

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Spraker, Gary A.	2. Court or Organization Bankruptcy Court	3. Date of Report 7/11/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address US Bankruptcy Court Old Federal Building 605 West 4th Avenue Anchorage, AK 99501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2012	Christianson & Spraker 401k Plan - terminated (received check for roll over in 2013)
2. 2013	Christianson & Spraker - collection of outstanding accounts receivable
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Alaska Permanent Fund	\$900.00
2. 2013	Law Office of Gary Spraker (accounts receivable)	\$36,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Alaska Permanent Fund
2. 2013	Self employed - attorney (partner).
3. 2013	Self- employed - landlord (deparate entity owning law firm building)
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Card	J
2.	American Express	Credit Card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Invesco American Franchise Fund (f/k/a Leisure Fund)	C	Dividend	K	T					
2. Franklin Templeton Inv.- Mutual Quest Fund (TEQIX)	C	Dividend	K	T					
3. Touchstone Invests - OM Copper Rock Int'l Small Cap Fund Z	A	Dividend	J	T					
4. T. Rowe Price Small Cap Value Fund (PRSVX)		None	K	T					
5. T Rowe Price - Int'l Stock	A	Dividend	J	T					
6. Wells Fargo Advantage Funds - Discovery (Inv)	B	Dividend	K	T					
7. White Oak Growth	A	Dividend	J	T					
8. Vanguard - IRA (Header)									
9. 500 Index Fund	A	Dividend	K	T					
10. Wellington Fund	A	Dividend	K	T					
11. Wellesley Fund	A	Dividend	J	T					
12. Money Market	A	Dividend	L	T					
13. Global Equity	A	Dividend	K	T					
14. Prime Market	A	Dividend	M	T	Buy	02/08/13	N		
15. ABA Retirement Accounts (Header)									
16. ABA Retirement - Moderate Risk Fund	A	Dividend	L	T					
17. ABA Retirement - Lifetime Income Retirement Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Municipality of Anchorage 401k (Header)									
19. T. Row Price Equity Index Fund (PREIX)	A	Dividend	K	T					
20. Oakmark International Trust Fund	A	Dividend	K	T					
21. Columbia Acorn Select Fund	A	Dividend	K	T					
22. UBS Resource Management Account									
23. UBS Bank USA Deposit Account	A	Interest	M	T					
24. Adobe		None			Sold	02/19/13	J	B	
25. Ascent Cap Group, Inc. Series A		None			Sold	02/19/13	J	A	
26. AT&T	A	Dividend			Sold	02/19/13	J	A	
27. Cisco		None			Sold	02/19/13	J	A	
28. Comcast	A	Dividend			Sold	02/19/13	J	C	
29. Direct TV		None			Sold	02/19/13	J	C	
30. Discovery Communications, Inc		None			Sold	02/19/13	J	A	
31. Discovery Communications, Inc., Series B		None			Sold	02/19/13	J	A	
32. Express Scripts Hldg Co.		None			Sold	02/19/13	J	A	
33. General Communications, Inc., Class A		None			Sold	02/19/13	J	A	
34. Intel Corp.	A	Dividend			Sold	02/19/13	J	D	

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35. Liberty Media Corp. Com.		None			Sold	02/19/13	J	B	
36. Liberty Media Corp. Liberty Capital		None			Sold	02/19/13	J	A	
37. Liberty Interactive Corp., Series A		None			Sold	02/19/13	J	B	
38. Liberty Interactive Corp., Com., Series B		None			Sold	02/19/13	J	B	
39. Liberty Ventures Series A		None			Sold	02/19/13	J	A	
40. Liberty Global Inc., Class A		None			Sold	02/19/13	J	A	
41. Liberty Global, Inc. Class B		None			Sold	02/19/13	J	A	
42. Liberty Global Inc., Series C		None			Sold	02/19/13	J	A	
43. Merck	A	Dividend			Sold	02/19/13	J	A	
44. Microsoft		None			Sold	02/19/13	J	A	
45. AT&T	A	Dividend			Sold	02/19/13	J	A	
46. Nuveen Municipal Opportunity Fund	D	Dividend	M	T					
47. UBS Access Account-managed by Davis Core Equities (header)									
48. UBS Bank USA Deposit Account	A	Interest	M	T					
49. Agilent Technologies	A	Dividend			Sold	02/19/13	J	B	
50. Air Prod & Chemical, Inc.	A	Dividend			Sold	02/19/13	J	A	
51. Alleghany Corp. Del. New		None			Sold	02/19/13	J	A	

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52. American Express	A	Dividend			Sold	02/19/13	J	C	
53. Ameriprise Financial, Inc.	A	Dividend			Sold	02/19/13	J	B	
54. Bank of New York Mellon Corp.	A	Dividend			Sold	02/19/13	J	A	
55. Bed Bath & Beyond		None			Sold	02/19/13	J	B	
56. Berkshire Hathaway, Class B		None			Sold	02/19/13	J	D	
57. Coca Cola Co.	A	Dividend			Sold	02/19/13	J	A	
58. Costco	A	Dividend			Sold	02/19/13	K	D	
59. CVS Caremark Corp.	A	Dividend			Sold	02/19/13	J	C	
60. Devon Energy Corp.,		None			Sold	02/19/13	J	B	
61. EOG Resources, Inc.	A	Dividend			Sold	02/19/13	J	D	
62. Express Scripts Hldg. Co.		None			Sold	02/19/13	J	B	
63. Google, Inc., Class A		None			Sold	02/19/13	J	B	
64. Harley Davidson, Inc.		None			Sold	02/19/13	J	A	
65. Hewlett Packard, Co.	A	Dividend			Sold	02/19/13	J	A	
66. Iron Mtn, Inc New	A	Dividend			Sold	02/19/13	J	B	
67. Loews Corp.		None			Sold	02/19/13	J	A	
68. Pfizer, Inc.		None			Sold	02/19/13	J		

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69. Progressice Corp. Ohio	A	Dividend			Sold	02/19/13	J	B	
70. Texas Instruments	A	Dividend			Sold	02/19/13	J	B	
71. Walt Disney Co. (Hldg)		None			Sold	02/19/13	J	B	
72. Wells Fargo & Co	A	Dividend			Sold	02/19/13	J	D	
73. Canadian Nat. Resources Ltd., Cad	A	Dividend			Sold	02/19/13	J	A	
74. DIAGEO plc		None			Sold	02/19/13	J	C	
75. Potash Corp SASK, Inc. Canada	A	Dividend			Sold	02/19/13	J	A	
76. Transocean LTD		None			Sold	02/19/13	J	A	
77. UBS Resource Management - UTMA Account 1 (Header)									
78. UBS Bank USA Dep. Acct	A	Interest			Closed	01/08/13	K	A	
79. Cisco		None			Sold	01/08/13	J	A	
80. Intel		None			Sold	01/08/13	J	A	
81. Microsoft		None			Sold	01/08/13	J	A	
82. UBS Resource Management - UTMA Account 2 (Header)									
83. UBS Bank USA Dep. Acct	A	Interest	K	T					
84. Cisco		None			Sold	02/19/13	J	A	
85. Intel	A	Dividend			Sold	02/19/13	J	A	

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86. Microsoft		None			Sold	02/19/13	J	A	
87. UBS Retirement Account -IRA (Header)									
88. UBS Bank USA Deposit Account	A	Interest	M	T					
89. Citigroup		None			Sold	02/19/13	J	A	
90. St. Paul Travelers Cos., Inc.	A	Dividend			Sold	02/19/13	J	A	
91. Blackrock Euro Fund	A	Dividend	J	T					
92. Columbia Seligmann Comm & Info, Class A	B	Dividend	K	T					
93. Invesco American Value, Class A	A	Dividend	K	T					
94. Invesco Mid Cap Growth, Class A	A	Dividend	K	T					
95. Invesco Small Cap Discovery Fund, Class B	A	Dividend	K	T					
96. Munder Growth Opportunity		None	J	T					
97. Lord Abbott Bond Debenture Fund, Class A	C	Dividend	L	T					
98. UBS Retirement Account- SEP IRA (Header)									
99. UBS Bank	A	Interest	K	T					
100. Boeing	A	Dividend			Sold	02/19/13	J	C	
101. Corning	A	Dividend			Sold	02/19/13	J	A	
102. Morrisson & Foerster 401k admin. by Charles Schwab (Header)									

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103. Schwab Inst. Large Cap Value Trust Fund	A	Dividend			Closed	01/25/13	K	E	
104. Conservative Prof. Mixed Portfolio	A	Dividend			Closed	01/25/13	K	D	
105. Key Bank (money market)	A	Interest	J	T					
106. Alaska USA Fed. Credit Union	A	Interest	K	T					
107. Northrim Bank	A	Interest	M	T					
108. Northrim Bank - UTMA 2	A	Interest	J	T					
109. Northrim Bank - III	A	Interest	J	T					
110. Wells Fargo Business Checking Account		None	J	T					
111. Wells Fargo Custodian SEP IRA - Money Market	A	Interest	J	T					
112. Hartford Retirement Accounts (401k) (Header)									
113. Janus Overseas	A	Dividend			Closed	02/13/13	K	D	
114. Goldman Sachs Small Cap Value A	A	Dividend			Closed	02/13/13	K	D	
115. Goldman Sachs Mid Cap Value A	A	Dividend			Closed	02/13/13	K	D	
116. Davis New York Venture	A	Dividend			Closed	02/13/13	L	D	
117. TJT Boreal Investments LLC	B	Rent	K	U	Open	10/23/13	L		
118. UnitedHealth Group Inc		None			Buy	02/06/13	J		
119. UnitedHealth Group Inc		None			Sold	02/19/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. Monsanto	A	Dividend			Sold	02/19/13	J	A	
121. Occidental Petroleum Corp.		None			Sold	02/19/13	J	D	
122. Oracle Corp.		None			Sold	02/19/13	J	A	
123. Starz Series A		None			Sold	02/19/13	J	A	
124. Starz Series B		None			Sold	02/19/13	J	A	
125.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

III.A Non Investment Income. The income reflected represents the accounts receivable due and owing to me through Christianson & Spraker and the Law Office of Gary Spraker as of the date I terminated my employment to take the bench in October 2012. No other income or funds are due to me, however that income is reported on tax returns for 2013.

V. Gifts. I received a copy of West Publishing's Bankruptcy Exemption Manual as a continuing author. The retail sales price of the book is \$309. I consider this to be more akin to a gift, and have not listed it in Section V since it falls below the \$350 minimum. However, some might consider it compensation so I am disclosing the receipt of the book.

VII. Investments. Individual stocks have been liquidated prior to filing this report, including those held in the UBS Access account. The proceeds of those sales are reflected within those accounts though the transfers are not reflected as the proceeds were deposited into money market accounts. The funds held in the Hartford 401k and Morrison & Foerster 401k account have also been liquidated, but they have been rolled over into the Vanguard IRA.

The following individual stocks were omitted from the prior (initial) report: Monsanto, Occidental Petroleum Corp., Oracle Corp., Starz Series A, and Starz Series B. Each of these was sold as part of the liquidation of individual stocks in 2013, and the proceeds were placed into the deposit account as well.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gary A. Spraker

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544