

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cedarbaum, Miriam G.	2. Court or Organization US District Court -SDNY	3. Date of Report 05/07/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address US Court House 500 Pearl Street New York, NY 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer: _____ Date: _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Trustee Emerita	Barnard College
2. Trustee	Trust #1
3. Board Member	Apartment Corporation
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	Davis Polk & Wardwell Pension	\$10,212.81
2. 2009	Museum of Modern Art Pension Trust-Pension	\$1,745.88
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*
(Dollar amount not required except for honoraria.)

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. HSBC IRAS	B	Interest	L	T					
2. Nassau County, NY Bonds-11/15/13	B	Interest	K	T					
3. New York State G. O. Bond-11/15/18	B	Interest	K	T					
4. New York St Dorm Auth Revs ST	A	Interest	J	T					
5. NY NY Mun WTR Fin Auth-6/15/15	B	Interest	K	T					
6. NY NY Mun WTR Fin Auth-6/15/17	B	Interest	K	T					
7.									
8. HSEC DEPOSIT ACCOUNTS	B	Interest	M	T					
9. New York Trans Fin-Future Tax Secd - 2/1/13	B	Interest	K	T					
10. NYS UDC PIT-3/15/15	B	Interest	K	T					
11. NY Thruway Fuel TX MBIA-4/1/13	B	Interest	K	T					
12. Long Island Pwr, NY-6/1/13	B	Interest	K	T					
13. NY Environmental facs 6/15/13	B	Interest	K	T					
14. NY Envirnmntl PIT FGIC 12/15/13	B	Interest	K	T					
15. NYS Thruway SVC CTRCT-4/1/14	B	Interest	K	T					
16. NY UDC Per Inc TX FGIC-3/15/12	B	Interest	K	T					
17. Virgin Island PFA Rum Tax-10/1/18	B	Interest	K	T					

1. Income Gain Codes:

A = \$1,000 or less

B = \$1,001 - \$2,500

C = \$2,501 - \$5,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000

2. Value Codes

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

R = Cost (Real Estate Only)

S = Assessment

T = Cash Market

3. Value Method Codes

Q = Appraisal

V = Other

W = Estimated

(See Column C2)

U = Book Value

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. NY ST Thruway Svc CT-11/15/11	B	Interest	K	T					
19. New York TWY Fuel TX AMBAC-4/1/20	B	Interest	K	T					
20. NY Dorm Mental Health FGIC-2/15/19	A	Interest	J	T					
21. Indianapolis Ind Loc Pub Impt-1/1/22	B	Interest	K	T					
22. Johnson & Johnson Com	B	Dividend	K	T					
23. Leucadia National Corp		None	K	T					
24. First Manhattan Cash & Cash Equivalents	A	Interest	J	T					
25. Brokerage # 1 - Trust									
26. -Cash & Equivalents	A	Interest	N	T					
27. -RHJ International Common		None	L	T	Buy (add'l)	12/18/09	K		
28. -American Express Common	A	Dividend	K	T					
29. -Berkshire Hathaway Common		None	K	T					
30. -Discovery Hldg Corp Common		None	L	T					
31. -Johnson & Johnson, Common	A	Dividend	K	T					
32. -Leucadia National Corp.		None	K	T					
33. -Onex Corp Common	A	Distribution	K	T					
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -Jamestown, NY-11/15/18	B	Interest			Redeemed	11/16/09	L	A	
36.									
37. -Metropolitan Trans Auth	B	Interest	K	T					
38. -City of New York Bonds	D	Interest	L	T	Redeemed (part)	03/16/09	K	A	
39. -NY NY Mun WTR Fin Auth-6/15/33	B	Interest	K	T					
40. -NYC Health & Hospital-2/15/20	B	Interest	L	T					
41. -Port of Authority of NY & NJ-01/15/39	B	Interest	L	T					
42. -NY ST Housing Auth-9/15/28	C	Interest	L	T					
43. -NY Dorm	C	Interest			Redeemed	07/01/09	L	C	
44.									
45. -Puerto Rico Commonwealth	C	Interest	L	T					
46. -William Floyd UN-6/15/20	B	Interest	L	T					
47. First Manhattan Co. Rollover IRA									
48. -Cash & Equivalents	A	Interest	O	T					
49. -REH International Common		None	L	T	Buy (add'l)	12/23/09	K		
50. -American Express Common	A	Dividend	K	T					
51. -Berkshire Hathaway Common		None	K	T					

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(See Columns B1 and D4)

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2. Value Codes

(See Columns C1 and D3)

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(See Column C2)

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52. -Discovery Hldg Common		None	L	T					
53. -Johnson & Johnson Com	B	Dividend	L	T					
54. -Leucadia National Corp		None	L	T					
55. -Onex Corp Common		None	K	T					
56. Leucadia Natl corp	C	Interest	L	T	Buy (add'l)	10/22/09	L		
57. US Trust Account (X)		None	J	T					
58. United States Treas Bills 4/29/09	A	Interest	M	T					
59. Ascent Media Corp Ser A		None	J	T					
60. Ascent Media Corp Ser A		None	J	T					
61.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

page 1, Section I. Positions - Position #2 Executor of Estate #1 was terminated as of September 26, 2007 when estate was closed.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544