

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Head, Jr., Hayden W.	2. Court or Organization U.S. District Court, Southern District of Texas	3. Date of Report 06/25/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. District Courthouse 1133 N. Shoreline Blvd Corpus Christi, TX 78401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Farm Leasing Agent	Grayson Farm, Grayson county, Texas
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA-Herndon Plant Oakley									
2. -Wells Fargo Sweep Account fka Prime Money Market Fund	A	Int./Div.	L	T					See VIII
3. -Blackrock Strategic Dividend Achievers Trust (BDP)		None			Merged (with line 4)	2/27/12	J		See VIII
4. -Blackrock Enhanced Equity Dividend Trusts	A	Dividend	J	T	Buy (add'l)	12/31/12	J		
5. -Blackrock Enhanced Equity Dividend Trusts	A	Dividend			Merged (with line 4)	3/30/12	J		
6. -Blackrock Enhanced Equity Dividend Trusts	A	Dividend			Merged (with line 4)	6/29/12	J		
7. -Blackrock Enhanced Equity Dividend Trusts	A	Dividend			Merged (with line 4)	9/28/12	J		
8. -Blackrock Enhanced Equity Dividend Trusts	A	Dividend			Merged (with line 4)	12/31/12	J		
9. Herndon Plant Oakley Brokerage Account									
10. -Wells Fargo Sweep Account fka Prime Money Market Fund	A	Int./Div.	J	T					See VIII
11. -Susser Petroleum Partners LP			J	T	Buy	12/24/12	J		
12. -U.S. Treasury Bond, due 1/15/21	C	Int./Div.	L	T					
13. American Bank Accounts, Corpus Christi TX	A	Int./Div.	J	T					
14. Becks Prime Boc Ltd, Partnership Unit		None	J	U					
15. (Becks) Dairy-Ashford /I-10 SE, Ltd Partnership Unit	B	Distribution	J	U					
16. Transamerica Occidental; Univeral Life Ins Policy	C	Int./Div.	L	T					
17. Overriding Royalty Int, Nueces Co, Tx & Borden Co. TX	B	Royalty	J	W					See VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Farm, Grayson Co, Texas	C	Rent	O	W					See VIII
19. Genworth Life and Annuity Insurance (formerly First Colony)	A	Int./Div.	J	T					
20. 401(k) Div Inv Var Fund Diversivide Inv Advisor	B	Dividend	K	T					
21. U. S. Savings bonds, Series EE	C	Int./Div.			Matured	8/1/2012	J		See VIII
22. Paid Up Oil and Gas Lease, Grayson Co., TX		None			Matured	8/9/12	J		See VIII
23. Garden Home unit Aransas County, TX		None			Sold	8/30/12	L	B	See VIII
24. Navy/Army FCU, Corpus Christi TX (X)	A	Int./Div.	L	T	Buy	8/30/12	L		See VIII
25.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The following notes apply to Section VII. Investments and Trusts, Item #

2 - This is a name change only

10 - This is a name change only

19 Production is sold by Sunoco Partners Marketing & Terminals L.P.

20 The annual tax appraisals from Grayson county are used to evaluate the farm. These appraisals include both the agricultural value and the fair market value.

21. This is an estimated date

24 The lease provides for a three year primary term with a two year option for rights to explore for, develop, produce and market oil and gas along with all hydrocarbon nonhydrocarbon substances produced in association therewith. It is fully paid up as it requires no additional rentals. If production is obtained, then this document governs the royalties to be paid at Three Sixteenths of said production. No income was received in 2012. This lease is lease expired in 2012. The exact date is an estimate.

25 [REDACTED] The value reported is [REDACTED] 50% interest in the real estate. This property was sold August 30, 2012.

26. [REDACTED] . The value reported is [REDACTED] 1/2 interest.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Hayden W. Head, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544