

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Buchwald, Naomi R.	2. Court or Organization SOUTHERN DISTRICT OF NEW YORK	3. Date of Report 08/03/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Daniel Patrick Moynihan Courthouse 500 Pearl Street -- ROOM 2270 New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. POA: Accounts 1-7	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

Partner at law firm of Kelley, Drye & Warren LLP

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Accounts	B	Interest	O	T					
2. Vanguard Voyager (IRA)	A	Dividend	K	T					
3. Schwab (IRA)	A	Interest	O	T					
4.	D	Dividend							
5. --- Schwab Deposit Account	A	Interest	N	T					
6. -- Applied Materials (Common)	A	Dividend			Sold	9/7/2012	K		
7. --Time Warner (Common)	B	Dividend			Buy	2/24/12	K		
8.					Buy	3/7/12	K		
9.					Sold	8/27/12	M		
10.					Buy	8/28/12	M		
11.					Sold	9/18/12	M	D	
12. --Time Warner Cable (Common)	B	Dividend			Buy	2/24/12	L		
13.					Buy	3/7/12	L		
14.					Sold (part)	3/7/12	L	B	
15.					Sold	8/27/12	M	D	
16.					Buy	8/29/12	M		
17.					Sold	9/18/12	M	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Buy	10/8/12	M		
19.					Sold	10/19/12	M	B	
20. --AOL Inc. (Common)		None	J	T					
21. --Intel (Common)	B	Dividend			Buy	3/1/12	L		
22.					Buy	3/16/12	M		
23.					Sold	4/15/12	M		
24.					Buy	8/10/12	M		
25.					Sold	8/17/12	M	B	
26.					Buy	8/23/12	M		
27.					Sold	8/26/12	M	C	
28.					Buy	8/31/12	M		
29.					Buy	9/14/12	M		
30.					Sold	9/19/12	N	E	
31.					Buy	9/22/12	M		
32.					Sold (part)	10/7/12	K	B	
33.					Sold	10/12/12	M	D	
34.					Buy	10/27/12	N		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Sold	12/6/12	N	C	
36. -- International Rectifier (Common)		None			Sold	9/7/12	K		
37. -- Moduslink Global Solutions (Common)		None	J	T					
38. -- Amazon (Common)		None	N	T	Buy	01/3/12	M		
39.					Sold	1/23/12	O		
40.					Buy	2/1/12	M		
41.					Sold	2/1/12	M	D	
42.					Buy	2/8/12	M		
43.					Sold (part)	2/27/12	L		
44.					Buy	8/3/12	M		
45.					Buy	8/8/12	M		
46.					Buy	9/25/12	M		
47.					Sold (part)	10/8/12	N	E	
48.					Buy	10/9/12	N		
49.					Sold	11/8/12	O		
50.					Buy	11/14/12	M		
51.					Buy	11/15/12	M		

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52.					Sold	11/15/12	N		
53.					Buy	11/23/12	M		
54. -- Valero (Common)	A	Dividend			Sold	2/8/12	L	D	
55.					Buy	3/3/12	L		
56.					Sold	8/27/12	L	C	
57. -- Rambus (Common)		None	J	T					
58. -- Yahoo (Common)		None			Sold	1/23/12	M		
59. --Apple (Common)		None	M	T	Buy	11/29/12	M		
60. --- Baidu (ADR's)		None			Buy	2/15/12	M		
61.					Buy	2/28/12	M		
62.					Sold	3/2/12	N	D	
63.					Buy	5/11/12	M		
64.					Buy	06/2/12	M		
65.					Sold	6/7/12	M		
66.					Buy	6/13/12	M		
67.					Sold	6/14/12	M	D	
68.					Buy	7/18/12	M		

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69.					Sold	7/18/12	M	B	
70.					Buy	08/3/12	M		
71.					Sold	08/6/12	M	D	
72.					Buy	08/7/12	L		
73.					Sold	08/7/12	L	A	
74.					Buy	08/23/12	M		
75.					Sold	08/23/12	M	C	
76.					Buy	8/29/12	M		
77.					Sold	8/30/12	M	D	
78.					Buy	8/31/12	M		
79.					Sold	8/31/12	M	A	
80.					Buy	9/13/12	M		
81.					Sold	10/8/12	M	C	
82. -- Cisco (Common)	C	Dividend			Buy	9/11/12	M		
83.					Buy	11/14/12	N		
84.					Sold	12/17/12	N	E	
85. -- Lowes (Common)	A	Dividend			Buy	1/26/12	M		

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86.					Sold	2/21/12	M	C	
87.					Buy	2/28/12	L		
88.					Sold	2/29/12	L	B	
89.					Buy	9/6/12	M		
90.					Sold	10/14/12	M	D	
91.					Buy	11/5/12	L		
92.					Sold	11/14/12	L		
93.					Buy	11/15/12	M		
94.					Sold	11/15/12	M		
95. --Microsoft (Common)	B	Dividend			Buy	3/20/12	M		
96.					Buy	8/10/12	M		
97.					Sold (part)	8/17/12	M	B	
98.					Buy	8/22/12	M		
99.					Sold (part)	8/26/12	M	D	
100.					Sold	8/27/12	M		
101. -- Research In Motion (Common)		None			Buy	09/15/12	L		
102.					Sold	12/16/12	L		

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103. JPMorgan Chase Accounts	A	Interest	K	T					
104. Schwab Account -- NY Muni Money Mkt	D	Int./Div.	N	T					
105. Merrill Lynch Cash Acc- formerly Bk of America cash reserve	C	Dividend	K	T					
106. Treasury Direct	A	Interest	M	T					
107. Time Warner Common Stock	A	Dividend	K	T	Buy	7/13/12	L		
108.					Sold (part)	7/17/12	L	A	
109.					Buy	9/26/12	M		
110.					Sold (part)	11/20/12	M	C	
111. Applied Materials Common Stock (IRA)	A	Dividend			Buy	5/1/12	L		
112.					Sold	8/16/12	L		
113. Blount Common Stock		None	J	T					
114. Intel Common Stock (IRA)	D	Dividend			Buy	01/17/12	M		
115.					Buy	01/18/12	M		
116.					Sold	01/18/12	N	C	
117.					Buy	3/1/12	N		
118.					Buy	3/2/12	N		
119.					Sold	3/2/12	O	C	

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120.					Buy	3/5/12	N		
121.					Buy	3/9/12	N		
122.					Sold (part)	3/9/12	M	B	
123.					Sold	3/13/12	N	D	
124.					Buy	3/13/12	M		
125.					Sold (part)	3/15/12	M	B	
126.					Buy	3/19/12	M		
127.					Buy	3/27/12	N		
128.					Sold (part)	3/27/12	N	A	
129.					Sold	4/2/12	N	D	
130.					Buy	4/3/12	N		
131.					Buy	4/22/12	O		
132.					Buy	4/23/12	N		
133.					Buy	4/24/12	L		
134.					Buy	4/25/12	K		
135.					Buy	4/29/12	M		
136.					Buy	4/30/12	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Buchwald, Naomi R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy	5/1/12	K		
138.					Buy	5/2/12	K		
139.					Buy	5/6/12	M		
140.					Buy	5/8/12	K		
141.					Sold (part)	6/1/12	M		
142.					Sold (part)	7/12/12	M		
143.					Sold (part)	8/6/12	M		
144.					Sold (part)	8/7/12	N		
145.					Sold (part)	8/15/12	N		
146.					Sold (part)	8/16/12	N		
147.					Buy	8/16/12	M		
148.					Buy	8/17/12	M		
149.					Sold (part)	8/22/12	N		
150.					Buy	8/23/12	K		
151.					Sold (part)	9/7/12	N		
152.					Sold (part)	11/13/12	K		
153.					Sold	12/21/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Microsoft Common Stock (IRA)	A	Dividend	K	T	Buy	3/19/12	M		
155.					Sold	3/26/12	M	B	
156.					Buy	3/27/12	M		
157.					Sold (part)	04/18/12	L	A	
158.					Sold (part)	4/19/12	L	A	
159.					Buy	8/23/12	L		
160.					Sold (part)	8/24/12	M		
161. International Rectifier (IRA)		None			Sold	9/7/12	K		
162. Amazon Common Stock		None	M	T	Buy	01/13/12	N		
163.					Sold (part)	1/13/12	N	B	
164.					Buy	1/17/12	M		
165.					Sold (part)	1/18/12	N		
166.					Buy	1/19/12	L		
167.					Sold (part)	1/19/12	M	D	
168.					Sold	1/23/12	L		
169.					Buy	2/23/12	M		
170.					Buy	2/27/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	2/28/12	N	D	
172.					Buy	2/29/12	N		
173.					Buy	3/7/12	M		
174.					Sold	3/8/12	O	E	
175.					Buy	3/14/12	M		
176.					Sold	3/14/12	M	A	
177.					Buy	3/20/12	M		
178.					Buy	3/21/12	M		
179.					Buy	3/23/12	O		
180.					Sold (part)	3/26/12	O	E	
181.					Buy	3/29/12	P1		
182.					Sold (part)	4/3/12	O		
183.					Sold (part)	4/12/12	M		
184.					Sold (part)	4/18/12	N	A	
185.					Sold (part)	4/24/12	M		
186.					Sold (part)	4/25/12	N		
187.					Sold	4/30/12	L	D	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy	5/1/12	K		
189.					Buy	5/2/12	K		
190.					Buy	5/5/12	K		
191.					Sold (part)	5/18/12	N		
192.					Buy	5/27/12	L		
193.					Buy	5/28/12	M		
194.					Buy	5/29/12	K		
195.					Buy	5/30/12	M		
196.					Buy	5/31/12	M		
197.					Sold (part)	6/1/12	N		
198.					Sold (part)	6/12/12	N		
199.					Buy	6/12/12	M		
200.					Sold (part)	6/18/12	M		
201.					Buy	6/22/12	N		
202.					Sold (part)	7/2/12	N		
203.					Buy	7/3/12	M		
204.					Sold (part)	7/5/12	M		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold (part)	7/6/12	M		
206.					Sold (part)	7/9/12	M		
207.					Sold (part)	7/12/12	M		
208.					Buy	7/26/12	M		
209.					Sold (part)	7/26/12	M		
210.					Buy	7/27/12	M		
211.					Sold (part)	7/27/12	M		
212.					Sold (part)	8/7/12	M		
213.					Sold (part)	8/14/12	M		
214.					Sold (part)	8/15/12	M		
215.					Sold (part)	8/16/12	M		
216.					Sold (part)	8/24/12	L		
217.					Buy	9/13/12	O		
218.					Sold (part)	9/24/12	N		
219.					Buy	9/28/12	M		
220.					Sold (part)	10/4/12	N	E	
221.					Sold (part)	10/8/12	O	C	

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold (part)	10/19/12	M	C	
223.					Buy	10/19/12	M		
224.					Sold (part)	11/2/12	M		
225.					Buy	11/7/12	N		
226.					Sold	11/8/12	N		
227.					Buy	11/19/12	N		
228.					Sold (part)	11/19/12	N	D	
229.					Buy	11/20/12	O		
230.					Sold (part)	11/20/12	O		
231.					Buy	11/22/12	M		
232.					Buy	11/28/12	M		
233.					Sold (part)	11/28/12	M		
234.					Sold (part)	12/17/12	M		
235.					Sold (part)	12/20/12	M		
236.					Buy	12/26/12	N		
237.					Sold (part)	12/31/12	N		
238. Yahoo Common Stock		None			Buy	1/17/12	M		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold (part)	1/23/12	N		
240.					Buy	5/21/12	M		
241.					Sold	6/1/12	O		
242. Apple Common Stock		None	M	T	Buy	1/24/12	N		
243.					Sold	1/24/12	N	B	
244.					Buy	1/26/12	N		
245.					Sold	2/23/12	N	E	
246.					Buy	3/27/12	O		
247.					Sold	3/27/12	O	A	
248.					Buy	03/29/12	O		
249.					Sold (part)	3/29/12	N	B	
250.					Buy	3/30/12	N		
251.					Sold	4/2/12	O	D	
252.					Buy	4/13/12	N		
253.					Buy	4/18/12	O		
254.					Sold (part)	4/18/12	O	C	
255.					Buy	4/20/12	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256.					Sold (part)	4/30/12	O		
257.					Sold	5/17/12	N		
258.					Buy	10/15/12	N		
259.					Sold	10/15/12	N	A	
260.					Buy	11/7/12	O		
261.					Sold	11/8/12	O		
262.					Buy	11/19/12	O		
263.					Sold	11/19/12	O	C	
264.					Buy	11/20/12	O		
265.					Sold	11/20/12	O	B	
266.					Buy	11/28/12	O		
267.					Sold (part)	12/14/12	L		
268.					Sold (part)	12/17/12	M		
269.					Sold (part)	12/21/12	N		
270.					Sold (part)	12/31/12	L		
271. Baidu ADRs		None			Buy	1/23/12	M		
272.					Sold	1/23/12	M	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.					Buy	2/8/12	M		
274.					Buy	2/27/12	M		
275.					Sold	2/28/12	N	D	
276.					Buy	3/7/12	M		
277.					Sold	3/8/12	M	C	
278.					Buy	3/20/12	M		
279.					Sold	3/20/12	M	B	
280.					Buy	4/30/12	M		
281.					Buy	5/1/12	M		
282.					Sold	5/18/12	N		
283.					Buy	7/2/12	M		
284.					Sold (part)	7/3/12	M	B	
285.					Sold	7/5/12	M	C	
286.					Buy	7/6/12	O		
287.					Sold (part)	7/6/12	M	A	
288.					Sold (part)	7/12/12	M		
289.					Sold (part)	7/13/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold (part)	7/17/12	N		
291.					Buy	7/31/12	M		
292.					Sold	7/31/12	M		
293.					Buy	8/2/12	M		
294.					Buy	8/7/12	M		
295.					Buy	8/8/12	M		
296.					Sold (part)	8/14/12	L		
297.					Sold (part)	8/16/12	L		
298.					Sold (part)	10/8/12	M		
299.					Sold	10/19/12	M		
300. Cisco Common Stock	C	Dividend	N	T	Buy	1/30/12	N		
301.					Buy	1/31/12	N		
302.					Buy	2/1/12	O		
303.					Sold (part)	2/1/12	P1	D	
304.					Sold (part)	2/2/12	N	C	
305.					Buy	2/8/12	M		
306.					Buy	3/21/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307.					Sold (part)	3/23/12	N	B	
308.					Buy	4/3/12	O		
309.					Buy	4/4/12	N		
310.					Buy	4/8/12	N		
311.					Buy	4/9/12	N		
312.					Buy	4/10/12	K		
313.					Buy	4/11/12	K		
314.					Buy	4/15/12	K		
315.					Buy	4/16/12	K		
316.					Buy	5/6/12	L		
317.					Buy	5/14/12	M		
318.					Sold (part)	8/8/12	L		
319.					Sold (part)	8/9/12	N		
320.					Sold (part)	8/15/12	L		
321.					Buy	8/23/12	M		
322.					Sold (part)	8/27/12	N		
323.					Sold (part)	9/7/12	N		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Sold (part)	10/8/12	M		
325.					Buy	10/8/12	M		
326.					Buy	11/5/12	K		
327.					Sold (part)	11/13/12	M		
328.					Sold (part)	12/13/12	K		
329.					Buy	12/20/12	L		
330.					Sold (part)	12/21/12	N		
331.					Sold (part)	12/31/12	N		
332. Facebook Common		None			Buy	5/22/12	K		
333.					Sold	8/31/12	K		
334. Home Depot Common		None			Buy	4/12/12	M		
335.					Sold	4/12/12	M	A	
336. Lowes Common		None			Buy	3/2/12	M		
337.					Sold	3/7/12	M	A	
338.					Buy	4/12/12	L		
339.					Sold	4/12/12	L	A	
340.					Buy	8/16/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Sold	8/16/12	M	A	
342.					Buy	8/17/12	M		
343.					Sold	8/24/12	M	A	
344. Time Warner Cable Common	A	Dividend	J	T	Buy	7/13/12	L		
345.					Sold (part)	7/13/12	L	A	
346. Valero Common Stock		None			Buy	3/2/12	M		
347.					Sold	3/7/12	M	C	
348. Whirlpool Common		None			Buy	1/17/12	M		
349.					Sold	1/18/12	M	C	
350.					Buy	4/12/12	L		
351.					Sold	4/12/12	L	A	
352.					Buy	4/13/12	L		
353.					Sold	4/24/12	L		
354. POA Account # 1 : Chase Accounts	B	Interest	N	T					
355. POA Account# 2: Bank of America (CD)	A	Interest	M	T					
356. POA Account #3: Vanguard Wellington Admiral Fund	C	Dividend	L	T					
357. POA Account #4									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

358. -Eaton Vance Floating Rate Fund	B	Dividend	L	T					
359. -Oppenheimer Senior Floating Rate Fund	C	Dividend	L	T					
360. POA Account #5:	A	Int./Div.	K	T					
361. -CitiBank Deposit Program									
362. -Merrill Lynch Preferred					Sold	05/09/12	J	A	
363. -DWS High Income Fund									
364. POA Account #6:	B	Int./Div.	M	T					
365. -Western Asset Money Market									
366. -CitiBank Deposit Program									
367. -Intel									
368. -Gabelli Equity Tr. PFD									
369. -Morgan Stanley Preferred					Sold	05/09/12	K		
370. -DWS High Income Fund									
371. -DWS Gov't Securities Fund									
372. POA Account #7:	C	Int./Div.	M	T					
373. -CitiBank Deposit Program									
374. -Columbia Acorn Select Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Buchwald, Naomi R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. -Columbia Select Smaller Cap Fund									
376. -DWS Strategic Value Fund									
377. -Fidelity Advisor Diversified International Fund									
378. -JP Morgan Mid Cap Value Fund									
379. -Growth Fund of America									
380. -Invesco Van Kampen Senior. Loan Fund									
381. -Touchtone Mid Cap Growth Fund									
382. -Escrow Leiner Health Products exchanged for Lehman									
383. -Express Scripts Holding Co. Common Stock (X)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Naomi R. Buchwald**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544