

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Marrero, Victor	2. Court or Organization U.S. District Court, S.D.N.Y.	3. Date of Report 06/17/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. District Court, SDNY 500 Pearl Street New York, New York 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	New York Public Library
2.	Trustee	American Museum of Natural History
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 18

Name of Person Reporting

Marrero, Victor

Date of Report

06/17/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Federal Employees Retirement System-vested pension	\$11,424.00
2. 2018	Verizon-vested pension	\$13,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	salary, Bloomberg, LP
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 18

Name of Person Reporting

Marrero, Victor

Date of Report

06/17/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 18

Name of Person Reporting

Marrero, Victor

Date of Report

06/17/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JPMORGAN CHASE MONEY MARKET and CHECKING ACCOUNTS	B	Interest	M	T					
2.									
3. FIDELITY NY MUN. INC. FD.	C	Dividend	M	T	Buy (add'l)	04/12/18	M		
4.					Buy (add'l)	04/17/18	O		
5.					Sold (part)	04/19/18	O	A	
6.									
7. FIDELITY ROLLOVER IRA: (See Lines 8 through 86)									
8. Strategic Adv. Value Fund	D	Dividend	L	T	Sold (part)	01/30/18	J	C	
9.					Buy (add'l)	02/13/18	J		
10.					Sold (part)	04/12/18	J	C	
11.					Sold (part)	07/30/18	J	B	
12.					Buy (add'l)	12/28/18	J		
13. Strategic Adv. Small Mid-Cap Fd	D	Dividend	L	T	Sold (part)	01/04/18	J	C	
14.					Buy (add'l)	02/13/18	J		
15.					Buy (add'l)	12/13/18	J		
16.					Buy (add'l)	12/28/18	J		
17. Strategic Adv. International Fund	D	Dividend	M	T	Sold (part)	01/18/18	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 18

Name of Person Reporting

Marrero, Victor

Date of Report

06/17/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	02/13/18	J		
19.					Sold (part)	03/08/18	J	A	
20.					Sold (part)	04/12/18	J	A	
21.					Sold (part)	06/27/18	J	A	
22.					Buy (add'l)	10/17/18	J		
23.					Sold (part)	10/30/18	J	A	
24.					Buy (add'l)	02/13/18	J		
25. Strategic Adv. Core Fund	E	Dividend	M	T	Sold (part)	01/18/18	J	A	
26.					Buy (add'l)	02/13/18	J		
27.					Sold (part)	03/08/18	J	A	
28.					Buy (add'l)	04/04/18	J		
29.					Sold (part)	04/12/18	J	A	
30.					Sold (part)	06/27/18	J	B	
31.					Sold (part)	09/06/18	J	B	
32.					Buy (add'l)	10/17/18	J		
33.					Buy (add'l)	12/13/18	J		
34.					Buy (add'l)	12/28/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 18

Name of Person Reporting

Marrero, Victor

Date of Report

06/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Strategic Advs. Growth Fund	D	Dividend	L	T	Sold (part)	01/18/18	J	C	
36.					Sold (part)	03/27/18	J	B	
37.					Buy (add'l)	04/04/18	J		
38.					Sold (part)	04/12/18	J	C	
39.					Sold (part)	06/27/18	J	A	
40.					Sold (part)	09/06/18	J	A	
41.					Buy (add'l)	10/17/18	J		
42.					Buy (add'l)	12/28/18	J		
43. Strategic Advs. Emerging Markets	A	Dividend	K	T	Sold (part)	01/18/18	J	A	
44. Strategic Advs. Core Income Fund	E	Dividend	O	T	Buy (add'l)	01/18/18	K		
45.					Sold (part)	02/13/18	J	C	
46.					Buy (add'l)	03/08/18	J		
47.					Sold (part)	04/04/18	J	A	
48.					Buy (add'l)	04/12/18	K		
49.					Sold (part)	05/30/18	J	B	
50.					Buy (add'l)	06/27/18	J		
51.					Buy (add'l)	09/06/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 18

Name of Person Reporting

Marrero, Victor

Date of Report

06/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold (part)	10/17/18	K	A	
53.					Sold (part)	12/13/18	J	A	
54.					Sold (part)	12/28/18	J	A	
55. Strategic Adv's. Income Opportunities	B	Dividend	K	T	Sold (part)	06/27/18	J	C	
56.					Sold (part)	12/13/18	J	A	
57. Fidelity Gov't Cash Reserves	A	Interest	J	T					
58. FIMM Gov't Portfolio Inst Cl	A	Dividend	J	T	Sold (part)	02/12/18	J	A	
59.					Buy (add'l)	02/13/18	J		
60.					Sold (part)	05/14/18	J	A	
61.					Buy (add'l)	06/27/18	J		
62.					Sold (part)	06/27/18	J	A	
63.					Sold (part)	09/06/18	J	A	
64.					Sold (part)	09/24/18	J	A	
65.					Sold (part)	11/13/18	J	A	
66.					Buy (add'l)	12/13/18	J		
67. Strategic Adv's. Short Duration Fund	C	Dividend	M	T	Buy (add'l)	01/18/18	J		
68.					Sold (part)	02/13/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 18

Name of Person Reporting

Marrero, Victor

Date of Report

06/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Sold (part)	08/29/18	J	A	
70.					Buy (add'l)	09/06/18	J		
71.					Sold (part)	09/27/18	J	A	
72.					Sold (part)	10/27/18	J	A	
73.					Sold (part)	12/13/18	J	A	
74.					Sold (part)	12/28/18	J	A	
75. Fidelity SAI U.S. Large Cap. Index	A	Dividend	K	T	Sold (part)	11/28/18	J	B	
76.					Buy (add'l)	12/13/18	J		
77. Fidelity SAI Int'l Index	A	Dividend	K	T	Buy (add'l)	06/27/18	J		
78. Fidelity SAI Emerg Mkts Index	A	Dividend	J	T	Sold (part)	02/27/18	J	B	
79.					Buy (add'l)	03/08/18	J		
80.					Buy (add'l)	10/17/18	J		
81.					Sold (part)	12/13/18	J	A	
82. AQR Managed Futures Fund	A	Dividend	J	T					
83. AQR Equity Mkt Neutral Fd	A	Dividend	J	T					
84. ASG Managed Futures Strat. Fd	A	Dividend	J	T					
85. Eaton Vance Global Macro	A	Dividend	J	T	Buy (add'l)	02/13/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 18

Name of Person Reporting

Marrero, Victor

Date of Report

06/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. Pimco Commodity Real Retrn Inst	A	Dividend	J	T					
87.									
88. BLOOMBERG LP. 401(K) PLAN. (See Lines 89 through 96)									
89. LifePath Index 2025 NL-G	A	Dividend	L	T	Buy (add'l)	03/31/18	J		
90.					Buy (add'l)	06/30/18	J		
91.					Buy (add'l)	09/30/18	J		
92.					Buy (add'l)	12/29/18	J		
93. Fidelity Contrafund	A	Dividend	L	T	Buy (add'l)	03/31/18	J		
94.					Buy (add'l)	06/30/18	J		
95.					Buy (add'l)	09/30/18	J		
96.					Buy (add'l)	12/29/18	J		
97.									
98. FIDELITY SEP IRA: (See Lines 99 through 151)									
99. Strategic Advs. Value Fund	B	Dividend	K	T	Sold (part)	01/18/18	J	A	
100.					Sold (part)	04/12/18	J	A	
101.					Sold (part)	09/06/18	J	A	
102.					Buy (add'l)	12/28/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 18

Name of Person Reporting

Marrero, Victor

Date of Report

06/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

103. Strategic Adv. Small-Mid Cap Fd	B	Dividend	J	T	Buy (add'l)	04/12/18	J		
104.					Sold (part)	09/06/18	J	A	
105.					Buy (add'l)	10/17/18	J		
106. Strategic Adv. International Fund	B	Dividend	K	T	Sold (part)	01/18/18	J	A	
107.					Buy (add'l)	02/13/18	J		
108.					Sold (part)	04/12/18	J	A	
109.					Buy (add'l)	10/17/18	J		
110. Strategic Adv. Core Fund	C	Dividend	K	T	Sold (part)	01/18/18	J	A	
111.					Buy (add'l)	02/13/18	J		
112.					Sold (part)	03/08/18	J	A	
113.					Buy (add'l)	04/04/18	J		
114.					Sold (part)	06/27/18	J	A	
115.					Sold (part)	09/06/18	J	A	
116.					Buy (add'l)	12/13/18	J		
117. Strategic Adv. Growth Fund	C	Dividend	K	T	Sold (part)	03/08/18	J	A	
118.					Buy (add'l)	04/04/18	J		
119.					Sold (part)	04/12/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 ~\$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 ~More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 18

Name of Person Reporting

Marrero, Victor

Date of Report

06/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	06/27/18	J	A	
121.					Sold (part)	09/06/18	J	A	
122.					Buy (add'l)	10/17/18	J		
123.					Buy (add'l)	12/28/18	J		
124. Strategic Advs. Emerging Markets	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
125. Strategic Advs. Core Income Fund	C	Dividend	L	T	Buy (add'l)	01/18/18	J		
126.					Sold (part)	02/13/18	J	A	
127.					Buy (add'l)	03/08/18	J		
128.					Sold (part)	04/04/18	J	A	
129.					Buy (add'l)	04/12/18	J		
130.					Buy (add'l)	04/13/18	J		
131.					Buy (add'l)	06/27/18	J		
132.					Buy (add'l)	09/06/18	J		
133.					Sold (part)	10/17/18	J	A	
134.					Sold (part)	12/13/18	J	A	
135.					Sold (part)	12/28/18	J	A	
136. Strategic Advs. Income Opportunities Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 18

Name of Person Reporting

Marrero, Victor

Date of Report

06/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Strategic Adv. Short Duration Fund	A	Dividend	K	T	Sold (part)	03/08/18	J	A	
138.					Sold (part)	04/12/18	J	A	
139.					Sold (part)	06/27/18	J	A	
140.					Buy (add'l)	09/06/18	J		
141.					Sold (part)	10/17/18	J	A	
142. Pimco Commodity Real Return Inst.	A	Dividend	J	T					
143. FIMM Gov't Port Inst CL	A	Dividend	J	T	Buy (add'l)	12/13/18	J		
144. Fidelity Gov't Cash Reserves	A	Interest	J	T					
145. Fidelity SAI U.S. Large Cap. Index	A	Dividend	J	T					
146. Fidelity SAI Emerg. Mkts Index	A	Dividend	J	T	Sold (part)	12/13/18	J	A	
147. Fidelity SAI Internat'l Index	A	Dividend	J	T	Buy (add'l)	06/27/18	J		
148. AQR Multi Strgy Altern. Class	A	Dividend	J	T					
149. AQR Managed Futures Fund CC	A	Dividend	J	T					
150. Eaton Vance Global Macro Abslte Rt Cl I	A	Dividend			Sold	04/12/18	J	A	
151. Eaton Vance Global Macro Absolute Rtm Adv I	A	Dividend	J	T	Buy	04/12/18	J		
152.									
153. FIDELITY ROLLOVER IRA: (See Lines 154 through 198)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 18

Name of Person Reporting

Marrero, Victor

Date of Report

06/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Strategic Adv. Value Fund	B	Dividend	K	T					
155. Strategic Adv. Small-Mid Cap Fund	B	Dividend	K	T	Buy (add'l)	04/12/18	J		
156. Strategic Adv. International Fund	B	Dividend	K	T	Sold (part)	01/18/18	J	A	
157.					Buy (add'l)	02/13/18	J		
158.					Sold (part)	03/08/18	J	A	
159.					Buy (add'l)	09/06/18	J		
160.					Buy (add'l)	12/13/18	J		
161. Strategic Adv. Core Fund	C	Dividend	L	T	Buy (add'l)	04/04/18	J		
162.					Sold (part)	04/12/18	J	A	
163.					Sold (part)	06/27/18	J	A	
164.					Sold (part)	09/06/18	J	A	
165.					Buy (add'l)	12/13/18	J		
166. Strategic Adv. Growth Fund	C	Dividend	K	T	Sold (part)	01/18/18	J	A	
167.					Sold (part)	09/06/18	J	A	
168.					Buy (add'l)	10/17/18	J		
169.					Sold (part)	12/13/18	J	A	
170. Strategic Adv. Emerging Markets	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 18

Name of Person Reporting

Marrero, Victor

Date of Report

06/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Strategic Advs. Short Duration Fund	A	Dividend	K	T	Sold (part)	03/08/18	J	A	
172.					Sold (part)	04/12/18	J	A	
173.					Sold (part)	06/27/18	J	A	
174.					Buy (add'l)	09/06/18	J		
175.					Sold (part)	10/17/18	J	A	
176. Strategic Advs. Core Income Fund	C	Dividend	M	T	Buy (add'l)	01/18/18	J		
177.					Sold (part)	02/13/18	J	A	
178.					Buy (add'l)	03/08/18	J		
179.					Sold (part)	04/04/18	J	A	
180.					Buy (add'l)	04/12/18	J		
181.					Buy (add'l)	06/27/18	J		
182.					Buy (add'l)	09/06/18	J		
183.					Sold (part)	10/17/18	J		
184.					Sold (part)	12/13/18	J	A	
185.					Sold (part)	12/28/18	J	A	
186. Strategic Advs. Income Opportunities Fd	A	Dividend	J	T					
187. Fidelity Gov't Cash Reserves	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000 K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000 L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 15 of 18

Name of Person Reporting

Marrero, Victor

Date of Report

06/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. FIMM Gov't Portfolio Inst. CI	A	Dividend	J	T	Buy (add'l)	12/13/18	J		
189. Fidelity SAI U.S. Large Cap Index	A	Dividend	J	T					
190. Fidelity SAI Internat'l Index	A	Dividend	J	T					
191. Fidelity SAI Emerg. Mkts. Index	A	Dividend	J	T					
192. AQR Multi Strgy Alternative Class N	A	Dividend	J	T					
193. AQR Managed Futures Fund CI	A	Dividend	J	T					
194. Eaton Vance Global Macro Abslste Rt CI	A	Dividend			Sold	04/12/18	J	A	
195. Eaton Vance GI Macro Absolute Rtrn Adv I	A	Dividend	J	T	Buy	04/12/18	J		
196. Pimco Commodity Real Retrn Inst.	A	Dividend	J	T					
197.									
198. FIDELITY JOINT MUTUAL FUND (See Lines 199 through 203)									
199. Fidelity New Markets Income	D	Dividend	J	T	Sold (part)	04/19/18	L	B	
200. Fidelity Floating Rate High Income	C	Dividend	J	T	Sold (part)	04/19/18	L	B	
201. Fidelity High Income	C	Dividend	J	T	Sold (part)	04/19/18	L	B	
202. Fidelity Global High Income	C	Dividend	J	T	Sold (part)	04/19/18	L	B	
203. Fidelity Gov't Money Market	A	Dividend	K	T	Buy	04/06/18	K		
204.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 18

Name of Person Reporting

Marrero, Victor

Date of Report

06/17/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. FIDELITY DEFERRED ANNUITY (see Lines 206 through 212)									
206. Fidelity VIP Govt Money Market	A	Dividend	N	T	Buy	04/19/18	O		
207.					Sold (part)	07/20/18	O	A	
208.					Sold (part)	10/05/18	L	A	
209. Fidelity VIP Strategic Income	A	Dividend	M	T	Buy	07/20/18	M		
210. Morgan Stanley Emerg Mkt Debt	A	Dividend	L	T	Buy	07/20/18	L		
211. Fidelity VIP Floating Rt High Inc.	A	Dividend	N	T	Buy	07/20/18	L		
212.					Buy (add'l)	10/05/18	L		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 18

Name of Person Reporting	Date of Report
Marrero, Victor	06/17/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Please note that lines 7, 88, 98, 153, 198 and 205 are headers, as indicated by the notation identifying the specific lines following immediately below the header which are included within it.

In Part I, Positions, I listed the American Museum of Natural History, of which I have served on the Board of Trustees since 2014. Inadvertently, I omitted to list this membership in prior reports.

In Part III.A., Non-Investment Income, I have reported income from two vested pensions which I inadvertently omitted to list in prior reports.

FINANCIAL DISCLOSURE REPORT

Page 18 of 18

Name of Person Reporting

Marrero, Victor

Date of Report

06/17/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Victor Marrero

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544