

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mahoney, Patrick M.	2. Court or Organization United States District Court	3. Date of Report 05/03/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Recall Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 327 S. Church St. Room 4200 Rockford, IL 61101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Settler's Life Insurance - Cash Value of Life Insurance	\$11,599.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Honeywell International-Wages
2. 2012	The Northern Trust Company - Pension
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	US Bank	Mortgage-Investment Property	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citizens State Bank	A	Interest	J	T					
2. Office Bldg. at Freeport, IL		None	J	W	Sold (part)	01/01/12	O	F	
3. Freeport Country Club (Y)									
4. Amer Growth Fund Income Serv Mutual Fund in Pension Plan	A	Dividend	K	T					
5. Scudder High Yield Fund in Self Dir. Pension Plan	A	Dividend	K	T					
6. AIM International Funds Inc Asia Pacific Growth Fund in Pens	A	Interest	K	T					
7. Ishares Emerging Markets Index Fund in Pension Plan	A	Dividend	J	T					
8. State Bank	A	Interest	J	T					
9. Honeywell Employee Stock Purchase Plan	A	Dividend	J	T					
10. Cornerstone Credit Union	B	Interest	K	T					
11. Consolidated Edison Inc in Self Directed Pension Plan	A	Dividend	J	T					
12. USB Capital XI Trust Securities in Pension Plan	A	Interest			Redeemed	05/18/12	J	A	
13. AIM Gold & Precious Metals Fund in Pension Plan	A	Dividend	J	T					
14. Pioneer Strategic Income Fund in Pension Plan	A	Dividend	K	T					
15. Putnam Global Income Trust in Pension Plan	A	Dividend	J	T					
16. Short Term Bd Fd Amer in Pension Plan	A	Dividend			Sold	11/07/12	J	A	
17. Wells Fargo Bank Deposit Sweep in Pension Plan	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Wells Fargo Bank Deposit Sweep in Pension Plan	A	Interest	J	T					
19. Hancock John Bd Trust Govt Income Fund in Pension Plan	A	Dividend			Sold	11/07/12	J	A	
20. Mainstay High Yield Fund in Pension Plan	A	Dividend	J	T					
21. Pimco Unconstrained Bond Fund in Pension Plan	A	Dividend	K	T	Buy (add'l)	04/20/12	J		
22. Templeton Global Total Return in Pension Plan	A	Dividend	K	T					
23. Dan Mahoney - note payment, name change to Earl Downing	A	Interest	L	T					
24. JP Morgan Strategic Income Opportunities in Pension Plan	A	Dividend	L	T					
25. Pimco All Asset All Authority Fund in Pension Plan	A	Dividend	J	T	Buy	11/07/12	J		
26. Pimco Income Fund in Pension Plan	A	Dividend	K	T	Buy	11/07/12	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Investments and Trusts

Page 4, Line 2 - Office Building at Freeport, IL - Building now partially demolished and has only minimal value

Page 4, Line 3 - Freeport Country Club - Equity Membership Abandoned.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Patrick M. Mahoney**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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