

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kaufman, Victoria S.	2. Court or Organization California - Bankruptcy Court	3. Date of Report 11/30/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States Bankruptcy Court San Fernando Valley Division 21041 Burbank Boulevard, Suite 354 Woodland Hills, CA 91367		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Kaufman, Victoria S.

Date of Report

11/30/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

Tennis Channel, salary

2. 2015

ATP, Board member fees

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

Kaufman, Victoria S.

Date of Report

11/30/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		High School Tuition	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

Kaufman, Victoria S.

Date of Report

11/30/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank accounts	A	Interest	M	T					
2. JPMorgan Chase accounts		None	J	T					
3. Trust # 1									
4. -- No reportable assets									
5. Kaufman Investments LLC	B	Rent	L	U					
6. Brokerage Acct. # 1									
7. -- Money Market Account (Mesirow Financial)	A	Dividend	M	T					
8. -- Connacher Oil & Gas Ltd.		None			Sold	04/30/15	J		
9. -- Kandi Technologies		None	K	T					
10. -- Net 1 UEPS Tech		None	K	T	Sold (part)	06/05/15	K	D	
11.					Sold (part)	06/15/15	K	D	
12.					Sold	06/17/15	K	D	
13.					Buy	12/16/15	K		
14. -- North Atlantic Drilling		None	J	T	Sold (part)	10/08/15	J		
15. -- Stealthgas, Inc.		None	K	T	Buy	08/07/15	K		
16. -- Vantage Drilling		None			Sold	10/22/15	J		
17. Brokerage Acct. # 2									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$500,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

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18. -- Money Market Account (Goldman Sachs)		None	N	T					
19. -- Exco Resources		None	J	T					
20. -- Great Lakes Dredge & Dock Corp.		None			Buy	03/24/15	J		
21.					Sold	04/20/15	J		
22. -- Kandi Technologies Corp.		None	L	T	Sold (part)	03/19/15	J	C	
23.					Buy	03/30/15	J		
24.					Buy	05/18/15	J		
25.					Buy	07/07/15	J		
26.					Buy	07/31/15	J		
27.					Buy	08/06/15	J		
28.					Sold (part)	11/16/15	J	B	
29.					Sold (part)	12/22/15	J	D	
30.					Sold (part)	12/29/15	J	C	
31. -- Navigator Holdings, Ltd.		None	J	T	Buy	11/23/15	J		
32.					Buy	12/09/15	J		
33. -- Net 1 UEPS Technologies, Inc. CMN		None	M	T	Sold (part)	03/09/15	J	C	
34.					Buy	04/23/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 13

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Kaufman, Victoria S.

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35.					Sold (part)	05/26/15	J	D	
36.					Sold (part)	06/04/15	J	C	
37.					Sold (part)	06/12/15	J	D	
38.					Sold (part)	06/17/15	K	D	
39.					Sold	06/25/15	J	D	
40.					Buy	10/11/15	J		
41.					Buy	11/16/15	J		
42.					Buy	11/17/15	J		
43.					Buy	12/16/15	J		
44.					Buy	12/21/15	J		
45.					Buy	12/23/15	J		
46.					Buy	12/30/15	J		
47. -- Newlink Genetics		None			Sold	01/29/15	J	B	
48. -- North Atlantic Drilling		None	J	T	Sold (part)	01/02/15	J		
49.					Sold (part)	02/12/15	J		
50.					Buy	06/19/15	J		
51.					Sold (part)	10/09/15	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 13

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Kaufman, Victoria S.

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52. -- Premier Exhibitions CMN		None			Sold	12/28/15	J		
53. -- Rice Bran Technol.		None	K	T	Buy	01/05/15	J		
54.					Buy	05/07/15	J		
55.					Buy	05/28/15	J		
56.					Buy	06/17/15	J		
57.					Buy	07/08/15	J		
58.					Buy	11/18/15	J		
59. -- Seabridge Gold, Inc.		None			Buy	03/19/15	J		
60.					Sold	05/04/15	J	A	
61. -- Sigma Designs - Common		None			Sold (part)	01/14/15	J	C	
62.					Sold (part)	05/15/15	J	C	
63.					Sold (part)	06/02/15	J	C	
64.					Sold	06/12/15	J	C	
65. -- Stealthgas, Inc.		None	K	T	Buy	01/29/15	J		
66.					Buy	07/23/15	J		
67.					Buy	07/29/15	J		
68.					Buy	08/10/15	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 13

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Kaufman, Victoria S.

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69.					Buy	08/13/15	J		
70.					Buy	08/17/15	J		
71.					Buy	08/24/15	J		
72.					Buy	08/27/15	J		
73. -- Ultra Petroleum Corp.		None	J	T	Buy	12/09/15	J		
74.					Buy	12/22/15	J		
75.					Buy	12/23/15	J		
76. -- Unilife Corp.		None	J	T	Sold	01/29/15	J	B	
77.					Buy	06/19/15	J		
78.					Buy	09/08/15	J		
79.					Buy	10/13/15	J		
80.					Buy	12/22/15	J		
81. -- Vantage Drilling		None			Sold	10/22/15	J		
82. -- Virntex Holding		None	J	T					
83. 529 Acct. # 1									
84. -- Passive Age Based Portfolio		None	K	T					
85. -- Passive Conservative Portfolio		None	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 13

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86. 529 Acct. # 2									
87. -- Short Term Yield Portfolio A		None	K	T	Sold (part)	01/29/15	K		
88. -- American Century Diversified Bond Portfolio A		None	J	T	Buy	01/29/15	J		
89. -- Equity Income Portfolio A		None	J	T	Buy	01/29/15	J		
90. -- Main Street Portfolio A		None	J	T	Buy	01/29/15	J		
91. -- Real Estate Portfolio A		None	J	T	Buy	01/29/15	J		
92. -- Senior Floating Rate Portfolio A		None	J	T	Buy	01/29/15	J		
93. IRA # 2		None	J	T					
94. -- Central Gold Trust									
95. IRA # 3		None	K	T					
96. -- Ishares Gold Trust									
97. -- Ishares Silver Trust									
98. Annuity # 1									
99. -- Fidelity VIP Money Market		None	K	T					
100. Tennis Channel 401(K)		None							
101. -- AMCAP Fund		None	K	T	Buy	07/22/15	K		
102. -- American Funds 2035 Target Date		None	K	T	Buy	07/22/15	K		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 13

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103. -- American Funds Money Market Fund		None			Redeemed	07/22/15	L		
104. -- Capital Income Builder		None	J	T					
105. -- Capital World Growth & Income		None	J	T					
106. -- New World Fund		None	J	T					
107. IRA # 4									
108. -- Gvt. MoneyMarket (Schwab)		None	J	T	Buy	01/20/15	K		
109.					Redeemed	02/27/15	K		
110. -- Ishares Silver Trust		None	J	T					
111. -- Resource Real Estate		None	K	T	Buy	02/27/15	J		
112. -- Sierra Strategic Income		None	K	T	Buy	02/27/15	J		
113. IRA # 5									
114. -- Ishares Gold Trust		None	J	T					
115. IRA # 6									
116. -- Fidelity Freedom 2030		None	K	T	Buy	01/05/15	K		
117. -- Ishares TIPS Bond ETF (formerly Ishares)		None	J	T					
118. -- Spartan 500 Index FD		None	J	T	Buy	01/05/15	K		
119. -- Wells Fargo Insured Deposit (formerly RBS Citizens Insured Deposit)		None	J	T					

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Page 11 of 13

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120. IRA #7									
121. -- Community National Savings Account		None	J	T					
122. -- KBS Real Estate Inv. Trust II, Inc.	B	Dividend	K	T					
123. -- Phillips Edison ARC Grocery		None	K	T					
124. Capital One account # 1	B	Interest	M	T					
125. Capital One account # 2	A	Interest	L	T					
126. Capital One account # 3	A	Interest	K	T					
127. Annuity # 2 - John Hancock Money Market		None	L	T					
128. UTMA Account # 1									
129. -- Ishares Gold Trust		None			Sold	08/14/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Kaufman, Victoria S.

Date of Report

11/30/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding income from Kaufman Investments, LLC, the 2014 K-1 indicates that the majority income was rental, and that is the reason I selected "Rent" for B(2). VII.5.

Regarding KBS Real Estate Inv. Trust II, Inc., it was moved from IRA #4 to IRA #7. VII. 122.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Kaufman, Victoria S.

Date of Report

11/30/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Victoria S. Kaufman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544