

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jones, Steve C.	2. Court or Organization United States District Court, Northern District of Georgia	3. Date of Report 4/25/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge -- Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/1/2012 to 12/31/2012
7. Chambers or Office Address 1967 United States Courthouse 75 Spring Street, S.W. Atlanta, Georgia 30303-3309		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. President	University of Georgia Alumni Association
2. Board Member, ex officio	University of Georgia Board of Visitors
3. Board Member, ex officio	University of Georgia Athletic Board
4. Board Member, ex officio	University of Georgia Foundation
5. Director, ex officio	Athens Academy
6. Director	Athens Area Community Foundation
7. Member, Board of Visitors	Riverside Military Academy
8. President, Board of Directors	UGA Chapter of the National Football Foundation, College Hall of Fame

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1995	Steve C. Jones & Georgia Judicial Retirement Systems, pension upon age 60 and minimum of 10 years of service
2. 1978	Steve C. Jones & Unified Government of Athens-Clarke County, GA, pension upon retirement at age 62, with eligibility for receipt of early benefits at age 56
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	self-employed seamstress
2. 2012	Clarke County School District (compensation)
3. 2012	Clarke County School District (retirement compensation)
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. UGA Athletic Association, Inc.	9/6/2012 - 9/9/2012	Columbia, Missouri	UGA Alumni President and Athletic Ass'n Board duties at Georgia v. Missouri Game	transportation, meals, hotel
2. UGA Athletic Association, Inc.	12/2/2012-12/7/2012	Philadelphia & New York	Attended Hall of Fame induction ceremony & related events as pres. of UGA Chap.of HOF	transportation, meals, hotel
3. UGA Athletic Association, Inc.	12/29/2012-1/2/2013	Orlando, Florida	UGA Alumni President and Athletic Ass'n Board duties at 2013 Capital One Bowl	transportation, meals, hotel
4.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	University of Georgia	basketball tickets	\$450.00
2.	University of Georgia	football tickets	\$1,004.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	mortgage on rental property #1 (Athens, Georgia, Part VII, line 1)	L
2.	Wells Fargo	mortgage on rental property #2 (Athens, Georgia, Part VII, line 2)	K
3.	Chase Bank	credit card	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. rental property #1, Athens, GA	D	Rent	M	W					
2. rental property #2, Athens, GA	D	Rent	L	W					
3. rental property # 3, Compton, CA	D	Rent	M	W					
4. rental property #4, Compton, CA (X)	D	Rent	M	W					
5. Total Systems Services -- common stock	A	Dividend	J	T					
6. Synovus Financial Corp. -- common stock	A	Dividend	J	T					
7. Commercial Bank -- CD	A	Int./Div.	J	T					
8. IRA # 1	E	Interest	M	T					
9. -VALIC Stock Index Fund (VSTIX)									
10. -Vanguard Windsor II (VWNFX)									
11. -VALIC Mid Cap Index Fund (VMIDX)									
12. -VALIC Small Cap Value Fund (VCSVX)									
13. -VALIC Int'l Equities Fund (VCIEX)									
14. -VALIC Int'l Small Cap Equity Fund (VIS EX) (Y)									
15. -VALIC Fixed Account Plus									
16. -Vanguard LT Inv. Grade Fund (VWESX)									
17. -VALIC Science & Technology Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Clarke Co. Bd. of Ed. 457 Plan - 100 % Fixed Account Plus Fu	D	Interest	M	T					
19. ING ReliaStar - Annuity	B	Interest	L	T					
20. Invesco American Franchise Fund, Class C, VAFCX (X)	A	Dividend	J	T					
21. IRA # 2	D	Int./Div.	M	T				A	
22. -Invesco - convertible securities									
23. -BlackRock Strategic, Inc.									
24. -CIT Group, Inc. (Y)	A	Int./Div.			Sold	01/23/12	J		
25. -Fidelity Advisor Strategic, Inc.									
26. -Fidelity Cash Reserves									
27. -Ford Motor Credit Co.									
28. -Gov't Nat'l Mtg. Pool (Y)	A	Int./Div.			Sold (part)	01/15/12	J		
29.					Sold (part)	02/15/12	J		
30.					Sold (part)	03/15/12	J		
31.					Sold	03/15/12	J		
32. -Synovus Finl. Corp.									
33. -PIMCO Income Fund CL C (X)					Buy	02/17/12	K		
34. -Ivy High Income CL C (X)					Buy	02/28/12	K		

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35. -Morgan Stanley D W Disc SRMTNS (X)					Buy	04/16/12	J		
36. -JPMorgan Income Builder Fund Class C (X)					Buy	09/07/12	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part V. Gifts: The University of Georgia sports tickets were received incident to my role as the University of Georgia Alumni President and as an ex officio member of the University of Georgia's Athletic Board. These tickets are customarily provided to others in similar circumstances (i.e., alumni president and athletic board membership) and were not offered because of my judicial status.

Part VII. Investments, Line 4. Property #4 (Compton, CA) was converted to rental property in April, 2011 and receipt of rental income began at that time. The rental income and property were inadvertently omitted from my 2011 report.

Part VII. Investments, Line 14. VALIC discontinued the Small Cap International Fund in December of 2011.

Part VII. Investments, Line 20. In last year's report, this fund was listed as "Invesco Van Kampen American Franchise Fund." On September 24, 2012, Invesco removed "Van Kampen" from the fund's name. Accordingly, the fund is now listed on my 2012 report as "Invesco American Franchise Fund."

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Steve C. Jones**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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