

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ginsburg, Douglas H.	2. Court or Organization D.C. Circuit	3. Date of Report 08/3/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge (senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Courthouse 333 Constitution Avenue, NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Professor of Law	George Mason University Antonin Scalia Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	George Mason University Antonin Scalia Law School	\$354,310.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. George Mason Univ. Antonin Scalia Law School	1/1/2015 - 1/6/2015	West Palm Beach, FL	Family Fundraising	Travel related expenses
2. American Bar Association	1/15/2015 - 1/19/2015	St. Kitts, Nevis	Professional Association	Travel related expenses
3. George Mason Univ. Antonin Scalia Law School	2/5/2015 - 2/8/2015	St. Kitts, Nevis	Teaching	Travel related expenses
4. George Mason Univ. Antonin Scalia Law School	2/5/2015 - 2/8/2015	Duck Key, FL	Teaching	Travel related expenses
5. George Mason Univ. Antonin Scalia Law School	2/9/2015 - 2/10/2015	West Palm Beach, FL	Family Fundraising	Travel related expenses

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6.	George Mason Univ. Global Antitrust Inst.	2/27/2015 - 3/4/2015	Singapore, Singapore	Teaching	Travel related expenses
7.	George Mason Univ. Antonin Scalia Law School	3/12/2015 - 3/15/2015	West Palm Beach, FL	Family Fundraising	Travel related expenses
8.	Mont Perlin Society	3/21/2015 - 3/24/2015	Lima, Peru	Professional Association	Travel related expenses
9.	George Mason Univ. Antonin Scalia Law School	3/25/2015 - 3/26/2015	Lima, Peru	Teaching	Travel related expenses
10.	George Mason Univ. Antonin Scalia Law School	3/31/2015 - 4/5/2015	West Palm Beach, FL	Family Fundraising	Travel related expenses
11.	George Mason Univ. Antonin Scalia Law School	4/23/2015 - 5/5/2015	Sydney, Australia	Teaching	Travel related expenses
12.	George Washington Univ. School of Law	6/15/2015 - 6/15/2015	Dusseldorf, Germany	Teaching	Travel related expenses
13.	University of London	6/15/2015 - 6/16/2015	London, United Kingdom	Teaching	Travel related expenses
14.	George Mason Univ. Antonin Scalia Law School	6/17/2015 - 6/17/2015	London, United Kingdom	Teaching	Travel related expenses
15.	Federalist Society	7/14/2015 - 7/14/2015	Palo Alto, CA	Professional Association	Travel related expenses
16.	George Mason Univ. Antonin Scalia Law School	7/29/2015 - 7/29/2015	Northeast Harbor, ME	Family Fundraising	Travel related expenses
17.	Free to Choose Network	7/29/2015 - 7/29/2015	Northeast Harbor, ME	Teaching	Travel related expenses
18.	George Mason Univ. Global Trust Antitrust Inst.	9/10/2015 - 9/14/2015	Beijing, China	Teaching	Travel related expenses
19.	Federalist Society Columbia Univ. Law School	10/1/2015 - 10/1/2015	New York, NY	Professional Association	Travel related expenses
20.	George Mason Univ. Antonin Scalia Law School	10/10/2015 - 10/14/2015	Rio de Janeiro, Brazil	Teaching	Travel related expenses
21.	New York University School of Law	10/15/2015 - 10/15/2015	New York, NY	Hayek Lecture	Travel related expenses
22.	Yale CEO Summit	12/15/2015 - 12/17/2015	New York, NY	Conference	Travel related expenses
23.	George Mason Univ. Antonin Scalia Law School	12/17/2015 - 12/17/2015	New York, NY	Teaching	Travel related expenses
24.	George Mason Univ. Antonin Scalia Law School	12/28/2015 - 12/31/2015	West Palm Beach, FL	Family Fundraising	Travel related expenses
25.					
26.					
27.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. TIAA/CREF retirement account	D	Dividend	O	T					
2. ALLP see part VIII		None							
3. SEP IRA acct		None	J	T					
4. WDMG see part VIII		None							
5. WBRXX (renamed FZBXX)		None	O	T					
6. CVX	C	Dividend	L	T					
7. ECL	B	Dividend	M	T					
8. EPD	C	Dividend	K	T					
9. FAST	C	Dividend	M	T					
10. PEP	B	Dividend	K	T					
11. SRCL		None	M	T					
12. TSCO	B	Dividend	M	T					
13. ARG		None			Sold	02/12/15	L	E	
14. MJN	B	Dividend			Buy (add'l)	02/05/15	K		
15.	B	Dividend			Sold	09/16/15	M		
16. AGN	A	Dividend			Sold	04/23/14	L	E	
17. SYK	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. HSTM		None			Sold	01/22/15	L	E	
19. KMX		None	M	T					
20. COO	A	Dividend	M	T					
21. DNKN	B	Dividend	L	T					
22. SMG	C	Dividend	M	T					
23. ABT	C	Dividend	M	T					
24. BMY	C	Dividend	M	T	Buy (add'l)	02/05/15	K		
25. SBUX	B	Dividend	M	T					
26. IDXX		None	M	T					
27. SLCA	A	Dividend			Sold	01/08/15	L	D	
28. PINC		None	M	T					
29. CHD	B	Dividend	M	T					
30. C	A	Dividend	J	T					
31. CVS	C	Dividend	M	T					
32. DXCM		None	N	T					
33. CTLT		None			Sold	10/06/15	M		
34. BSV	D	Dividend	N	T	Buy	10/28/15	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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35. CEB	A	Dividend			Buy	07/21/15	M		
36.					Sold	10/06/15	M		
37. PGND		None	M	T	Buy	07/21/15	M		
38. AYI	A	Dividend			Buy	04/07/15	K		
39.					Buy (add'l)	01/22/15	M		
40.					Sold	09/30/15	M	E	
41. ALGN		None	M	T	Buy	02/19/15	M		
42. VEEV		None			Buy	02/04/15	M		
43.					Sold	10/06/15	M		
44. XOM	E	Dividend	N	T					
45. MRK	A	Dividend	K	T					
46. RDS A	B	Dividend	K	T					
47. ARG		None			Sold	02/12/15	K	B	
48. BMY	A	Dividend	K	T					
49. CHD	A	Dividend	K	T					
50. CVS	A	Dividend	K	T					
51. ECL	A	Dividend	K	T					

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52. SIRO		None	K	T					
53. PRLB		None			Sold	09/18/15	K	B	
54. PINC		None	K	T					
55. DXCM		None	L	T					
56. AYI	A	Dividend			Buy	01/22/15	K		
57.					Buy (add'l)	04/07/15	J		
58.					Sold	09/30/15	K	C	
59. MO	C	Dividend	M	T	Sold (part)	07/17/15	J	C	
60.					Sold (part)	08/31/15	J	B	
61. JNJ	A	Dividend	K	T					
62. PM	D	Dividend	M	T	Sold (part)	07/17/15	J	C	
63.					Sold (part)	08/31/15	J	B	
64. GG	A	Dividend			Sold	03/12/15	J		
65. CHK	A	Dividend			Sold	03/12/15	J		
66. ARG		None			Sold	02/12/15	J	A	
67. CVS	A	Dividend	K	T					
68. DNKN	A	Dividend	J	T	Buy (add'l)	07/21/15	J		

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69. DHR	A	Dividend			Sold	06/11/15	J	B	
70. HCSG	A	Dividend	J	T					
71. CMG		None	J	T					
72. BMY	A	Dividend	K	T					
73. CHD	A	Dividend	J	T					
74. SIRO		None	K	T					
75. SYK	A	Dividend	J	T					
76. MJN	A	Dividend	J	T					
77. PRLB		None			Sold	10/05/15	J		
78. PINC		None	J	T					
79. DXCM		None	J	T					
80. SMG	A	Dividend	J	T					
81. CTLT		None	J	T					
82. CERN		None	J	T					
83. MRCC	B	Dividend	J	T	Buy	10/06/15	J		
84. CEB	A	Dividend	J	T	Buy	06/22/15	J		
85. ABT	A	Dividend	J	T	Buy	03/12/15	J		

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86. PG	A	Dividend	J	T	Buy	03/12/15	J		
87. ALGN		None	K	T	Buy	02/19/15	J		
88. KMI		None			Sold	12/18/15	J		
89. MMP	B	Dividend	K	T					
90. BP	B	Dividend	K	T					
91. KO	A	Dividend	K	T					
92. ESRX		None	M	T					
93. MON	A	Dividend	K	T					
94. SIAL	A	Dividend			Donated (part)				
95.					Sold	11/18/15	L	E	
96. SLB	B	Dividend	L	T					
97. TMCO		None	K	T					
98. AMZN		None	M	T					
99. Procter & Gamble SR Note	B	Int./Div.			Matured	12/15/15	K		
100. DUK	B	Dividend	K	T					
101. EMR	B	Dividend	L	T	Buy (add'l)	07/17/15	J		
102. ETE	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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103. NEE	A	Dividend	K	T					
104. CEQP	A	Dividend			Sold	12/18/15	J		
105. MCD	B	Dividend	K	T					
106. RGP	A	Dividend			Merged (with line 114)	05/01/15	J		
107. FMO	B	Dividend			Sold	12/18/15	J		
108. KYN	B	Dividend			Sold	12/18/15	J		
109. TYG	A	Dividend			Sold	12/18/15	J		
110. ACN	A	Dividend	K	T	Sold (part)	07/17/15	J	C	
111. CVX	B	Dividend	K	T					
112. CBSH	A	Dividend	K	T					
113. EEP	B	Dividend	K	T					
114. ETP	C	Dividend	K	T					
115. PAA	A	Dividend	J	T					
116. OKS	B	Dividend	J	T					
117. BA	A	Dividend	K	T	Sold (part)	07/17/15	J	B	
118. HDPSX (exchanged for HDSIX)		None	K	T	Sold (part)	08/24/15	J	B	
119. Wells Fargo Bank (bank deposit sweep)	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/3/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. CMG		None	L	T					
121. DHR	A	Dividend	M	T	Buy (add'l)	02/05/15	K		
122. HCSG	C	Dividend	M	T	Buy (add'l)	02/05/15	K		
123. V	B	Dividend	M	T					
124. KSU	A	Dividend	J	T					
125. WMB	B	Dividend			Sold (part)	06/23/15	K	D	
126.					Sold	12/18/15	J		
127. GBDC	B	Dividend	K	T					
128. QCOM	A	Dividend			Buy (add'l)	07/17/15	J		
129.					Sold	12/01/15	K		
130. NKE	A	Dividend	L	T	Sold (part)	07/17/15	J	C	
131.					Sold (part)	08/31/15	J	C	
132.					Sold (part)	09/25/15	J	D	
133. AMT	A	Dividend	K	T	Sold (part)	07/17/15	J	A	
134. CMLP	A	Dividend			Merged (with line 104)	10/01/15	J		
135. CELG		None	K	T	Sold (part)	07/17/15	J	B	
136.					Sold (part)	08/31/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/3/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. VNQ	B	Dividend	K	T					
138. HTGC	C	Dividend			Buy (add'l)	06/23/15	J		
139.					Sold	12/18/15	K		
140. TCPC		None			Sold	01/13/15	K		
141. JNBSX	B	Dividend			Sold	12/21/15	K		
142. DVY	A	Dividend	K	T					
143. SBAC		None	J	T					
144. VZ	A	Dividend	K	T					
145. GBX	A	Dividend			Buy (add'l)	07/17/15	J		
146.					Sold	08/31/15	J		
147. COP	A	Dividend			Sold	12/18/15	J		
148. PSX	A	Dividend	J	T	Sold (part)	07/17/15	J	A	
149. GMZ		None			Sold	08/20/15	J		
150. NMFC	B	Dividend	J	T	Buy	01/13/15	J		
151. TSLX	B	Dividend	J	T	Buy	01/13/15	J		
152. TCAP	B	Dividend	J	T	Buy	01/13/15	J		
153. NSC	A	Dividend	J	T	Buy	07/17/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ginsburg, Douglas H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. UPS	A	Dividend	J	T	Buy	07/17/15	J		
155. UTX		None			Buy	07/17/15	J		
156.					Sold	08/31/15	J		
157. TJX	A	Dividend	J	T	Buy	11/18/15	J		
158. HDV	C	Dividend	L	T	Buy	08/24/15	J		
159.					Buy (add'l)	08/25/15	K		
160.					Buy (add'l)	12/21/15	K		
161. CMCSA	A	Dividend	J	T	Buy	08/31/15	J		
162. DIS	A	Dividend	J	T	Buy	08/31/15	J		
163. AMGN	A	Dividend	J	T	Buy	09/09/15	J		
164. VIG	A	Dividend	J	T	Buy	09/25/15	J		
165. MDLZ	A	Dividend	J	T	Buy	08/06/15	J		
166. HIBB		None			Sold	08/03/15	J		
167. ZIVO		None	J	T	Buy	03/09/15	J		
168. FUTURES OPTIONS (See VIII)		None	L	T	Buy				
169. FUTURES OPTIONS (See VIII)		None	L	T	Sold			E	
170. FZBXX		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/3/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. ABT	A	Dividend	K	T					
172. ARG		None			Sold	02/12/15	K	A	
173. AMZN		None	L	T					
174. BMY	A	Dividend	K	T					
175. CERN		None	K	T	Buy (add'l)	06/22/15	J		
176. CMG		None			Sold	12/23/15	K	C	
177. CHD	A	Dividend	K	T					
178. COO	A	Dividend			Sold	12/04/15	K	A	
179. CVS	A	Dividend	K	T					
180. DHR	A	Dividend			Sold	06/11/15	K	D	
181. DXCM		None	L	T					
182. DNKN	A	Dividend	K	T					
183. ECL	A	Dividend	K	T					
184. FAST	A	Dividend			Sold	02/03/15	K		
185. HSTM		None			Sold	01/22/15	K		
186. IDXX		None	K	T					
187. GMCR	A	Dividend			Sold	10/20/15	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/3/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. LKQ		None	K	T	Buy (add'l)	10/20/15	J		
189. MJN	A	Dividend			Sold	09/16/15	K		
190. PINC		None	K	T					
191. PRLB		None			Sold	10/05/15	K		
192. RNET		None			Sold	01/29/15	K		
193. SMG	A	Dividend	K	T	Buy (add'l)	10/20/15	J		
194. SIRO		None	K	T					
195. SBUX	A	Dividend	K	T					
196. SYK	A	Dividend	K	T					
197. UPS		None			Sold	01/28/15	K	C	
198. V	A	Dividend	K	T					
199. PG	A	Dividend	K	T	Buy	01/30/15	K		
200. CTLT		None	K	T	Buy	01/30/15	K		
201.					Buy (add'l)	06/22/15	J		
202. AYI	A	Dividend			Buy	01/22/15	K		
203.					Buy (add'l)	04/07/15	J		
204.					Sold	09/30/15	K	C	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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Name of Person Reporting

Ginsburg, Douglas H.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. ALGN		None	K	T	Buy	02/19/15	K		
206. CEB	A	Dividend			Buy	06/22/15	K		
207.					Sold	12/18/15	K		
208. BGS	A	Dividend	K	T	Buy	09/16/15	K		
209. PAYX	A	Dividend	K	T	Buy	10/20/15	K		
210. PGND		None	K	T	Buy	10/20/15	K		
211. RMAX		None	K	T	Buy	12/09/15	K		
212. GE		None	K	T	Buy	12/08/15	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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Ginsburg, Douglas H.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VIII.

#2 ALLP - erroneously listed as an asset for calendar year 2014. However, it was sold in a prior year.

#4 WDMG - erroneously listed as an asset for calendar year 2014. However, it was sold in a prior year.

#16 AGN - Sold 04/23/2014, was mistakenly left off prior report.

#94 SIAL - donated part to a Donor Advisory Fund 07/28/15.

#166 HIBB bought 08/04/14 but mistakenly left off prior report.

#167 ZIVO (previously HEPI) also mistakenly left off prior report.

#168 & #169 - Futures Options - Discretionary trading account and the trader makes all the transactions; I do not have the ability to make a transaction. All investments are future contracts, e.g. on metals, currencies and commodities such as orange juice; no corporate securities involved. Please advise with further information regarding the necessary documentation and reporting for the future.

#168 - #211 were erroneously left off prior report.

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Ginsburg, Douglas H.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Douglas H. Ginsburg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544