

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Romero, Michael E.	2. Court or Organization Colorado - Bankruptcy Court	3. Date of Report 05/12/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge - U.S. Bankruptcy Court - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 543 U.S. Custom House 721 19th Street Denver, CO 80202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. member - executive board	Our Courts, Colorado
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2003	Pendleton, Friedberg, Wilson & Hennessey, P.C. - departed shareholder/director interest in P.C. - no control. Agreement entered into 2003. No payment in 2014
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 4/4/14	Wells Fargo Bank - partial beneficiary distribution from [REDACTED] trust
2. 7/14/14	Wells Fargo Bank - partial beneficiary distribution from [REDACTED] trust
3. 8/29/14	Wells Fargo Bank - partial beneficiary distribution from [REDACTED] trust
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Bankruptcy Institute	January 2013	Colorado	Bar Conference	tuition waiver
2. National Conference of Bankruptcy Judges	April 2014	Missouri	Professional Association mid-year meeting	transportation, lodging and food
3. National Conference of Bankruptcy Judges	October 2014	Illinois	Professional Association annual meeting	transportation, lodging and food
4. National Conference of Bankruptcy Judges	September, 2014	Washington, DC	Professional meeting with members of Congress and staff	lodging and food
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	Credit Cards	J
2.	Bank of America	Credit Card	J
3.	Barclays Bank Delaware	Credit Card	J
4.	Wells Fargo National Bank	Line of Credit	J
5.	Capital One	Credit Card	J

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank Custodian Traditional IRA - See note in part VIII	B	Interest	K	T					
2. Wells Fargo National Bank, Accounts	A	Interest	J	T					
3. Northwestern Life Insurance Co. Whole Life #1	C	Interest	L	T					
4. Northwestern Life Insurance Co. Whole Life #2	A	Interest	K	T					
5. Trust #1: [REDACTED] is Successor Trustee See note in part VIII (H)	E	Interest	J	T					
6. -Undeveloped real property in Gunnison Co, Colorado					Distributed	02/06/14	L		Brother of Spouse
7. -former residence of [REDACTED] See comment in section VIII					Sold	06/16/14	N		Gary Hammondtree
8. -AT&T stock See comment in Section VIII					Redeemed	08/12/14	K	B	
9. -Wells Fargo accounts*(see comment in section VIII)(x)					Distributed (part)	04/04/14	L		Spouse / Brother of Spouse
10.					Distributed (part)	07/14/14	N		Spouse/Brother of Spouse
11.					Distributed	08/29/14	M		spouse/Brother of Spouse
12. [REDACTED]'s estate - [REDACTED] is Representative (H)									
13. -Jeep Liberty (2003) See comment in Section VIII					Sold	09/02/14	J	C	CarMax
14. -jewelry			J	W					
15. -Misc coins discovered in 2014 (x)			J	W					
16. -Steinway piano					Distributed	02/06/14	K		Spouse
17. -Undeveloped land in Latimer Co, OK			J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -oil royalty from Mustang Fuel from line 17	A	Royalty							
19. -Wells Fargo accounts			K	T					
20. Synetra Life Ins/Ins Prods Edge Pro 7 Annuity		None	L	T	Buy	12/30/14	L		Spouse
21. Fed. Inc. Sec Tr. Federated Cap Income IRA		None	K	T	Buy	12/30/14	K		Spouse
22. Lord Abbett Inv. Tr. Short Duration		None	L	T	Buy	12/30/14	K		Spouse

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII.1 [REDACTED] retirement account. Total investment in American Balanced Fund Inc. Class A. no transaction

VII.5 [REDACTED] became successor trustee of identified trust upon [REDACTED] death. Distributions to beneficiaries noted in lines VII. 9-11. Trust fully distributed in 2014

VII.6 Value taken from Gunnison County tax records (\$61,600 for 2013)

VII.7-8 Proceeds deposited into Wells Fargo account and distributed as reflected in VII.9-11

VII.9-11 All monies in this account were distributed to Trust's beneficiaries from the Wells Fargo account on the dates shown. Trust account closed by end of reporting period

VII.12 [REDACTED] became representative of [REDACTED] death during reporting period.

VII.13 Proceeds from sale deposited in account noted on line VII.19

VII.20-22 Value given for products were the investment price paid by [REDACTED]

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael E. Romero**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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