

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Birotte, Jr, Andre	2. Court or Organization United States District Court Central District of California	3. Date of Report 9/7/2106
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 312 N. Spring Street Courtroom 4 Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Executor	Estate # 1
2. Trustee	Trust #1
3. Trustee	Trust #2
4. Trustee	Trust #3
5. Trustee	Trust #4
6. Trustee	Trust #5
7. Trustee	Trust#6
8.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

Consulting Fees from Rehabilitation Centers in Los Angeles County

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gi[fts].)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Funds/EuroPacific Growth Fund	A	Dividend	J	T					
2. Ameridcan Funds Growth Fund of America	A	Dividend	J	T					
3. American Funds Investment Company of America	A	Dividend	J	T					
4. AT&T	A	Dividend	J	T					
5. Columbia Mid Cap Growth Fund	A	Dividend	J	T					
6. Columbia Small Cap Growth Fund	A	Dividend	J	T					
7. Fidelity Prime Capital Reserves	A	Dividend	J	T					
8. Franklin Small Cap Value Fund	A	Dividend	J	T					
9. Heartland Select Value Fund	A	Dividend	J	T					
10. iShares russell 1000 Value Index Fund	A	Dividend	J	T					
11. iShares russell 2000 Value Index Fund	A	Dividend	J	T					
12. iShares S&P Mid Cap 400 Growth ETF	A	Dividend	J	T					
13. iShares S&P Mid Cap 400 Value ETF	A	Dividend	J	T					
14. iShares S&P Small Cap 400 Growth ETF	A	Dividend	J	T					
15. Janus Fund	A	Dividend	J	T					
16. Lazard Emerging Markets Equity Portfolio	A	Dividend	J	T					
17. SPDR S&P 500 ETF Trust	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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18. Vanguard 500 Index Fund	A	Dividend	J	T					
19. Vanguard Growth Index Fund	A	Dividend	J	T					
20. Vanguard Total International Index Fund	A	Dividend	J	T					
21. iShares MSCI EAFE ETF	A	Dividend	J	T					
22. PRIME FUND CAPITAL RESERVES CLASS	G	Int/Div.	O	T					
23. Estate #1									
24. Rental Property (Newark, NJ)	F	Rent	O	W					
25. -PRIME FUND CAPITAL RESERVES CLASS									
26. -EAST BRUNSWICK TWP N J BRD ED SCH BDS 04.25000% 11/01/2017 SER. 2005									
27. -FAIR HAVEN BORO NJ SCH DIST REF SCH BDS 04.00000% 07/15/2016 SER. 200									
28. -FORT LEE N J GEN IMPT REF BDS SER. 04.00000% 02/15/2016 2011									
29. -FREEHOLD TWP N J GO REF BDS SER. 2009 04.00000% 09/15/2016									
30. -HAWORTH BORO N J GEN IMPT BDS 02.00000% 12/15/2015									
31. -LYNDHURST TWP N J GEN IMPT BDS AND WTR 03.00000% 08/15/2016 UTIL BDS									
32. -MEDFORD TWP N J BRD ED REF BDS SER. 2006 04.50000% 03/01/2016									
33. -MONMOUTH CNTY NJ IMPT AUTH REV 05.00000% 12/01/2015 GOVERNMENTAL POOL									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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34. -MONTCLAIR TWP N J SCH BDS SER. 2006 04.50000% 01/01/2024									
35. -NEW JERSEY ENVIRONMENTAL 05.00000% 09/01/2016 INFRASTRUCTURE TRENVIRO									
36. -NEW JERSEY ECONOMIC DEV AUTH REV REF SCH 05.2500% 12/15/2015 FACS CON									
37. -NEW JERSEY ST TRANSN TR FD AUTH REF BDS 05.5000% 12/15/2016 SER. 2001									
38. -OCEAN CNTY N J GO REF BDS SER. 2010 04.00000% 08/01/2017									
39. -RINGWOOD N J BRD ED SCH BDS SER. 2011 02.50000% 09/01/2016									
40. -RUMSON-FAIR HAVEN NJ REGL HIGH SCH DIST 02.00000% 02/01/2016 REF BDS									
41. -RUTGERS ST UNIV N J GO BDS SER. 2009F 05.00000% 05/01/2016									
42. -SAYREVILLE N J GEN IMPT BDS SER. 2011 02.00000% 12/01/2016									
43. -SOUTH BOUND BROOK NJ SCH DIST SCH REF 03.00000% 12/01/2016 BDS SER. 2									
44. -SOUTH PLAINFIELD NJ SCH DIST REF SCH BDS 05.00000% 07/15/2016 SER. 20									
45. -SUSSEX CNTY NJ COUNTY COLLEGE BDS 02.00000% 01/15/2016 SER. 2012									
46. -SWEDESBORO WOOLWICH NJ CONS SCH DIST GO 04.00000% 02/15/2016 REF SCH									
47. -UNION CNTY NJ IMPT AUTH REV REV REF BDS 03.00000% 06/15/2016 CRTN FAC									
48. -WATCHUNG HILLS NJ REGL HIGH SCH DIST 04.00000% 01/15/2016 REF SCH BDS									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
49. -WEST WINDSOR TWP NJ GEN IMPT BDS SER. 03.50000% 11/01/2016 2007									
50. -Trust #1	D	Int./Div.	P1	T					
51. --POWERSHARES DB COMMODITY INDEX TRACKING FD UNIT BEN INT									
52. --ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF									
53. -- ISHARES CORE S&P 500 ETF									
54. -- ISHARES TIPS BOND ETF									
55. -- FIDELITY ADVISOR NEW INSIGHTS CL I									
56. -- ARTISAN INTERNATL INVESTOR CLASS									
57. -- FPA CRESCENT FUND									
58. -- LORD ABBETT INTERNAT OPPORTUNITIES FD F									
59. -- MAINSTAY HIGH YIELD OPPORTUNITIES CL I									
60. -- VIRTUS GLOBAL REAL ESTATE SECURITIES I									
61. -- BEVERLY HILLS CALIF PUB FING AUTH LEASE 04.00% 06/01/2018 REV LEASE									
62. -- CALIFORNIA INFRASTRUCTURE & 04.50% 07/01/2016 ECONOMIC DEV BK REV F									
63. -- CALIFORNIA INFRASTRUCTURE & 02.0% 10/01/2019 ECONOMIC DEV BK REV R									
64. -- CALIFORNIA ST GO BDS 05.00% 03/01/2017									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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65. -- CALIFORNIA ST GO REFUNDING BDS SER. 05.00000% 08/01/2016 2007									
66. -- CALIFORNIA ST GO BDS SER. A 05.00000% 04/01/2016									
67. -- CALIFORNIA ST GO BDS 04.00000% 12/01/2016									
68. -- CALIFORNIA ST VAR PURP GO AND GO REF 05.00000% 09/01/2016 BDS									
69. -- CALIFORNIA ST GO BDS 02.00000% 02/01/2018									
70. -- CALIFORNIA ST VARIOUS PURP GO REF 05.000% 02/01/2017 BDS SER. 2012									
71. -- EASTERN MUN WTR DIST CALIF WTR & SWR REV 04.00% 07/01/2017 REF WTR									
72. -- LOS ANGELES CALIF DEPT WTR & PWR 04.10% 07/01/2018 WTRWKS REV WTR S									
73. -- LOS ANGELES CALIF UNI SCH DIST GO 05.00% 07/01/2016 REFUNDING BDS 2									
74. -- LOS ANGELES CALIF UNI SCH DIST GO BDS 05.00% 07/01/2025 ELECTION OF									
75. -- SACRAMENTO CNTY CALIF WTR FING AUTH 05.00% 06/01/2016 REV WTR REV B									
76. -- SAN FRANCISCO CALIF CITY & CNTY PUB 05.00% 11/01/2015 UTILS COMM W									
77. -- SAN MATEO CALIF UN HIGH SCH DIST GO BDS 04.00% 09/01/2016 SER. 2008									
78. -- SANTA MONICA CALIF CMNTY COLLEGE DIST 05.00% 08/01/2016 GO BDS SER.									
79. -- UNIVERSITY CALIF REVS REV BDS SER. 04.00% 05/15/2016 2009Q									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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80. -Trust #2	D	Int./Div.	P1	T					
81. -- PRIME FUND CAPITAL RESERVES CLASS									
82. -- ISHARES MSCI EMERGING MARKETS ETF									
83. -- ISHARES MSCI EAFE ETF									
84. -- ISHARES CORE S&P 500 ETF									
85. -- ISHARES TIPS BOND ETF									
86. -- VANGUARD INDEX TR VANGUARD EXTENDED MARKET VIPERS									
87. -- ARTISAN INTERNATL INVESTOR CLASS									
88. -- FRANKLIN K2 ALTERNAT STRATEGIES ADV									
89. -- LORD ABBETT INTERNAT OPPORTUNITIES FD F									
90. -- OPPENHEIMER DEV MARKETS FD CLASS Y									
91. -- TCW EMERGING MKTS INCOME CL I									
92. -- DEUTSCHE GLOBAL INFRASTRU FD -INST									
93. -- AMERICAN WASHINGTON MUTUAL INVESTORS F2									
94. -- BEVERLY HILLS CALIF PUB FING AUTH LEASE 05.00% 06/01/2016 REV LEASE									
95. -- CALIFORNIA ST GO BDS 05.00% 12/01/2015									

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96. -- CALIFORNIA ST VETERANS GO BDS SER. 02.875% 12/01/2015 CF									
97. --CALIFORNIA ST FOR PREVIOUS ISSUES SEE 05.00% 12/01/2016 13063B GO B									
98. --CALIFORNIA ST DEPT WTR RES PWR SUPPLY 04.00% 05/01/2016 REV REV BDS									
99. -- MARIN CALIF CMNTY COLLEGE DIST GO BDS 03.00% 08/01/2017 SER. B									
100. --SAN DIEGO CNTY CALIF WTR AUTH FING AGY 04.00% 05/01/2016 WTR REV WA									
101. --SAN FRANCISCO CALIF CITY & CNTY ARPTS 05.00% 05/01/2016 COMM INTL									
102. --SAN FRANCISCO CALIF CITY & CNTY UNI SCH 04.00% 06/15/2016 DIST GO B									
103. -- SANTA MONICA-MALIBU UNI SCH DIST CALIF 05.25% 08/01/2016 REF G.O. B									
104. -- UNIVERSITY CALIF REVS FOR PREVIOUS 05.0% 05/15/2016 ISSUES SEE 914									
105. - Trust #3	D	Int./Div.	P1	T					
106. -- PRIME FUND CAPITAL RESERVES CLASS									
107. -- 1SHARES MSCI EAFE ETF									
108. -- METABOLIX INC COM NEW									
109. -- CELGENE CORP CONTIN VAL RTS EXP 12/31/2030									
110. --ARTISAN INTERNATL INVESTOR CLASS									

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

111. --FPA CRESCENT FUND									
112. -- DEUTSCHE ENHANCED COMMODITY STRAT FD S									
113. -- VIRTUS GLOBAL REAL ESTATE SECURITIES I									
114. -- AMERICAN WASHINGTON MUTUAL INVESTORS F2									
115. -- AMG YACKTMAN FUND SERVICE CLASS									
116. --BERKELEY HEIGHTS TWP N J GO IMPT BDS SER. 02.50% 12/01/2017 2009 A									
117. -- CHERRY HILL TWP N J GEN IMPT AND SWR 04.0% 05/01/2020 UTIL BDS									
118. --FLORHAM PARK N J BRD ED REF SCH BDS SER. 05.00000% 07/15/2017 2009									
119. -- FRANKLIN LAKES N J BRD ED SCH REF BDS 04.00% 08/01/2017 SER. 2010									
120. --FRANKLIN TWP SOMERSET CNTY N J 05.00% 08/15/2016 SCH DIST REF BDS S									
121. -- HAMMONTON N J REF BDS 03.50000% 07/01/2017									
122. --HASBROUCK HEIGHTS N J BRD ED SCH DIST 02.00000% 08/15/2016 SCH BDS									
123. --JACKSON TWP N J SCH DIST SCH GO BDS SER. 04.50000% 02/01/2016 2006									
124. --MERCER CNTY N J IMPT AUTH REV FOR ISSUES 03.00% 11/01/2015 DTD PRIO									
125. --MONMOUTH CNTY N J IMPT AUTH REV 04.00% 12/01/2019 GOVERNMENTAL POOL									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
126. --NEW JERSEY ECONOMIC DEV AUTH REV FOR 04.00% 09/01/2017 ISSUES DTD P									
127. -- NEW JERSEY ENVIRONMENTAL 04.0% 09/01/2018 INFRASTRUCTURE TR REF BD									
128. --NEW JERSEY ST EDL FACS AUTH REV REV 04.50% 09/01/2019 REF BDS KEAN									
129. -- OCEAN CNTY N J GEN IMPT BDS SER. 2008 04.0% 08/01/2018									
130. -- PORT AUTH N Y & N J CONSOLIDATED BDS 04.0% 09/01/2017 154TH SERIES									
131. -- PORT AUTH N Y & N J CONS BDS ONE HUNDRED 04.0% 07/15/2019 FORTY SE									
132. -- SOUTH BRUNSWICK TWP N J GO REF BDS SER. 02.0% 01/15/2017 2010									
133. -- WOODBRIDGE TWP N J GO REF BDS SER. 2014 01.50% 02/01/2017									
134. - Trust #4	D	Int./Div.	P1	T					
135. -- PRIME FUND CAPITAL RESERVES CLASS									
136. -- POWERSHARES DB COMMODITY INDEX TRACKING FD UNIT BEN INT									
137. -- ISHARES CORE S&P 500 ETF									
138. -- FIDELITY ADVISOR NEW INSIGHTS CL I									
139. -- FRANKLIN K2 ALTERNAT STRATEGIES ADV									
140. -- GOLDMAN SACHS MULTI- MANAGER ALTRNV INSTL									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
141. -- GOLDMAN SACS SMALL/ MID CAP GROWTH I									
142. -- LORD ABBETT INTERNAT OPPORTUNITIES FD F									
143. -- MAINSTAY HIGH YIELD OPPORTUNITIES CL I									
144. -- OPPENHEIMER DEV MARKETS FD CLASS Y									
145. -- TCW EMERGING MKTS INCOME CL I									
146. -- DEUTSCHE GLOBAL INFRASTRU FD -INST									
147. -- VIRTUS GLOBAL REAL ESTATE SECURITIES I									
148. -- BERGEN CNTY N J GO BDS SER. 2009A 03.25000% 11/01/2018									
149. -- BURLINGTON CNTY N J BRIDGE COMMN BRIDGE 05.0% 10/01/2017 SYS REV C									
150. -- GLOUCESTER CNTY N J G O BDS SER. 2009 B 02.75% 10/15/2016									
151. -- MAPLEWOOD TWP N J GO GEN IMPT BDS 04.125% 08/15/2016									
152. -- MOUNT LAUREL TWP N J BRD ED SCH REF BDS 04.0% 08/01/2018 SER. 2013									
153. -- NEW JERSEY ENVIRONMENTAL 04.0% 09/01/2019 INFRASTRUCTURE TR ENVIRO									
154. --PORT AUTH N Y & N J CONSOLIDATED BDS 04.00% 09/01/2017 154TH SERIES									
155. -- SUMMIT N J GENERAL REF BDS 03.00% 05/01/2018									
156. - Trust #5	D	Int./Div.	P1	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

157. -- FIDELITY OH MUNI MONEY MARKET									
158. -- ISHARES MSCI EAFE ETF									
159. -- ISHARES S&P 500 VALUE ETF									
160. -- ISHARES CORE S&P 500 ETF									
161. -- ISHARES S&P 500 GROWTH ETF									
162. -- ISHARES TIPS BOND ETF									
163. -- FIDELITY ADVISOR NEW INSIGHTS CL I									
164. --ARTISAN INTERNATL INVESTOR CLASS									
165. -- GOLDMAN SACHS MULTI-MANAGER ALTRNV INSTL									
166. -- GOLDMAN SACS SMALL/ MID CAP GROWTH I									
167. -- MATTHEWS ASIA FOCUS FUND INSTL									
168. -- DEUTSCHE GLOBAL INFRASTRU FD -INST									
169. -- AMERICAN WASHINGTON MUTUAL INVESTORS F2									
170. --CLEARWATER FLA WTR & SWR REV REV REF BDS 03.60% 12/01/2015 SER. 200									
171. -- CONNECTICUT ST GO REF BDS SER. 2014C 03.00% 12/15/2018									
172. -- CONNECTICUT ST GO REF BDS SER. 2014C 02.50% 12/15/2019									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
173. -- FLA WTR POLLUTION CTL FING CORP REV 04.0% 01/15/2017 WTR POLLUTION									
174. --GARDEN CITY N Y UN FREE SCH DIST SCH 03.00% 09/01/2020 DIST BDS SER									
175. -- HASBROUCK HEIGHTS N J BRD ED SCH DIST 02.0% 08/15/2016 SCH BDS									
176. -- LEE CNTY FLA SCH BRD CTFS PARTN COPS SER. 03.00% 08/01/2016 2012B									
177. --MADISON CNTY MISS GO RD AND BRDG REF BDS 04.375% 05/01/2019 SER.200									
178. -- MARYLAND ST GO BDS SECOND SERIES A 05.0% 08/01/2016									
179. -- MASSACHUSETTS ST GO BDS MASSDIRECT NTS 02.0% 05/01/2018 SER. 4									
180. -- NEW YORK N Y CITY TRANSITIONAL FIN 03.0% 11/01/2018 AUTH REV FUTUR									
181. -- NEW YORK N Y CITY TRANSITIONAL FIN 04.0% 07/15/2019 AUTH BLDG AID									
182. --NEW YORK ST HSG FIN AGY ST PERSONAL 04.00% 09/15/2021 INCOME TAX RE									
183. -- NORTH CAROLINA INFRASTRUCTURE FIN 05.0% 05/01/2017 CORP CTFS PARTN									
184. -- NORWALK CONN REF BDS SER. 2009D 04.0% 07/01/2023									
185. -- OREGON ST DEPT ADMINISTRATIVE SVCS 04.0% 11/01/2018 CTFS PARTN COP									
186. -- PORT AUTH N Y & N J CONS BDS ONE HUNDRED 04.0% 07/15/2019 FORTY SE									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
187. -- SOMERS CONN GO REF BDS SER. 2012 02.00% 08/01/2022									
188. -- SOUTH CAROLINA ST GO ST INSTITUTION BDS 04.0% 10/01/2018 LANDER UN									
189. -- TRIBOROUGH BRDG & TUNL AUTH N Y REVS 03.0% 11/15/2020 GEN REV BDS									
190. - Trust #6	D	Int./Div.	P1	T					
191. -- PRIME FUND CAPITAL RESERVES CLASS									
192. -- POWERSHARES DB COMMODITY INDEX TRACKING FD UNIT BEN INT									
193. -- ISHARES CORE S&P 500 ETF									
194. -- ISHARES TIPS BOND ETF									
195. --FRANKLIN K2 ALTERNAT STRATEGIES ADV									
196. -- FPA CRESCENT FUND									
197. -- GOLDMAN SACS SMALL/ MID CAP GROWTH I									
198. -- LORD ABBETT INTERNAT OPPORTUNITIES FD F									
199. -- MAINSTAY HIGH YIELD OPPORTUNITIES CL I									
200. -- OPPENHEIMER DEV MARKETS FD CLASS Y									
201. -- TCW EMERGING MKTS INCOME CL I									
202. -- VIRTUS GLOBAL REAL ESTATE SECURITIES I									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
203. --BALTIMORE MD PROJ REV PROJ REV BDS 03.00000% 07/01/2018 SER. 2011A									
204. --CONN ST HSG FIN AUTH HSG MTG FIN PG HSG 01.35% 11/15/2019 MTG FIN P									
205. -- GEORGIA ST GO BDS SER. 2007G 05.0% 12/01/2017									
206. --IRVING TEX WTRWKS & SWR REV REF & 05.25% 08/15/2018 IMPT-NEW LIEN S									
207. -- LEE CNTY FLA SCH BRD CTFS PARTN COPS SER. 03.0% 08/01/2016 2012B									
208. -- MAINE MUN BD BK REF BK BD SER. 2010C 04.0% 11/01/2017									
209. -- MASSACHUSETTS ST GO BDS MASSDIRECT NTS 02.0% 06/01/2019 SER. 5									
210. -- METROPOLITAN GOVT NASHVILLE & DAVIDSON 05.0% 05/15/2019 CNTY TENN									
211. -- NEW YORK N Y GO BDS FISCAL 2008 SER. G 04.0% 08/01/2019									
212. -- PLANO TEX INDPT SCH DIST G O UNLTD TAX 05.0% 02/15/2017 REF BDS SE									
213. -- SANTA FE N MEX GROSS RCPTS TAX REV REF 05.0% 06/01/2016 SUB LIEN R									
214. -- TEXAS ST GO MOBILITY FUNDS BDS TEXAS 05.0% 04/01/2016 TRANS COMMISS									
215. -- VERMONT MUN BD BK REV BDS 2011 SERIES 03.0% 12/01/2019 6									
216. -- WESTPORT CONN GO REF BDS SER. 2006 05.0% 02/01/2018									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

217. -- WINSTON-SALEM N C WTR & SWR SYS REV 04.0% 06/01/2018 REV BDS SER.									
218. -- FEDERAL FARM CR BKS CONS BD 4.375% 08/05/2019									
219. -- FEDERAL FARM CR BKS CONS BD 3.680% 01/12/2016									
220. -- FEDERAL HOME LOAN BANKS 1.750% 09/11/2015 CONS BD									
221. -- FEDERAL HOME LOAN BANKS 1.00000% 10/16/2017 CONS BD									
222. -- FEDERAL HOME LOAN BANKS 1.53000% 11/21/2019 CONS BD									
223. -- FEDERAL HOME LOAN BANKS 1.38500% 12/19/2019 CONS BD									
224.									
225.									
226.									
227.									
228.									
229.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other		W = Estimated	
	U = Book Value				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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9/7/2106

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Andre Birotte, Jr

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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