

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Brown, Joe B.	2. Court or Organization Middle District TN	3. Date of Report 09/23/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (Recall Full)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Room 783 U.S. Courthouse 801 Broadway Nashville, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Co-Trustee Assets listed Part VII line 181	Y P Trust. Trust [REDACTED] Cemetary
2. Lecturer in Criminal Law	Nashville School of Law
3. Adjunct Professor of Law	Vanderbilt University School of Law
4. Custodian Assets listed Part VII line 108	Uniform Gift to [REDACTED]
5. Custodian Assets listed Part VII line 108	Education trust [REDACTED]
6. Custodian Assets listed Part VII line 108	Education Trust [REDACTED]
7. Custodian Assets listed Part VII line 108	Education trust [REDACTED]
8. Custodian Assets listed Part VII line 108	Education trust [REDACTED]
9. Custodian Assets listed Part VII line 108	Education trust [REDACTED]
10. Custodian Assets listed Part VII line 108	Education trust [REDACTED]

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 12/22/89	Nashville School of Law, Agreement to teach a Course on Federal Criminal Law as scheduled.

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Vanderbilt University School of Law, Agreement to teach course on Pretrial Litigation

3.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Income from Flight and Scuba Instruction	\$0.00
2. 2013	Teaching Position Nashville School of Law	\$0.00
3. 2013	Teaching Position Vanderbilt School of Law	\$5,000.00
4. 2013	Tobacco buy out Settlement fund UDCA	\$2,181.00

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Abbott Labs (ABT)	A	Dividend			Buy	03/21/13	J		
2.					Sold	03/26/13	J	A	
3. Abbvie Inc (ABBV)	A	Dividend	J	T	Buy	01/02/13	J		
4.					Sold	01/04/13	J		Sold at loss
5.					Buy	04/29/13	J		
6. Adidas (ADDYY)	A	Dividend			Sold	05/03/13	J	A	
7. Adobe Systems (ADBE)	A	Dividend	J	T					
8. Amazon (AMZN)	A	Dividend	J	T					
9. American Beacon (AAIPX)	A	Dividend			Merged (with line 10)	03/25/13	J		
10. American Beacon (ABEYX)	A	Dividend	K	T	Buy (add'l)	03/25/13	J		Changed stock code
11.					Buy (add'l)	04/18/13	K		
12.					Sold (part)	06/24/13	J	A	
13. American Capital World Growth (CWGIX)	A	Dividend	J	T					
14.					Sold (part)	06/24/13	J	A	
15. American Century Small Cap (ACVIX)	A	Dividend	J	T					
16. American Grwth Fund of America (AFAFX)	A	Dividend			Sold	06/21/13	K	C	
17. American Europacif Grwth (AEGFX)	A	Distribution			Sold	06/21/13	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. American Express Stock (AXP)	A	Dividend	K	T					
19. American International Group Inc (AIG)	A	Dividend	J	T	Buy	04/30/13	J		
20. Anadarko Pete Corp (APC)	A	Dividend	J	T	Buy	12/5/13	J		
21. Apache Corp (APA)	A	Dividend			Sold	04/18/13	J		Sold at loss
22. Apple (AAPL)	A	Dividend	J	T					
23. Ascena Retail Group (ASNA)	A	Dividend			Sold	01/08/13	J		Sold at loss
24. Automatic Data Processing (ADP)	A	Dividend			Sold	02/07/13	J	A	
25. BB&T Corp (BBT)	A	Dividend	J	T					
26. Bed Bath & Beyond (BBBY)	A	Dividend	J	T					
27. Blackrock Util and Telecom (MDGUX)	A	Dividend	J	T					
28. Boeing Co (BA)	A	Dividend	J	T	Buy	04/04/13	J		
29.					Buy (add'l)	04/08/13	J		
30. Broadcom Corp (BRCM)	A	Dividend	J	T					
31. Canadial Picific Railray LTD (CP)	A	Dividend	J	T	Buy	01/03/13	J		
32. Capitol One Finl (COF)	A	Dividend			Sold	05/14/13	J	A	
33. Capital World Gr & Inc Fund (CWGIX)	B	Dividend	K	T					
34. Cardinal Health Inc (CAH)	A	Dividend	J	T	Buy	02/19/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Caterpilitr (CAT)	A	Dividend	J	T					
36. Carvinal Corp (CCL)	A	Dividend	J	T	Buy	11/01/13	J		
37. Celegene Corp (CELG)	A	Dividend			Sold	03/11/13	J	A	
38. CF Industries Holding (CF)	A	Dividend			Sold	04/29/13	J		Sold at loss
39. Chevron (CVX)	A	Dividend	J	T					
40. Cisco Sys Inc (CSCO)	A	Dividend	J	T					
41. CIT Group (CIT)	A	Dividend	J	T	Sold (part)	07/25/13	J	A	
42. CITIGROUP (C)	A	Dividend	J	T					
43. Citrix Sys inc (CTXS)	A	Dividend	J	T	Buy	12/26/13	J		
44. CMS Energy (CMS)	A	Dividend	J	T					
45. Colgate Palmolive (CL)		None			Sold	10/31/12	J	A	See remarks Section VIII
46. Comcast (CMCSA)	A	Dividend	J	T					
47. CVS/Caremark (CVS)	A	Dividend	J	T					
48. Danaher (DHR)	A	Dividend	J	T					
49. Delaware Value Instl (DDVIX)	A	Dividend	K	T	Buy	06/24/13	K		
50. Disney Walt (DIS)	A	Dividend	J	T					
51. Dodge and Cox (DODFX)	A	Int./Div.			Sold	04/18/13	K	B	

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52. Eaaton Corp PLC (ETN)	A	Dividend	J	T	Buy	05/07/13	J		
53. E M C Corp (EMC)	A	Dividend			Sold	10/17/13	J		Sold at loss
54. Enegizer Hlodings (ENG)	A	Dividend	J	T					
55. Expres Scripts (ESRX)	A	Dividend	J	T					
56. Exxon (XOM)	A	Dividend			Sold	08/27/13	J	A	
57. Facebook Inc (FB)	A	Dividend			Sold	10/03/13	J	A	
58. First Eagle Overseas (SGIOX)	A	Dividend	J	T	Buy (add'l)	04/19/13	J		
59.					Buy (add'l)	09/24/13	J		
60. Franklin TN School Dist Bonds	A	Interest	J	T					
61. General Electric (GE)	A	Dividend	J	T					
62. Gilead Scientific Inc (GILD)	A	Dividend	J	T	Buy	03/26/13	J		
63. Goggle (GOOG)	A	Dividend	J	T					
64. Halliburton (HAL)	A	Dividend	J	T					
65. Harding Loevner Intl Equity (HMLIX)	A	Dividend	K	T	Buy	04/18/13	J		
66. Health Stream Stock (HSTM)	A	Dividend	K	T					
67. Heartlandvalue plus (HNVIX)	A	Dividend	K	T	Buy (add'l)	06/21/13	J		
68. Hologic (HOLX)	A	Dividend			Sold	11/12/13	J	A	

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69. Hotckins & Wiley FDS (HWSIX)	A	Dividend	J	T	Sold (part)	03/14/13	J	A	
70. Hewlett Packard Inc (HPQ)	A	Dividend	J	T	Buy	04/16/13	J		
71. Hyatt Hotels Corp (H)	A	Dividend	J	T	Buy	01/10/13	J		
72. ING Intermediate Bond Fund (IIBWX)	A	Dividend	J	T					
73. Intel Stock (INTC)	A	Dividend	K	T					
74. International Business Machine (IBM)	A	Dividend	J	T					
75. Intercontinentalexchange (ICE)	A	Dividend	J	T					
76. International Paper Co (IP)	A	Dividend	J	T	Buy	2/21/13	J		See Note Paart VIII
77. IsharesTR S&P (MUB)	A	Dividend	J	T					
78. Ishares MSCI EMU Index (EZU)	A	Dividend			Sold	04/19/13	J	A	
79. Ishares MSCI EAFE IDX (EFA)	A	Dividend	K	T	Sold (part)	04/16/13	J	A	
80.					Buy (add'l)	08/07/13	J		
81.					Buy (add'l)	11/05/13	J		
82.					Buy (add'l)	11/12/13	J		
83. Ishares Russell 1000 Value (IWD)	A	Dividend	J	T	Buy	04/16/13	J		
84. Ishares Russell 2000 (IWN)	A	Dividend	J	T	Buy	04/16/13	J		
85. Johnson & Johnson (JNJ)	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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86. JPMORGAN (JPM)	A	Dividend	J	T					
87. Kohls Corp (KSS)	A	Dividend	J	T	Buy	05/20/13	J		
88. Lazard Emerging Markets (EMBIX)	A	Dividend	J	T	Buy	06/24/13	J		
89. Lazard Intl Strategic Equity Portfolio (LISIX)	A	Dividend	K	T	Buy	04/18/13	K		
90. Legg Mason (LGEMX)	A	Dividend			Sold	06/24/13	J		sold at loss
91. LKCM Small-Mid Cap Equity (LKSMX)	A	Dividend	J	T	Sold (part)	04/18/13	J	A	
92.					Sold (part)	08/28/13	J	A	
93. Marathon Oil Corp (MRO)	A	Dividend	J	T	Buy	06/13/13	J		
94. McGraw Hill Cos (MHP)	A	Dividend			Sold	02/08/13	J		sold at loss
95. Merc & Company (MRK)	A	Dividend	J	T					
96. Metlife (MET)	A	Dividend	J	T					
97. MFS Growth Fund (MFEIX)	A	Dividend	K	T	Buy	06/21/13	K		
98. Microsoft Stock (MSFT)	A	Dividend	K	T					
99. Monsanto (MON)	A	Dividend	J	T					
100. Morgan Stanley (MS)	A	Dividend	J	T					
101. Morgan Stanley Mutual Fund (VADBX) (Retirement account)	A	Dividend	J	T					
102. Motorola Solutions (MSI)	A	Dividend			Sold	07/30/13	J	A	

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103. Nashville School of Law IRA Unit FT GBL Div LDR	A	Dividend	J	T					
104. Nashville School of Law IRA Van Kampen EAFE Select 20 (Y)									
105. Nashville School of Law IRA Unit investment Trust	A	Int./Div.	J	T	Buy	01/05/13	J		
106. National-Oil Well Varco (NOV)	A	Dividend			Sold	01/08/13	J		Sold at loss
107. NXP Semiconductor (NXPI)	A	Dividend	J	T	Buy	07/16/13	J		
108. Occidental Pete Co (OXY)	A	Dividend	J	T					
109. OHIO College Advantage 529 plan Black Growth portfolio (ALL GC)	B	Dividend	O	T	Buy (add'l)	10/23/13	K		See Section VIII
110. Omnicom Group (OMC)	A	Dividend			Sold	02/07/13	J	A	
111. Oracle Corp (ORCL)	A	Dividend			Sold	12/02/13	J	A	
112. PepsiCo Inc (PEP)	A	Dividend	J	T					
113. PetSmart (PETM)	A	Dividend			Sold	10/07/13	J	A	
114. Pfizer Inc (PFE)	A	Dividend	J	T	Buy	01/29/13	J		
115. Phillip Morris (PN)	A	Dividend	J	T					
116. PIMCO Total Return (PTTRX)	A	Dividend	J	T	Buy (add'l)	06/24/13	J		
117. Procter & Gamble Co (PG)	A	Dividend	J	T	Buy	01/29/13	J		
118. Qualcomm Inc (QCOM)	A	Dividend			Sold	09/13/13	J	A	
119. RIO Tinto PLC (RIO)	A	Dividend	J	T	Buy	11/18/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Brown, Joe B.

Date of Report

09/23/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Royal Caribbean Cruises (RCL)	A	Dividend	J	T					
121. Rydex ETF S&P 500 Equal Weight (RSP)	A	Dividend	J	T					
122. Rydex S&P Pure Gr Mid Cap ETF (RFG)	A	Dividend	J	T					
123. Rydex S&P Small Cap ETF (RZG)	A	Dividend	J	T					
124. Sandisk Corp (SNDK)	A	Dividend	J	T	Buy	08/19/13	J		
125. Schroder Emerging Markets (SEMNX)	A	Dividend	J	T	Buy	04/18/13	J		
126.					Buy (add'l)	06/21/13	J		
127. Signet Jewellers LTD (SIG)	A	Dividend	J	T	Buy	10/30/13	J		
128. Spirit Aerosystems Hldgs Inc (SPR)	A	Dividend	J	T	Buy	09/05/13	J		
129. SPDR Barclays Municipal Bond ETF (TFI) (X)	A	Dividend	J	T	Buy (add'l)	03/13/13	J		
130. SPDR Gold Trust (GLD)	A	Dividend	J	T	Sold (part)	04/16/13	J		Sold at loss
131.					Sold (part)	11/12/13	J		Sold sat loss
132. SPDR S&P Mid Cap 400 (MDY)	A	Dividend			Sold	04/16/13	J	A	
133. Stanley Black & Decker (SWK)	A	Dividend			Sold	06/13/13	J	A	
134. Sysco Corp (SYY)	A	Dividend	J	T	Buy	08/05/13	J		
135. Target Corp (TGT)	A	Dividend			Sold	10/30/13	J	A	
136. TCW Relative Value Large Cap (TGDIX)	A	Dividend			Sold	06/24/13	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Texas Instruments Inc (TXN)	A	Dividend	J	T	Buy	04/22/13	J		
138. Thermo Fisher Scientific (TMO)	A	Dividend	J	T					
139. Thornburg Intl Value (TGVIX)	A	Dividend			Sold	04/16/13	K	B	
140. Tractor Supply (TSCO)	A	Dividend	K	T					
141. Transocean LTD (RIG)	A	Dividend	J	T	Buy	03/04/13	J		
142. Travelers Cos Inc (TRV)	A	Dividend			Sold	07/16/13	J	A	
143. TRW Automotive Holdings Corp (TRW)	A	Dividend	J	T	Buy	06/13/13	J		
144. Tyson Foods inc (TSN)	A	Dividend			Sold	08/05/13	J	A	
145. United Health Group Inc (UTX)	A	Dividend	J	T	Buy	10/07/13	J		
146. United Parcel Service (UPS)	A	Dividend	J	T					
147. United Technologies Corp (UTX)	A	Dividend	J	T					
148. Unilever N V N Y Shrs (UN)	A	Dividend			Sold	07/02/13	J	A	
149. USAA Agressive Grwth Fund (UIAGX)	A	Dividend	J	T					
150. USAA Emerging Markets (UIEMX)	A	Dividend	K	T	Sold (part)	04/17/13	J		Sold at loss
151.					Buy (add'l)	03/13/13	J		
152. USAA High Income Fund (UIHIX)	A	Dividend	J	T	Sold (part)	06/24/13	J	A	
153. USAA Income Fund Institutional Shares (UIINX)	A	Int./Div.	J	T	Buy (add'l)	06/24/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. USAA Managed Allocation (UMFAX)	A	Int./Div.	K	T	Buy (add'l)	06/24/13	K		
155. USAA Precious Metals & Minerals Funds (UIPMX)	A	Int./Div.	J	T	Buy (add'l)	03/13/13	J		
156. USAA Real Return UIRRX)	A	Int./Div.	J	T					
157. USAA Short Term Bond Fund (UISBX)	A	Int./Div.	J	T	Buy (add'l)	06/24/13	J		
158. USAA S&P Index (USPRX)	A	Dividend	J	T					
159. USAA Tax Exempt Intermediate Term(USATX)	A	Int./Div.	L	T	Buy (add'l)	03/13/13	J		
160. USAA Tax Exempt Long Term (USTEX)	A	Int./Div.	K	T	Buy (add'l)	03/13/13	J		
161. USAA Tax Exempt Short Term (USSTX)	A	Int./Div.	L	T	Buy (add'l)	03/13/13	J		
162. USAA Bank Checking Accounts	A	Int./Div.	K	T					
163. Vanguard Dividend Appreciation (VIG)	A	Dividend			Sold	04/16/13	J	A	
164. Vanguard MSCI Emerging Markets ETF (VWO)	A	Int./Div.	K	T	Sold (part)	06/26/13	J		Sold at loss
165. Varian Medical Systems (VAR)	A	Dividend			Sold	05/21/13	J	A	
166. Virtus Quality Small Cap (PXQSX)	A	Dividend	J	T					
167. Visa (V)	A	Dividend	J	T	Buy	08/27/13	J		
168. Vodafone Group PLC (VOD)	A	Dividend	J	T					
169. Wabtec Corp (WAB)	A	Dividend			Sold	04/15/13	J	A	
170. Wal Mart Stock (WMT)	B	Dividend	M	T	Donated (part)				

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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Brown, Joe B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Wells Fargo Stock (WFC)	A	Dividend	K	T					
172. Wells Fargo CMA, Checking	A	Interest	J	T					
173. Wells Fargo Sweep Accounts IRA and 60 and 57 Accounts	A	Interest	J	T					
174. Western District Warehouse Stock	A	Dividend			Redeemed	11/12/13	J	B	
175. Yahoo Inc (YHOO)	A	Dividend	J	T	Buy	01/14/13	J		
176. Wisdom Tree EMG Markets SMCAP (DGS)	A	Dividend	J	T	Buy	06/26/13	J		
177. Wisdomtree Trust JP Total Dividend Fund (DXJ)	A	Dividend			Sold	04/16/13	J	A	
178. 1/2 Interest [REDACTED] Taylorsville, KY	A	Rent	N	W					
179. 1/2 Interest [REDACTED] Laporte, IN	G	Rent	O	W					
180. Fidelity Mutual Life Ins Co.	A	Interest	J	T					
181. Mass Mutual Life Insurance Policies	B	Dividend	M	T					
182. Peoples Bank Taylorsville Sav Act ([REDACTED] Cemetary Trust)	A	Interest	J	T					
183. REGIONS BANK [REDACTED] Trust	A	Interest			Distributed	06/17/13	J		Closed at death of CS
184. REGIONS Bank, Nashville Checking	A	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I

Trust distributed on death in 2013. Value at distribution from saavings account less than \$1000. See Line 183

Part VII

Line 45 All stock was sold 10/31/12 error on 2012 report should have shown sale not purchase on 10/31/12 Sale amount was code J gain was code A.

Line 76. Purchase of stock was less than 1000 at time of purchase in 2013 but value at end of 2013 was over 1000.

Line 109 This includes all funds as Custodian Part I lines 5-11 in OHIO 529 College Plan . All shares listed under Blackrock

Line 182 Trust value under 1000 at time of final distribution on death

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Joe B. Brown**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544