

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Giblin, Keith F.	2. Court or Organization Texas - District Court	3. Date of Report 05/13/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 300 Willow, Room 118 Beaumont TX 77701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Committee Member	Boy Scouts of America, Troup 601
2. Board Member	Ubi Caritas
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2004	Department of Justice Retirement Plan, No Control - Pension Plan through former employer.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	All Saints Episcopal School - Teaching	\$8,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Christus - St. Elizabeth Hospital, Beaumont Texas
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	VISA Capital One Services	Credit Card Debt	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. UBS Bank Deposit Money Market	A	Interest	K	T					
2. Guarantee Bank, Bmt TX (checking account)	A	Interest	J	T					
3. GVIT Growth Fund	B	Dividend	L	T					
4. GVIT Asset Allocation	C	Dividend	M	T					
5. GVIT Bond Fund	C	Dividend	L	T					
6. Irving Texas I.S.D. Zero Coupon Bond		None	J	T					
7. Houston, Texas Water Municipal Bond	B	Interest	K	T					
8. American Balance Fund	B	Dividend			Sold	08/16/16	L	E	
9. American High Income Municipal Fund	B	Dividend			Sold	08/16/16	K	B	
10. American Funds Group -Capital Income Builder Fund	B	Dividend			Sold	08/16/16	K	C	
11. GAMCO Growth Fund	A	Dividend	K	T					
12. American Funds Group- Growth Fund of America	A	Dividend	L	T					
13. Janus Advisor Forty Fund	A	Dividend	K	T					
14. T Rowe Price Value Advisor Fund	B	Dividend	M	T					
15. Royce Premier Fund	A	Dividend	K	T					
16. American Funds Group Invst Co of America Fund	A	Dividend	J	T					
17. Washington Mutual Investors Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. DWS Core	A	Dividend	J	T					
19. Lord Abbett High IncomeMunicipal	B	Dividend	L	T					
20. Managers Bond Fund	B	Dividend	K	T					
21. Pioneer Strategic Income	A	Dividend	K	T					
22. Oppenheimer Main Street Small Cap	A	Dividend	K	T					
23. Ft. Templeton Global Bono Fund	B	Dividend	L	T					
24. Canyon TX Water	A	Interest	K	T					
25. William Blair International	A	Dividend	J	T					
26. Mobileye	A	Dividend	J	T	Buy	05/16/16	J		
27. Neuberger Berman International	A	Dividend	L	T	Buy	08/16/16	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The following stock was sold during 2015 and removed from the 2016 report:

American Mutual fund
Capital World Growth
New Perspective
New World Fund
Capital World Bond
Intermediate Bond Fund
Tax Exempt Bond Fund of America
Limited Term Tax Exempt Bond Fund

Note: After reviewing prior reports it come to our attention that the following should have been reported on the following years finaicials:

Stock Newberger Bermon Iteration

It was report on year 2015 but was failed to report on the following prior years

Year of Report	Income	Gross Value at end of year	Value Method
2015 A K T			
2014 A K T			
2013 A K T			
2012 A K T Buy 2021012 J			
2011 A J T Buy 2-22-11 K			
Sold 6/16/2011 J A			
Sold 08/10/11 J A			

Please let me know if I need to hanlde this in another way by either Amending prior reports or if this will take care of the clerical error and oversight of this one stock.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Keith F. Giblin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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