

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Clark, Ron	2. Court or Organization District Court, E.D. Texas	3. Date of Report 05/04/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 300 Willow #221 Beaumont, TX 77701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Owner 529 Acct 1	529 Acct 1
2.	Owner 529 Acct 2	529 Acct 2
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1997	State of Texas Retirement Plan, no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Self employed drama competition judge
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Texas Municipal Clerks Association, Election Law Seminar	January 13, 2011	Dallas, TX	Speaker	Milage and meals.
2. American Conference Inistitute (CLE Program)	April 27-28, 2011	Chicago Illinois	Panel Speaker- Judge's views on litigation presentations	Travel, meals, and 1 night at hotel.
3. State Bar of Texas (CLE Program)	July 13-14 2011	San Antonio, TX	CLE Speaker - Advanced Patent Litigation	Milage, Toll fees, meals and 1 night at hotel.
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. USAA Mutual Fund USEXX	A	Interest	J	T					
2. USAA Mutual Fund USSPX	A	Dividend	K	T					
3. Vanguard VMMXX Money Market	A	Dividend	K	T					
4. Vanguard Windsor II Fund	C	Dividend	M	T	Sold (part)	11/02/11	K	D	
5. Vanguard Mid-cap Index Fund	A	Dividend			Sold (part)	12/07/11	J		
6.					Sold	12/23/11	K		
7. Vanguard Mutual Funds IRA 1	D	Dividend	M	T					
8. -Health Care Fund									
9. -Windsor II Fund									
10. -Explorer Fund									
11. -500 Index Fund									
12. Vanguard Mutual fund IRA 2 - Mid-cap Index Fund	A	Dividend	K	T					
13. Vanguard Mutual fund IRA 3 - 500 Index Fund	A	Dividend	J	T					
14. Vanguard Mutual Fund IRA 4 - 500 Index Fund	A	Dividend	K	T					
15. American Mut. Fund IRA New Perspective Fund	B	Dividend	M	T					
16. Vanguard Variable Annuity		None	K	T					
17. XOM com. stock	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. U.S. Savings Bond		None	J	T					
19. HBW Plaza Ltd. (see note par VIII)	A	Distribution			Closed	12/22/11			
20. Compass Bank Accounts	A	Interest	K	T					
21. 529 Acct 1 - American Funds	A	Dividend	J	T					
22. -New Perspective Fund									
23. -New World Fund									
24. -Capital Wrld Grwth & Income									
25. 529 Acct 2 American Funds	A	Dividend	J	T					
26. -New Perspective Fund									
27. -New World Fund									
28. -Capital Wrld Grwth & Income									
29. AMGN com. stk	B	Dividend	M	T	Sold (part)	06/22/11	J	A	
30. AT&T com, stk	B	Dividend	K	T					
31. AMAT com. stk	A	Dividend	J	T					
32. ADP. com. stk	C	Dividend	L	T					
33. CVX com. stk	A	Dividend	K	T					
34. KO com. stk	A	Dividend	K	T					

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35. ETN com. stk	C	Dividend	L	T					
36. EMR com. stk	B	Dividend	L	T					
37. HD com. stk	B	Dividend	L	T					
38. LLY com. stk	B	Dividend	K	T					
39. MCD com. stk	B	Dividend	L	T					
40. PEP com. stk	B	Dividend	L	T					
41. PFE com. stk	A	Dividend	K	T					
42. SLB com. stk	A	Dividend	K	T					
43. American Mutual Fund, New Perspective Fund	B	Dividend	M	T					
44. American Mutual Fund Small Cap World	A	Dividend	K	T					
45. Dreyfus S&P 500 Index Fund X	B	Dividend	L	T	Sold (part)	11/04/11	K	D	
46.					Sold (part)	12/23/11	J	C	
47. BAC Cap. TR XII preferred	B	Interest	J	T					
48. Nuveen Arizona Prem. Inc. Muni. Bnd. Fund	A	Dividend	K	T					
49. Dreyfus AMT-Free Mun. Bnd Fund	D	Dividend	M	T					
50. Dreyfus Mun. Bnd. Fund	C	Dividend	L	T					
51. Salt River Prj AZ bonds	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. McCallister Academic VLG bonds	B	Interest	K	T					
53. Pima Cty AZ bond	A	Interest	J	T					
54. Coconino & Yavapai Cos AZ bonds	A	Interest	J	T					
55. U.of AZ Cop Brd bond	A	Interest	J	T					
56. Prescott Vly AZ Mun. bond	A	Interest	J	T					
57. Mesa AZ Util Sys bonds	A	Interest	J	T					
58. AZ Health Facs Auth bond	A	Interest			Redeemed	10/14/11	J	A	
59. UBS - RMA Money Mkt X	A	Interest	K	T					
60. Beneficiary IRA	E	Int./Div.	O	T	Distributed (part)	03/03/11	J		
61.					Distributed (part)	11/04/11	K		
62. -AT&T com. stk									
63. -CVX com. stk									
64. -XOM com.stk									
65. -WRE com. stk									
66. -WRI com. stk									
67. -Oppenheimer Champ Income fund									
68. -Nuveen Equity P & G fund									

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69. -ABA preferred shares					Redeemed	06/20/11	J	A	
70. -USBPRI preferred shares									
71. -Eaton Vance En. Equity Inc Fund									
72. -John Hancock Inc Sec. Fund									
73. -Lord AbbotBond Deb. Fund									
74. -Franklin H.I. Fund Class A (Y)									
75. -Franklin US Govt Sec. A (Y)									
76. COST com. stk	A	Dividend			Sold	03/17/11	K	D	
77. ELNK com. stk	A	Dividend	K	T					
78. Elizabeth Arden com stk		None	K	T	Buy	03/17/11	K		
79. FDX com stk	A	Dividend	J	T	Buy	03/17/11	K		
80. F com Stk		None	J	T	Buy	10/03/11	J		
81. GPS com. stk	A	Dividend			Sold	06/22/11	K	C	
82. GE com. stk	A	Dividend	J	T					
83. HUBB com. stk	A	Dividend			Sold	03/17/11	K	E	
84. IP	A	Dividend	J	T					
85. JNJ com. stk	A	Dividend	K	T					

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86. JPM com. stk	A	Dividend			Sold	10/03/11	J		
87. LBY com. stk		None	J	T					
88. Pet & Res Co.: PEO c	A	Dividend	J	T	Sold (part)	06/22/11	J	C	
89. PRU com. stk	A	Dividend	K	T					
90. WGL Holdings Inc com. stk	A	Dividend	J	T					
91. ISHARES MSCI CDP Can Idx Fnd: EWC	A	Dividend	J	T	Buy (add'l)	03/17/11	J		
92. Lazard Emerg Mkt: LZOEX	A	Dividend	K	T					
93. Mat. Pac. Tig. fund: MAPTX		None			Sold	06/22/11	K		
94. Mat. As. Div. fund MAPIX	A	Dividend	K	T	Buy	06/22/11	K		
95. MFS Intl. New Disc. MIDAX	A	Dividend	J	T	Buy	06/22/11	J		
96. Royce 100 fund: UMBWX		None			Sold	06/22/11	K	C	
97. Ridgeworth Lg Cap. STVTX	A	Dividend	K	T	Buy	06/22/11	K		
98. Scout Intl fund	A	Dividend	K	T					
99. SECTOR SPDR Energy Select: XLE	A	Dividend	K	T	Buy (add'l)	03/17/11	J		
100. SECTOR SPDR Tech Select: XLK	A	Dividend	K	T					
101. SECTOR SPDR TR CON STPLS: XLP	A	Dividend	J	T	Buy	06/22/11	J		
102. SPDR S&P Div ETF: SDY	B	Dividend	K	T					

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103. Vanguard Small Cap Grwth	A	Dividend	K	T	Buy	03/17/11	K		
104.					Buy (add'l)	06/22/11	J		
105. Vanguard Small Cap Value	A	Dividend	K	T	Buy (add'l)	06/22/11	K		
106. Schwab Money Market account	A	Interest	J	T					
107.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Section VII, line 19 - HBW Plaza Ltd.: As noted in last year's report there was a distribution, which occurred after the sale of the assets owned. This year (2011) there was a final distribution of the small amount retained to pay final expenses and costs of closing the business. There were no assets left after that final distribution and dissolution, so the closure of the business, noted as "closed" in Part VII Col. D(1), did not result in any payment. There is no value to report in Col. D(3), no gain to report in Col. D(4), and nothing was sold to anybody - Col. D (5).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Ron Clark**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544