of Slade Gorton Seafood Holdings, Inc.) all of whom are relatives of the reporting pa |
| 3. Trustee (See NOTE in Part VIII)                   | Irrevocable Trust (created in connection with the estate plan of reporting party's sister)                                                 |
| 4. Trustee (See NOTE in Part VIII)                   | 1989 Irrevocable Trust and Irrevocable Sub S Trust (both created in connection with the estate plan of reporting party's brother)          |
| 5.                                                   |                                                                                                                                            |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| DATE          | SOURCE AND TYPE                                                                                                                                        | INCOME<br>(yours, not spouse's) |
|---------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|
| 1. 08/05/2016 | Slade Gorton Holdings, Inc. (closely held family seafood business)<br>corporate clerk/secretary, director, custodian, trustee and accounting<br>duties | \$10,000.00                     |
| 2.            |                                                                                                                                                        |                                 |
| 3.            |                                                                                                                                                        |                                 |
| 4.            |                                                                                                                                                        |                                 |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

| DATE | SOURCE AND TYPE |
|------|-----------------|
| 1.   |                 |
| 2.   |                 |
| 3.   |                 |
| 4.   |                 |

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

| SOURCE                                                   | DATES      | LOCATION      | PURPOSE                                           | ITEMS PAID OR PROVIDED                                                       |
|----------------------------------------------------------|------------|---------------|---------------------------------------------------|------------------------------------------------------------------------------|
| 1. New York Intellectual<br>Property Lawyers Association | 04/01/2016 | New York City | Attend annual NYIPLA<br>dinner at Waldorf Astoria | reimbursement for lodging and travel by<br>train w/spouse from Boston to NYC |
| 2.                                                       |            |               |                                                   |                                                                              |
| 3.                                                       |            |               |                                                   |                                                                              |
| 4.                                                       |            |               |                                                   |                                                                              |
| 5.                                                       |            |               |                                                   |                                                                              |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Slade Gorton Seafood Holdings, Inc. (a MA corporation)(common stock)                                                     | E                                       | Dividend                                      | P1                                              | Q                                         |                                                 |                         |                                 |                                | See Section VIII                                                  |
| 2. Fidelity Cash Reserves (individual)                                                                                      | A                                       | Int./Div.                                     | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Charitable Remainder Trust                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                | See Section VIII                                                  |
| 4. - Abbvie Inc. (bond)                                                                                                     |                                         | None                                          |                                                 |                                           | Matured                                         | 12/17/16                | J                               | A                              |                                                                   |
| 5. - Johnson & Johnson                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. - Jack Henry & Associates                                                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. - CVS Health Corp                                                                                                        | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 11/11/16                | J                               | D                              |                                                                   |
| 8. - CVS Caremark Corp (bond)                                                                                               | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. - Automatic Data Processing Inc                                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. - TJX Companies Inc.                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. - Ecolab Inc. (bond)                                                                                                    |                                         | None                                          |                                                 |                                           | Matured                                         | 12/08/16                | J                               |                                |                                                                   |
| 12. - Ryder Systems Inc (bond)                                                                                              | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. - Medtronic Inc.(bond)                                                                                                  | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. - Comcast Corp                                                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. - Microsoft Corp                                                                                                        | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. - Abbvie, Inc.                                                                                                          | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 05/25/16                | J                               | D                              |                                                                   |
| 17. - Nike Inc                                                                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                       |                                                                             |                                                        |                                                            |                                                                |                         |
|-----------------------|-----------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes: | A = \$1,000 or less<br>(See Columns B1 and D4)                              | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000    | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000           | E = \$15,001 - \$50,000 |
| 2. Value Codes        | F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>(See Columns C1 and D3) | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000   |                         |
| 3. Value Method Codes | N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000               | Q = Appraisal<br>U = Book Value                        | R = Cost (Real Estate Only)<br>V = Other                   | P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | T = Cash Market         |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                 |   |          |   |   |                |          |   |   |  |
|---------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 18. - UnitedHealth Group Inc    | A | Dividend | J | T |                |          |   |   |  |
| 19. - Procter & Gamble Co       | A | Dividend | J | T |                |          |   |   |  |
| 20. - Costco Wholesale Corp     | A | Dividend | K | T |                |          |   |   |  |
| 21. - 3M Co                     | A | Dividend | J | T |                |          |   |   |  |
| 22. - Emerson Electric Co       | A | Dividend |   |   | Sold           | 08/12/16 | J | C |  |
| 23. - United Technologies Corp  | A | Dividend | K | T |                |          |   |   |  |
| 24. - RPM Corp                  | A | Dividend | K | T |                |          |   |   |  |
| 25. - American Express Co       | A | Dividend |   |   | Sold           | 01/11/16 | J | B |  |
| 26. - JP Morgan Chase & Co      | A | Dividend | J | T |                |          |   |   |  |
| 27. - Public Storage Inc        | A | Dividend |   |   | Sold           | 10/05/16 | J | B |  |
| 28. - Novo Nordisk AS ADR-B     | A | Dividend | J | T | Sold<br>(part) | 04/26/16 | J | B |  |
| 29. - Merck & Co. Inc.          | A | Dividend | J | T | Buy            | 05/20/16 | J |   |  |
| 30. - Patterson Cos Inc         | A | Dividend | J | T | Buy            | 05/20/16 | J |   |  |
| 31. - Waters Corporation        |   | None     | J | T | Buy            | 05/20/16 | J |   |  |
| 32. - Stericycle                |   | None     |   |   | Buy            | 08/12/16 | J |   |  |
| 33.                             |   |          |   |   | Sold           | 10/13/16 | J |   |  |
| 34. - AstraZeneca Group PLC ADR | A | Dividend | J | T | Buy            | 11/11/16 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                           |   |          |   |   |                |          |   |  |                  |
|-------------------------------------------|---|----------|---|---|----------------|----------|---|--|------------------|
| 35. Boston Financial Management, Inc. IRA |   |          |   |   |                |          |   |  | See Section VIII |
| 36. - Copart Inc.                         |   | None     | L | T |                |          |   |  |                  |
| 37. - Patterson Cos Inc                   | B | Dividend | L | T | Buy<br>(add'l) | 05/20/16 | J |  |                  |
| 38. - Jack Henry & Associates, Inc.       | A | Dividend | K | T |                |          |   |  |                  |
| 39. - Check Point Software Tech Ltd       |   | None     |   |   | Sold           | 08/16/16 | J |  |                  |
| 40. - Praxair, Inc. (bond)                | B | Interest | K | T |                |          |   |  |                  |
| 41. - Stryker Corp (bond)                 |   | None     |   |   | Matured        | 09/30/16 | K |  |                  |
| 42. - Ecolab Inc (bond)                   |   | None     |   |   | Matured        | 12/08/16 | K |  |                  |
| 43. - Ford Motor Credit Co LLC (bond)     | B | Interest | K | T |                |          |   |  |                  |
| 44. - Royal Bank of Canada (bond)         | A | Interest | K | T |                |          |   |  |                  |
| 45. - CVS Caremark Corp (bond)            | A | Interest | K | T |                |          |   |  |                  |
| 46. - Unilever Capital Corp (bond)        | A | Interest | K | T |                |          |   |  |                  |
| 47. - Microsoft Corp                      | A | Dividend | K | T |                |          |   |  |                  |
| 48. - Comcast Corp                        | A | Dividend | J | T |                |          |   |  |                  |
| 49. - American Tower Corp - CL A          | A | Dividend | K | T |                |          |   |  |                  |
| 50. - iShares TR S&P Midcap 400           | A | Dividend | L | T |                |          |   |  |                  |
| 51. - iShares TR S&P Small Cap 600        | A | Dividend | L | T |                |          |   |  |                  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                     |   |          |   |   |         |          |   |   |  |
|-------------------------------------|---|----------|---|---|---------|----------|---|---|--|
| 52. - Emerson Elec Co               | A | Dividend |   |   | Sold    | 08/12/16 | L | E |  |
| 53. - Verizon Communications (bond) | A | Interest | K | T |         |          |   |   |  |
| 54. - Suntrust Bks Inc (bond)       |   | None     |   |   | Matured | 03/15/16 | K |   |  |
| 55. - Capital One Finl Co (bond)    |   | None     |   |   | Matured | 07/15/16 | K |   |  |
| 56. - Southern Co (bond)            |   | None     |   |   | Matured | 09/10/16 | K |   |  |
| 57. - Lockheed Martin Corp (bond)   |   | None     |   |   | Matured | 09/15/16 | K |   |  |
| 58. - Beam Inc. (bond)              | A | Interest | K | T |         |          |   |   |  |
| 59. - Pitney Bowes Inc (bond)       | B | Interest | K | T |         |          |   |   |  |
| 60. - Oneok Partners LP (bond)      | A | Interest | K | T |         |          |   |   |  |
| 61. - Nike Inc CL B                 | A | Dividend | L | T |         |          |   |   |  |
| 62. - Diageo PLC ADR                | A | Dividend | K | T |         |          |   |   |  |
| 63. - Procter & Gamble Company      | A | Dividend | J | T |         |          |   |   |  |
| 64. - Anadarko Pete Corp            | A | Dividend |   |   | Sold    | 01/18/16 | K | D |  |
| 65. - Chevron Corp                  | B | Dividend | L | T |         |          |   |   |  |
| 66. - American Express Co           | A | Dividend |   |   | Sold    | 01/06/16 | L | D |  |
| 67. - Chubb Corp                    | A | Dividend |   |   | Sold    | 01/20/16 | K | B |  |
| 68. - JP Morgan Chase & Co          | A | Dividend | K | T |         |          |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. - Abbvie Inc                                                                                                            | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 05/20/16                | K                               | E                              |                                                                   |
| 70. - Johnson & Johnson                                                                                                     | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 71. - Novo-Nordisk AS ADR-B                                                                                                 | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 72. - United Health Group Inc                                                                                               | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 73. - 3M Co                                                                                                                 | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 74. - United Technologies Corp                                                                                              | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 75. - Cognizant Technology Solutions Corp                                                                                   |                                         | None                                          |                                                 |                                           | Sold                                            | 10/13/16                | J                               |                                |                                                                   |
| 76. - Air Prods & Chems Inc                                                                                                 | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 77. - Versum Materials Inc (spinoff of Air Prods<br>& Chems Inc                                                             | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/21/16                | J                               | A                              |                                                                   |
| 78. - RPM International Inc Com                                                                                             | B                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 79. - Avalon Bay Cmmtys Inc                                                                                                 | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 11/01/16                | K                               | E                              |                                                                   |
| 80. - Matthews Asia Small Cos                                                                                               | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 81. - Merck & Co Inc. (bond)                                                                                                | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 02/24/16                | K                               |                                |                                                                   |
| 82. - Autozone Inc. (bond)                                                                                                  | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 09/29/16                | K                               |                                |                                                                   |
| 83. - Berkshire Hathaway Fin Corp (bond)                                                                                    | B                                       | Interest                                      | K                                               | T                                         | Buy                                             | 10/31/16                | K                               |                                |                                                                   |
| 84. - Apple Inc (bond)                                                                                                      | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 11/01/16                | K                               |                                |                                                                   |
| 85. - NBC Universal Media LLC (bond)                                                                                        | B                                       | Interest                                      | K                                               | T                                         | Buy                                             | 11/02/16                | K                               |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                       |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|---------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                 | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$5,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q ~Appraisal<br>U ~Book Value                                                      | R ~Cost (Real Estate Only)<br>V ~Other               | S ~Assessment<br>W ~Estimated                                                         | T ~Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gorton, Nathaniel M.

Date of Report

09/28/2017

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. - Moodys Corp                                                                                                           | B                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 01/06/16                | L                               |                                |                                                                   |
| 87. - Waters Corporation                                                                                                    |                                         | None                                          | K                                               | T                                         | Buy                                             | 01/08/16                | K                               |                                |                                                                   |
| 88.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/20/16                | J                               |                                |                                                                   |
| 89. - Chubb Limited                                                                                                         |                                         | None                                          |                                                 |                                           | Buy                                             | 01/15/16                | J                               |                                |                                                                   |
| 90.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 09/09/16                | K                               | B                              |                                                                   |
| 91. - Merck & Co. Inc.                                                                                                      | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 05/20/16                | J                               |                                |                                                                   |
| 92. - Stericycle                                                                                                            |                                         | None                                          |                                                 |                                           | Buy                                             | 08/12/16                | L                               |                                |                                                                   |
| 93.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 10/13/16                | K                               |                                |                                                                   |
| 94. - Easterline Technologies Corporation                                                                                   |                                         | None                                          | J                                               | T                                         | Buy                                             | 08/19/16                | J                               |                                |                                                                   |
| 95. - CME Group Inc                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 09/09/16                | K                               |                                |                                                                   |
| 96. - AstraZeneca Group PLC ADR                                                                                             | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 11/11/16                | K                               |                                |                                                                   |
| 97. Boston Financial Management, Inc.<br>Revocable Trust                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                | See Section VIII                                                  |
| 98. - CVS Caremark Corp (bond)                                                                                              | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 99. - Deere & Company (bond)                                                                                                | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 100. - Comcast Corp                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/22/16                | J                               |                                |                                                                   |
| 101. - TJX Companies Inc                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 102. - Costco Whsl Corp                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gorton, Nathaniel M.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. - Unilever Plc ADR                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 08/23/16                | J                               | A                              |                                                                   |
| 104. - Chubb Corp                                                                                                           | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 01/15/16                | J                               | D                              |                                                                   |
| 105. - Danaher Corp                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 106. - Fortive Corp (spinoff of Danaher Corp)                                                                               | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 08/15/16                | J                               | A                              |                                                                   |
| 107. - Emerson Electric Co                                                                                                  | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 08/12/16                | J                               | B                              |                                                                   |
| 108. - Cognizant Technology Solutions Corp                                                                                  | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/13/16                | J                               |                                |                                                                   |
| 109. - Welltower Inc.                                                                                                       | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 110. - iShares TR S&P Midcap 400                                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 111. - iShares TR S&P Small Cap 600                                                                                         | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 112. - United Health Group Inc                                                                                              | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 113. - Kellogg Co (bond)                                                                                                    |                                         | None                                          |                                                 |                                           | Matured                                         | 05/30/16                | K                               |                                |                                                                   |
| 114. - Amazon Com Inc                                                                                                       |                                         | None                                          | K                                               | T                                         | Buy<br>(add'l)                                  | 02/22/16                | J                               |                                |                                                                   |
| 115. - Nike Inc CL B                                                                                                        | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 116. - Anheuser Busch Inbev SA/NV-SP ADR                                                                                    | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 117. - Diago Plc ADR                                                                                                        | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/22/16                | J                               |                                |                                                                   |
| 118. - McCormick & Co Inc                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 119. - Anadarko Pete Corp                                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 01/08/16                | J                               | B                              |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                  | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                        | T = Cash Market                                            |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gorton, Nathaniel M.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                        |   |          |   |   |                |          |   |   |  |
|--------------------------------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 120. - Chevron Corp                                    | A | Dividend | J | T |                |          |   |   |  |
| 121. - Schlumberger                                    | A | Dividend |   |   | Sold           | 04/29/16 | J |   |  |
| 122. - American Express Co                             | A | Dividend |   |   | Sold           | 01/06/16 | J | A |  |
| 123. - JP Morgan Chase & Co                            | A | Dividend | J | T |                |          |   |   |  |
| 124. - Abbvie Inc                                      | A | Dividend |   |   | Sold           | 06/08/16 | J | C |  |
| 125. - Bristol Meyers Squibb Co                        | A | Dividend | J | T |                |          |   |   |  |
| 126. - Johnson & Johnson                               | A | Dividend | K | T |                |          |   |   |  |
| 127. - United Health Group Inc.                        | A | Dividend | K | T |                |          |   |   |  |
| 128. - 3M Co                                           | A | Dividend | J | T | Buy<br>(add'l) | 02/22/16 | J |   |  |
| 129. - United Technologies Corp                        | A | Dividend | J | T |                |          |   |   |  |
| 130. - Apple Computer Inc                              | A | Dividend | J | T |                |          |   |   |  |
| 131. - Automatic Data Processing                       | A | Dividend | J | T |                |          |   |   |  |
| 132. - Check Point Software Tech Ltd                   | A | Dividend |   |   | Sold           | 08/16/16 | J | A |  |
| 133. - Microsoft Corp                                  | A | Dividend | J | T |                |          |   |   |  |
| 134. - Air Prods & Chems                               | A | Dividend | J | T |                |          |   |   |  |
| 135. - Versum Materials (spinoff of Air Prods & Chems) | A | Dividend |   |   | Sold           | 10/21/16 | J | A |  |
| 136. - Praxair Inc                                     | A | Dividend | J | T |                |          |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. - RPM International Inc Com                                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 138. - Avalonbay Cmnty Inc                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 139. - Public Storage Inc                                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/05/16                | J                               | A                              |                                                                   |
| 140. - Oracle Corp (Bond)                                                                                                   | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 141. - Copart Inc.                                                                                                          |                                         | None                                          | J                                               | T                                         | Buy<br>(add'l)                                  | 02/22/16                | J                               |                                |                                                                   |
| 142. - Patterson Cos Inc                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/22/16                | J                               |                                |                                                                   |
| 143. - Norwood, MA - GO (Bond)                                                                                              | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 07/20/16                | K                               |                                |                                                                   |
| 144. - Shrewsbury, MA - GO (Bond)                                                                                           | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 07/21/16                | K                               |                                |                                                                   |
| 145. - Moodys Corp                                                                                                          | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 01/06/16                | J                               |                                |                                                                   |
| 146. - Waters Corporation                                                                                                   |                                         | None                                          | J                                               | T                                         | Buy                                             | 01/08/16                | J                               |                                |                                                                   |
| 147. - Chubb Limited                                                                                                        | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/15/16                | J                               |                                |                                                                   |
| 148.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/22/16                | J                               |                                |                                                                   |
| 149.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 09/09/16                | J                               | B                              |                                                                   |
| 150. - Alphabet Inc-CL C                                                                                                    |                                         | None                                          | J                                               | T                                         | Buy                                             | 02/22/16                | J                               |                                |                                                                   |
| 151. - Stericycle                                                                                                           |                                         | None                                          |                                                 |                                           | Buy                                             | 08/12/16                | J                               |                                |                                                                   |
| 152.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/13/16                | J                               |                                |                                                                   |
| 153. - Esterline Technologies Corporation                                                                                   |                                         | None                                          | J                                               | T                                         | Buy                                             | 08/16/16                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gorton, Nathaniel M.

Date of Report

09/28/2017

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154. - Carter Inc Com                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 08/23/16                | J                               |                                |                                                                   |
| 155. - CME Group Inc                                                                                                        | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 09/09/16                | K                               |                                |                                                                   |
| 156. - Mastercard Inc - Class A                                                                                             | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 11/15/16                | J                               |                                |                                                                   |
| 157. - Sun Life Finl Inc                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 11/15/16                | J                               |                                |                                                                   |
| 158. Boston Financial Management Inc<br>Revocable Trust                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                | See Section VIII                                                  |
| 159. - Phillips 66 (Bond)                                                                                                   | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 160. - Bristol Myers Squibb Co                                                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 161. - Johnson & Johnson                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/23/16                | J                               |                                |                                                                   |
| 162. - Costco Wholesale Corp                                                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 163. - Amazon.com Inc.                                                                                                      |                                         | None                                          | J                                               | T                                         | Buy<br>(add'l)                                  | 02/23/16                | J                               |                                |                                                                   |
| 164. - Automatic Data Processing Inc                                                                                        | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 165. - Automatic Data Processing Inc. (Bond)                                                                                | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 166. - Emerson Electric Co                                                                                                  | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 08/12/16                | J                               | A                              |                                                                   |
| 167. - United Technologies Corp                                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 168. - Apple Inc                                                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 169. - Chubb Corp                                                                                                           | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 01/15/16                | J                               | B                              |                                                                   |
| 170. - American Express Co                                                                                                  |                                         | None                                          |                                                 |                                           | Sold                                            | 01/06/16                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gorton, Nathaniel M.

Date of Report

09/28/2017

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171. - American Tower Corp                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 172. - Anheuser Busch Inbev SA/NV-SP ADR                                                                                    | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 173. - Diageo PLC ADR                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/23/16                | J                               |                                |                                                                   |
| 174. - Wells Fargo & Co                                                                                                     |                                         | None                                          |                                                 |                                           | Sold                                            | 06/17/16                | J                               |                                |                                                                   |
| 175. - Comcast Corp                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 176. - TJX Companies                                                                                                        | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 177. - McCormick & Co Inc                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 178. - Unilever PLC ADR                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 08/23/16                | J                               | A                              |                                                                   |
| 179. - Check Point Software Tech Ltd                                                                                        | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 08/16/16                | J                               | A                              |                                                                   |
| 180. - Praxair Inc                                                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 181. - Kellogg Co (Bond)                                                                                                    |                                         | None                                          |                                                 |                                           | Matured                                         | 05/30/16                | K                               |                                |                                                                   |
| 182. - Bank of Nova Scotia (bond)                                                                                           |                                         | None                                          |                                                 |                                           | Matured                                         | 03/29/16                | K                               |                                |                                                                   |
| 183. - Nike Inc - B                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 184. - Abbvie Inc                                                                                                           |                                         | None                                          |                                                 |                                           | Sold                                            | 06/08/16                | J                               | B                              |                                                                   |
| 185. - United Health Group Inc                                                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 186. - Cognizant Technology Solutions Corp                                                                                  | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/13/16                | J                               | A                              |                                                                   |
| 187. - Microsoft Corp                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                       |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|---------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                 | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$5,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q ~Appraisal<br>U ~Book Value                                                      | R ~Cost (Real Estate Only)<br>V ~Other               | S ~Assessment<br>W ~Estimated                                                         | T ~Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gorton, Nathaniel M.

Date of Report

09/28/2017

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 188. - 3M Co                                                                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 189. - Chevron Corp                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         | Donated<br>(part)                               |                         |                                 |                                |                                                                   |
| 190. - Welltower Inc.                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/23/16                | J                               |                                |                                                                   |
| 191. - JP Morgan Chase & Co                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 192. - Avalonbay Communities Inc                                                                                            | A                                       | Dividend                                      |                                                 |                                           | Donated                                         |                         |                                 |                                |                                                                   |
| 193. - Public Storage Inc                                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/05/16                | J                               | A                              |                                                                   |
| 194. - Schlumberger Ltd                                                                                                     |                                         | None                                          |                                                 |                                           | Sold                                            | 04/29/16                | J                               |                                |                                                                   |
| 195. - Gilead Sciences                                                                                                      |                                         | None                                          |                                                 |                                           | Sold                                            | 12/16/16                | J                               |                                |                                                                   |
| 196. - Novo-Nordisk AS ADR-B                                                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 197. - Air Prods & Chems Inc.                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 198. - Versum Materials Inc. (spinoff of Air<br>Prods & Chems Inc.)                                                         | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/21/16                | J                               | A                              |                                                                   |
| 199. - RPM International Inc Com                                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 200. - iShares TR S&P Midcap 400                                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 201. - iShares TR S&P Small Cap 600                                                                                         | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 202. - Copart Inc                                                                                                           |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 203. - Patterson Cos Inc                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 204. - Jack Henry & Associates Inc                                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gorton, Nathaniel M.

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 205. - Apple Inc SR GLBL NT (Bond)                                                                                          | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 05/27/16                | K                               |                                |                                                                   |
| 206. - US Treasury Note (Bond)                                                                                              | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 08/29/16                | K                               |                                |                                                                   |
| 207. - Amgen, Inc. (Bond)                                                                                                   | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 09/01/16                | K                               |                                |                                                                   |
| 208. - Moodys Corp                                                                                                          | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 01/06/16                | J                               |                                |                                                                   |
| 209. - Chubb Limited                                                                                                        | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/15/16                | J                               |                                |                                                                   |
| 210. -                                                                                                                      |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/23/16                | J                               |                                |                                                                   |
| 211. -                                                                                                                      |                                         |                                               |                                                 |                                           | Sold                                            | 09/09/16                | J                               | A                              |                                                                   |
| 212. - Watsco Inc                                                                                                           | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 02/23/16                | J                               |                                |                                                                   |
| 213. - Qualcomm Inc                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 07/21/16                | J                               |                                |                                                                   |
| 214. - Stericycle                                                                                                           |                                         | None                                          |                                                 |                                           | Buy                                             | 08/12/16                | J                               |                                |                                                                   |
| 215. -                                                                                                                      |                                         |                                               |                                                 |                                           | Sold                                            | 10/13/16                | J                               |                                |                                                                   |
| 216. - Esterline Technologies Corporation                                                                                   |                                         | None                                          | J                                               | T                                         | Buy                                             | 08/16/16                | J                               |                                |                                                                   |
| 217. - Carter Inc Com                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 08/23/16                | J                               |                                |                                                                   |
| 218. - CME Group Inc                                                                                                        | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 09/09/16                | J                               |                                |                                                                   |
| 219. - Bank of America                                                                                                      | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                       |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|---------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
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| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$5,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gorton, Nathaniel M.

Date of Report

09/28/2017

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Lines 2 - 4 NOTE: None of the beneficiaries of the trusts and accounts listed in Part I of which the reporting party is a trustee is a dependent of the reporting party and neither the reporting party nor his spouse has any beneficial interest in or control over the disposition of assets of such trusts and accounts.

Part VII, Line 1 - Income of the reporting party reported in Section VII is attributable to his pro rata share of the income of Slade Gorton Seafood Holdings, Inc. formerly SG Seafood Holdings, Inc. which is taxed as an S corporation whereby all corporate income is deemed passed through and taxable to the individual stockholders whether or not such income is, in fact, distributed. The value of the stock holdings is appraised from time to time by a certified financial planner, last done in 2012.

Part VII, Line 3 - In 1997 the reporting party and spouse funded a charitable remainder trust of which they are the income beneficiaries for life but in which they retain no right to principal.

Part VII, Lines 3, 35, 97 and 158 - The assets listed on these lines (and on the dashed ("-") lines that follow) are managed by Boston Financial Management, Inc., Boston MA and consist of stocks and bonds in publicly held companies and equity holdings based on certain indices.

Part VII, Line 35 - The reporting party is now required to withdraw a certain minimum amount from his IRA account each year.

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Gorton, Nathaniel M.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Nathaniel M. Gorton**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544