

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) PARKER, JAMES A.	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 05/02/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S.DIST.JUDGE(SENIOR)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 421 Gold Ave., S.W. ALBUQUERQUE, N.M. 87102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Manager	JFPF [REDACTED], LLC
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

PARKER, JAMES A.

Date of Report

05/02/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

PARKER, JAMES A.

Date of Report

05/02/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

PARKER, JAMES A.

Date of Report

05/02/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Delta Petroleum		None			Sold	08/31/12	J	A	Sold for loss
2. Denver Minerals & Royalty	D	Distribution	N	T					
3. U.S. Treas Notes	A	Interest	N	T					
4. CkngAcct.&CDs BkAm-Albuquerque, NM	A	Interest	K	T					
5. Agency Acct- JPMorgan	A	Interest			Donated				
6. Parcel #1, Harris Co., TX (Undivided 50%)		None	N	S					
7. Parcel #3, Harris Co., TX (Undivided 50%)		None	O	S					
8. Parcel #1, Live Oak Co., TX (Undivided 50%)	D	Rent	N	S					
9. Parcel #1, Bernalillo Co. NM (Undivided 25%)		None	K	S					
10. Parcel #2, Bernalillo Co., NM (Undivided 50%)		None	K	S					
11. MINERAL ROYALTY PROPERTIES:									
12. LOUISIANA									
13. Common Leases, Ascension Parish	A	Royalty			Donated				
14. Various Leases, Plaquemine Parish	E	Royalty			Donated				
15. TEXAS									
16. Dobie Ranch, Live Oak Co.	E	Royalty	N	W					
17. COLORADO									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

PARKER, JAMES A.

Date of Report

05/02/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. O.R.R.I. & R.I., Weld & Adams Cos.	G	Royalty	P1	U					
19. MINERAL WORKING INTERESTS:									
20. 4 Wells, Adams Co., CO.	G	Distribution	N	U					
21. 1 Well, Arapahoe Co, CO.		None	J	U					
22. 8 Wells, Washington Co., CO	F	Distribution	N	U	Buy (add'l)	05/01/12	M		r
23. 12 Wells, Weld Co, CO	F	Distribution	N	U					
24. 1 Well, Elbert Co., CO	C	Distribution	J	U					
25. Parcel #1, La Plata Co., CO. (land,cabins,water)(25% int.)	B	Rent	M	S					
26. Noble Energy	A	Dividend	L	T					
27. EMC Corp		None	J	T					
28. Siemens AG	A	Dividend	J	T					
29. Johnson & Johnson	A	Dividend	K	T					
30. Celgene Corp		None	K	T					
31. Verizon Global Note		None			Matured		K		
32. Bank of America CDs & Accts. (JFPF LLC)	D	Interest	N	T					
33. Franklin U.S. Government Securities	D	Interest	N	T					
34. Templeton Income Global	C	Distribution	M	T	Buy (add'l)	12/20/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

PARKER, JAMES A.

Date of Report

05/02/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Franklin Large Cap Equity	C	Interest	O	T	Sold (part)	12/13/12	N	E	
36. Vanguard Emerging Markets	C	Interest	M	T					
37. L'Occitane		None	K	T					
38. Mile High Flea Market	E	Distribution	M	W					
39. AFG, LLC		None			Expired	07/12/12	J		
40. Westfield Res. Partners		None	L	W	Buy (add'l)	05/09/12	L		Westfield Res. Partners
41. Apple Inc	A	Dividend	K	T					
42. TEVA Pharmaceutical Inds	A	Dividend	J	T					
43. JP Morgan Chase	A	Dividend	J	T					
44. Apex Operating Co., LLC		None	N	W	Buy	05/30/12	N		Apex Operating Co. LLC
45. Li & Fung Ltd		None			Sold	12/17/12	J	A	Sold for loss
46. Hyundai Motor Co		None	K	T					
47. Skyworks Solutions Inc		None	J	T					
48. Pioneer Oak Ridge Small Cap		None	J	T					
49. Franklin Small Cap	A	Dividend	L	T					
50. ACE Ltd	A	Dividend	K	T					
51. Prospect Global Resources		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

PARKER, JAMES A.

Date of Report

05/02/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. Facebook		None			Buy	05/22/12	J		
53. Facebook		None			Sold	06/05/12	J	A	Sold for loss
54. USAA Fund Advisor Account (X)	A	Int./Div.	N	T					
55. USAA CDs (X)	A	Interest	K	T					
56. Compass/BBVA check acct. (X)	A	Interest	M	T					
57. Compass/BBVA trust acct (X)	A	Int./Div.	K	T					
58. AXA IRA Acct (X)	A	Int./Div.	K	T					
59. Mineral Int.-- Love, Co. OK; Cook Co., Jefferson Co.,TX (X)	A	Royalty	J	W					
60.									
61.									
62.									
63.									
64.									
65.									
66.									
67.									
68.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

PARKER, JAMES A.

Date of Report

05/02/2013

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.									
70.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

PARKER, JAMES A.

Date of Report

05/02/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

During 2012 I made charitable gifts exceeding \$1,000.00 in value to University of New Mexico Foundation, Rice University, United Way of Central New Mexico, St. John's Cathedral, Albuquerque Museum Foundation, University of Texas Law School Foundation, Colorado Uplift, Family in Christ Community Church, and Boulder County K-Life.

During 2012 I made gifts exceeding \$1,000.00 in value of interests in JPFP LLC [REDACTED]

The securities shown on Lines 1,3,26-30,33-37,41-43, 45-50, 52 of Section VII were held during 2012 by Fiduciary Trust International either in an I.R.A. account and/or in a managed account.

The agency account at J.P. Morgan Private Bank (line 5, Section VII) is an account in which [REDACTED] each had an undivided 50% interest. The primary assets for which J.P. Morgan Private Bank is agent are Louisiana mineral royalty properties (Lines 12-14, Section VII). The royalty income received by the Bank is invested in money-market funds which earn interest or dividends in the amount stated on line 5, Section VII. The royalty income from and the values of the mineral properties held in the agency account are stated at lines 13-14 of Section VII. I donated my interest in the Louisiana mineral properties to [REDACTED] at the end of 2012, so my interest in the agency account ended at that time.

Value code S was used for the following properties:

Line 6 Parcel #1, Harris Co., TX \$556,348 (JPFP, LLC 50%= \$278,174
Line 7 Parcel #3, Harris Co., TX \$3,049,852 (JPFP, LLC 50%= \$1,524,926
Line 8 Parcel #1, Live Oak Co., TX \$1,498,771 (JPFP, LLC 50%= \$749,385
Line 9 Parcel #1, Bernalillo Co., NM \$162,900 (JPFP, LLC 25%= \$40,725
Line 10 Parcel #2 Bernalillo Co., NM \$65,700 (JPFP, LLC 50%= \$37,850
Line 25 Parcel #1, La Plata Co., Co \$562,070 (JPFP, LLC 25%= \$142,210

During 2012 JPFP [REDACTED], LLC received a refund for overpayment of state taxes from Louisiana within income code A.

The descriptions on Lines 11, 12, 15, 17 and 19 of Section VII are merely headers.

During 2012 I received a distribution within Value A from the Estate [REDACTED]

On Line 22, Section VII of this 2012 Report I have reported 8 wells in Washington Co., Colorado which resulted from the purchase of an additional 3 wells on May 1, 2012.

The Verizon Global Note (shown on Line 31, Section VII) was called and paid in full (Value K, Gain A) on November 28, 2011 and should have been reported on my 2011 Report.

The investment in MBH Enterprises (Line 39, Section VII of my 2011 Report) ended with a final payout on 11/01/10. On my 2011 report I showed income from MBH Enterprises that actually was attributable to Mile High Flea Market, a related venture. On my 2012 Report I have identified Mile High Flea Market in place of MBH Enterprises on Line 38, Section VII.

On Lines 52 and 53, Section VII of this 2012 Report I have shown the purchase and sale (within 3 weeks of the purchase) of Facebook stock. This was purchased by an account manager at Fiduciary Trust, where I have an account, without my knowledge. Because of concerns about a potential conflict of interest as a Judge, I directed the sale of this stock as soon as I became aware of the purchase.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

PARKER, JAMES A.

Date of Report

05/02/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ JAMES A. PARKER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544