

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fallon, Sherry R.	2. Court or Organization U.S. District Court - Delaware	3. Date of Report 05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address J. Caleb Boggs Federal Building 844 N. King Street Unit 14, Room 3124 Wilmington, DE 19801-3555		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director (Through 4/24/12)	Tybout, Redfearn & Pell (Former Law Firm)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 4/24/12	Tybout, Redfearn & Pell Stock Redemption Agreement - Former Law Firm's redemption of equity interest over 3 year period for a fixed sum, no profit sharing
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Tybout Redfearn & Pell (Former Law Firm) - Salary	\$32,958.31
2. 2012	Tybout Redfearn & Pell (Former Law Firm) - Equity Redemption	\$20,965.06
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Self Employed Attorney
2. 2012	Newark Properties, LLC (rental income from property owned by Law Firm)
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Phase I Orientation for Newly Appointed Magistrate Judges	08/20/2012 to 08/24/2012	San Antonio, TX	New Judges School	Hotel; Transportation and food
2. Phase II Orientation for Newly Appointed Magistrate Judges	10/01/2012 to 10/05/2012	Washington, D.C.	New Judges School	Hotel; Transportation and food
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Federal Bar Association, DE Chapter	Contribution towards Investiture Reception	\$1,000.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	K
2.		Tuition	K
3.		Tuition	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Southern Company Common Stock (X)	B	Dividend	K	T					
2. McDonalds Common Stock (X)	A	Dividend	J	T					
3. Adidas (formerly Reebok) Common Stock (X) (Y)									
4. Fidelity 529 Plan - Delaware Portfolio 2012 (X)	D	Dividend	M	T					
5. Fidelity 529 Plan - Delaware Portfolio 2018 (X)	D	Dividend	L	T					
6. American Funds - American Balanced Fund Class B (X) (Y)									
7. Emjay Tybout Redfearn & Pell 401(K) Plan (X)	D	Dividend	O	T					
8. -American Funds Growth Fund of America A									
9. -American Funds American Balanced Fund A									
10. -American Funds Euro-Pacific Growth Fund A									
11. - American Funds SMALLCAP World Fund A									
12. Franklin Templeton - Templeton Growth Fund Class A (X)	A	Dividend	J	T					
13. New York Life - Whole Life Insurance Policy (X)	B	Dividend	L	T					
14. Lincoln National Life Insurance - Universal Life Policy (X)	A	Interest	K	T					
15. PNC - Accounts (X)	A	Interest	K	T					
16. U.S. Savings Bonds (X)	B	Interest	K	T	Redeemed (part)	12/31/12	J	B	
17. IRA - (X)	F	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Vanguard International Growth									
19. -Vanguard International Value									
20. -Vanguard Explorer									
21. -Vanguard Growth Equity									
22. -Vanguard Long Term Investment Growth									
23. -Vanguard Morgan Growth									
24. -Vanguard Small Cap Value Index									
25. -Vanguard Target Retirement 2035									
26. -Vanguard Total Stock Market Index									
27. -Vanguard Windsor									
28. -Vanguard Windsor II									
29. Mass Mutual 401(K) (X)	F	Dividend	O	T					
30. -Select PIMCO Total Return									
31. -Select Large Cap Value (Colum Huber) (formerly Davis)									
32. -Fundamental Value (Pioneer) (formerly known as Cullen)									
33. -Select Focused Value (Harris) (formerly known as Houis)									
34. -Growth (William Blair)									

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(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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35. -Mid - Cap Value (CRM)									
36. -Invesco Mid - Cap Core Equity									
37. -TRP/Frontier Select Mid - Cap Growth II									
38. -William Blair Small Cap Growth									
39. -American EuroPacific Growth									
40. Wells Fargo - Accounts (X)	A	Interest	M	T					
41. Capital One (formerly ING)- Account (X)	A	Interest	M	T					
42. WSFS - Account (X)	A	Interest	J	T					
43.									
44.									
45.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII

Line 6. "American Funds - American Balanced Fund Class B" - This fund was reported in error on the initial report. I hereby certify that this asset is owned by a relative [REDACTED] as a gift [REDACTED] and the gift has no relationship to my position as a Judicial Employee.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sherry R. Fallon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544