

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Harpool, Mark D.	2. Court or Organization Western District of Missouri	3. Date of Report 10/19/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 222 N. John Q. Hammons Parkway Springfield, MO 65806		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Springfield Metropolitan Bar Association
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 23

Name of Person Reporting

Harpool, Mark D.

Date of Report

10/19/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Residual Fees-Former interest in Baird, Lightner, Millsap & Harpool, P.C.	\$32,870.00
2. 2015	State of Missouri Retirement (MOSERS)	\$12,839.64
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Spouse is self-employed, in-home child care
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 23

Name of Person Reporting

Harpool, Mark D.

Date of Report

10/19/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Empire Bank	Mortgage loans on rental properties	M
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 23

Name of Person Reporting

Harpool, Mark D.

Date of Report

10/19/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ALEXION PHAMA INC - ALXN		None			Buy	09/01/15	J		
2.					Buy (add'l)	09/01/15	J		
3.					Sold	09/03/15	K	C	
4. ALIBABA GROUP HLDG ADR FSPONSORED ADR 1 ADR REPS 1 ORD - BABA		None	L	T	Buy (add'l)	01/29/15	K		
5.					Sold (part)	05/20/15	K	A	
6.					Buy (add'l)	08/11/15	K		
7.					Buy (add'l)	09/04/15	J		
8.					Sold (part)	10/28/15	K	A	
9.					Sold (part)	12/03/15	K	C	
10. ALLERGAN PLC F - AGN		None			Buy	09/01/15	J		
11.					Sold	09/03/15	K	A	
12. AMERICAN CAPITAL AGENCY - AGNC	D	Dividend			Buy (add'l)	01/14/15	K		
13.					Sold (part)	02/03/15	K	A	
14.					Sold (part)	02/06/15	L	A	
15.					Buy (add'l)	02/17/15	L		
16.					Buy (add'l)	03/26/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 23

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

17.					Buy (add'l)	04/24/15	K		
18.					Sold (part)	07/13/15	K	A	
19.					Sold (part)	07/30/15	K	A	
20.					Sold (part)	08/05/15	K	A	
21.					Sold	08/10/15	K	A	
22. Ameritrade Cash Reserves MMDA12	A	Interest	K	T					
23. AMGEN INCORPORATED - AMGN	A	Dividend	K	T	Buy	11/03/15	K		
24. APPLE INC- AAPL common stock	B	Dividend	M	T	Sold (part)	07/13/15	K	C	
25.					Buy (add'l)	08/03/15	K		
26.					Buy (add'l)	08/11/15	J		
27.					Sold (part)	08/17/15	J	A	
28.					Sold (part)	10/05/15	K	A	
29.					Buy (add'l)	12/22/15	K		
30.					Buy (add'l)	12/31/15	J		
31. AT&T INC - T	B	Dividend			Buy	06/03/15	K		
32.					Buy (add'l)	10/01/15	K		
33.					Sold (part)	10/23/15	K	A	

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FINANCIAL DISCLOSURE REPORT

Page 6 of 23

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34.					Sold	10/27/15	K	A	
35. BAIDU INC ADR FSPONSORED ADR 1 ADR REPS 10 CL A ORD - BIDU		None			Buy (add'l)	01/14/15	K		
36.					Buy (add'l)	02/12/15	K		
37.					Sold (part)	02/13/15	K	A	
38.					Sold (part)	04/02/15	K	A	
39.					Buy (add'l)	09/04/15	J		
40.					Sold (part)	10/28/15	K	C	
41.					Sold	12/22/15	L	B	
42. Baird, Lightner, Millsap & Harpool, P.C. (Y)									See note in Part VIII.
43. BANK OF AMERICA - SAVINGS	A	Interest	J	T					
44. BANK OF AMERICA ACCOUNTS - cash/ checking	A	Interest	J	T					
45. BANK OF AMERICA CORP- BAC common stock		None			Buy	07/27/15	K		
46.					Sold (part)	07/30/15	K	A	
47.					Buy (add'l)	08/05/15	K		
48.					Sold (part)	08/10/15	K	A	
49.					Buy (add'l)	10/26/15	K		
50.					Sold (part)	11/02/15	K	B	

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FINANCIAL DISCLOSURE REPORT

Page 7 of 23

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51.					Buy (add'l)	11/16/15	K		
52.					Sold	11/25/15	K	A	
53. BIOGEN IDEC INC - BIIB		None			Buy	09/03/15	K		
54.					Sold	12/22/15	K	A	
55. BLACKSTONE GROUP LP LP - BX	B	Dividend	L	T	Buy	06/09/15	K		
56.					Sold (part)	07/06/15	K	A	
57.					Buy (add'l)	07/30/15	K		
58.					Buy (add'l)	08/18/15	K		
59.					Buy (add'l)	10/23/15	K		
60. BRISTOL-MYERS SQUIBB - BMY	A	Dividend			Buy	08/11/15	K		
61.					Sold	10/15/15	K	A	
62. CATERPILLAR INC - CAT		None			Buy	04/15/15	K		
63.					Sold	04/15/15	K	A	
64. CELGENE CORP- CELG common stock		None			Sold (part)	01/13/15	K	D	
65.					Buy (add'l)	09/01/15	K		
66.					Sold	09/11/15	K	A	
67. CENTERPOINT ENERGY INC - CNP common stock	A	Dividend			Buy	04/13/15	L		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 23

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68.					Sold (part)	04/15/15	L	A	
69.					Buy (add'l)	04/27/15	K		
70.					Sold	08/25/15	K	A	
71. Charles Schwab Checking	A	Interest	J	T					
72. Charles Schwab Money Shares	A	Interest	N	T					
73. CHIPOTLE MEXICAN GRL - CMG		None			Buy	11/23/15	L		
74.					Sold	12/10/15	L	B	
75. CISCO SYSTEMS INC - CSCO common stock	A	Dividend	K	T	Buy	03/10/15	L		
76.					Buy (add'l)	03/26/15	K		
77.					Sold (part)	04/06/15	K	A	
78.					Sold (part)	04/23/15	L	B	
79.					Buy (add'l)	06/03/15	K		
80.					Sold (part)	08/14/15	K	A	
81.					Buy (add'l)	12/29/15	K		
82.					Buy (add'l)	12/31/15	K		
83. DFA EMERGING MKTS - DFEMX	A	Int./Div.	J	T	Buy	07/09/15	J		
84. DFA EMERGING MKTS VALUE PTF - DFEVX	A	Int./Div.	J	T	Buy	07/09/15	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 23

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85.					Buy (add'l)	12/17/15	J		
86. DFA INT'L SM CP - DFISX	A	Int./Div.	J	T	Buy (add'l)	02/17/15	J		
87.					Sold (part)	07/09/15	J		
88.					Sold (part)	12/09/15	J		
89. DFA INT'L SM CP VAL - DISVX	A	Int./Div.	J	T	Sold (part)	07/09/15	J		
90. DFA INT'L VALUE - DFIVX	B	Int./Div.	K	T	Buy (add'l)	01/06/15	J		
91.					Sold (part)	02/02/15	J	A	
92.					Buy (add'l)	07/09/15	J		
93. DFA US LG CAP VAL - DFLVX	B	Int./Div.	K	T	Sold (part)	07/09/15	J	A	
94.					Sold (part)	12/09/15	J	A	
95. DFA US MICRO - DFSCX	A	Int./Div.	K	T	Sold (part)	07/09/15	J	A	
96. DFA US SM CAP - DFSTX	A	Int./Div.	K	T	Sold (part)	07/09/15	J	A	
97. DFA US SMALL CP - DFSVX	A	Int./Div.	K	T	Sold (part)	07/09/15	J	A	
98. DONNELLEY R R & SONS CO - RRD - common stock	D	Int./Div.	L	T	Buy (add'l)	01/06/15	K		
99.					Buy (add'l)	01/14/15	K		
100.					Sold (part)	02/17/15	K	B	
101.					Sold (part)	03/10/15	K	D	

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FINANCIAL DISCLOSURE REPORT

Page 10 of 23

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102.					Buy (add'l)	04/27/15	K		
103.					Buy (add'l)	04/30/15	K		
104.					Buy (add'l)	05/08/15	K		
105.					Sold (part)	05/18/15	K	A	
106.					Sold (part)	05/21/15	K	A	
107.					Sold (part)	06/08/15	K	C	
108.					Buy (add'l)	06/29/15	K		
109.					Buy (add'l)	06/30/15	K		
110.					Buy (add'l)	07/24/15	K		
111.					Buy (add'l)	08/05/15	K		
112.					Buy (add'l)	08/12/15	K		
113.					Sold (part)	08/17/15	K	A	
114.					Sold (part)	08/24/15	L	A	
115.					Buy (add'l)	08/26/15	K		
116.					Sold (part)	08/27/15	K	C	
117.					Buy (add'l)	09/25/15	K		
118.					Buy (add'l)	09/28/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 23

Name of Person Reporting

Harpool, Mark D.

Date of Report

10/19/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119.					Buy (add'l)	10/01/15	K		
120.					Sold (part)	11/17/15	K	B	
121.					Sold (part)	12/03/15	L	C	
122.					Buy (add'l)	12/09/15	K		
123.					Buy (add'l)	12/10/15	K		
124.					Buy (add'l)	12/29/15	K		
125. DOW CHEMICAL COMPANY - DOW	A	Dividend			Buy	09/23/15	J		
126.					Buy (add'l)	09/25/15	J		
127.					Sold	10/05/15	K	B	
128. ELI LILLY & COMPANY - LLY	A	Dividend			Buy	07/22/15	K		
129.					Sold	07/24/15	K	A	
130.					Buy	09/22/15	K		
131.					Sold	12/21/15	K	A	
132. EXXON MOBIL CORP - XOM		None			Buy	07/24/15	K		
133.					Sold	07/28/15	K	B	
134. FACEBOOK INC CLASS A - FB		None			Buy	02/06/15	K		
135.					Buy (add'l)	02/15/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 23

Name of Person Reporting

Harpool, Mark D.

Date of Report

10/19/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136.					Sold	02/20/15	K	B	
137.					Buy	08/24/15	K		
138.					Sold	08/27/15	K	C	
139. FEDERATED HIGH YLD - FIBX	A	Int./Div.	J	T	Sold (part)	07/09/15	J		
140. FEDERATED TOTAL RT BOND - FTRBX	A	Int./Div.	J	T	Sold (part)	07/09/15	J		
141.					Sold (part)	12/09/15	J		
142. FEDERATED ULTRA SHRT - FULIX	A	Int./Div.	J	T	Sold (part)	07/09/15	J		
143. FIDELITY CASH RESERVE FUND (Y)									
144. FITBIT INC CLASS A - FIT		None			Buy	09/17/15	K		
145.					Sold	09/25/15	K	A	
146. FRONTIER COMM CO - FTR	B	Dividend			Buy	06/04/15	K		
147.					Buy (add'l)	06/30/15	J		
148.					Buy (add'l)	07/24/15	J		
149.					Buy (add'l)	07/28/15	J		
150.					Sold (part)	08/03/15	J	A	
151.					Buy (add'l)	08/26/15	J		
152.					Sold (part)	09/17/15	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 23

Name of Person Reporting

Harpool, Mark D.

Date of Report

10/19/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153.					Sold (part)	09/22/15	K	A	
154.					Buy (add'l)	11/03/15	K		
155.					Buy (add'l)	11/04/15	J		
156.					Buy (add'l)	11/05/15	K		
157.					Buy (add'l)	11/10/15	J		
158.					Buy (add'l)	11/17/15	J		
159.					Sold (part)	12/23/15	J	A	
160.					Sold	12/28/15	K	A	
161. GENERAL ELECTRIC COMPANY - GE - common stock Dividend\$460.00	B	Dividend			Buy (add'l)	04/13/15	L		
162.					Sold (part)	08/05/15	K	A	
163.					Buy (add'l)	09/14/15	K		
164.					Sold (part)	09/17/15	K	A	
165.					Sold	09/21/15	K	A	
166. GILEAD SCIENCES INC - GILD - common stock		None	L	T	Sold (part)	02/11/15	K	A	
167.					Buy (add'l)	09/01/15	K		
168.					Buy (add'l)	09/03/15	K		
169.					Sold (part)	09/11/15	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 23

Name of Person Reporting

Harpool, Mark D.

Date of Report

10/19/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
170.					Buy (add'l)	12/31/15	L		
171. GLAXOSMITHKLINE PLC ADRF SPONSORED - GSK	A	Dividend			Buy	02/17/15	K		
172.					Sold	02/25/15	K	A	
173. GOOGLE - CLASS A VTG - GOOGL - common stock		None			Sold	02/17/15	L	A	
174. HAIN CELESTIAL GROUP - HAIN		None			Buy	12/01/15	K		
175.					Sold	12/18/15	K	A	
176. HEWLETT-PACKARD CO - HPQ (New name H P INC - HPQ)		None			Buy	10/30/15	K		See note in Part VIII.
177.					Sold	11/02/15	J	A	
178. HEWLETT PACKARD ENTE - HPE (Spinoff of Hewlett-Packard Co - HPQ)		None			Spinoff (from line 176)	11/02/15	J		See note in Part VIII.
179.					Sold	12/02/15	K	A	
180. INTEL CORP - INTC - common stock	B	Dividend			Buy	10/30/15	K		
181.					Sold	11/20/15	K	A	
182. KINDER MORGAN INC - KMI	A	Dividend			Buy	02/06/15	K		
183.					Buy (add'l)	02/20/15	K		
184.					Sold (part)	03/30/15	K	A	
185.					Sold	05/04/15	K	B	
186.					Buy	12/02/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 23

Name of Person Reporting

Harpool, Mark D.

Date of Report

10/19/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
187.					Buy (add'l)	12/03/15	J		
188.					Buy (add'l)	12/04/15	K		
189.					Sold	12/22/15	K	A	
190. MORGAN STANLEY - MS	A	Dividend			Buy	01/12/15	K		
191.					Buy (add'l)	01/14/15	K		
192.					Sold (part)	01/23/15	K	A	
193.					Sold	02/06/15	K	A	
194. MOST 529 PLAN - Moderage Age-Based Opt Vanguard Growth		None	J	T	Buy (add'l)	12/31/15	J		
195. NETFLIX INC - NFLX - common stock		None			Buy	08/24/15	K		
196.					Sold	08/26/15	L	C	
197. PANERA BREAD COMPANY CLASS A - PNRA		None			Buy	10/30/15	K		
198.					Sold	12/02/15	K	A	
199. P J T PARTNERS INCOR CLASS A (Spinoff from Panera Bread Company)		None			Spinoff (from line 197)	10/01/15	J		See note in Part VIII.
200.					Sold	10/02/15	J	A	
201. PFIZER INCORPORATED - PFE - common stock	A	Dividend			Buy	10/23/15	K		
202.					Sold	11/10/15	K	A	
203. PIMCO COMMODITY - PCRIX	A	Int./Div.	J	T	Buy	01/06/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 16 of 23

Name of Person Reporting

Harpool, Mark D.

Date of Report

10/19/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
204.					Buy (add'l)	07/09/15	J		
205. PIMCO FOREIGN BOND - PFUIX	A	Int./Div.	J	T					
206.					Buy (add'l)	07/09/15	J		
207. PROCTER & GAMBLE - PG	A	Dividend			Buy	04/14/15	K		
208.					Buy (add'l)	06/03/15	K		
209.					Sold (part)	06/10/15	K	A	
210.					Sold	07/06/15	K	A	
211. RITE AID CORPORATION - RAD		None			Buy	06/16/15	K		
212.					Buy (add'l)	06/30/15	J		
213.					Sold (part)	07/06/15	J	A	
214.					Sold	07/13/15	K	A	
215.					Buy	08/13/15	J		
216.					Buy (add'l)	08/18/15	K		
217.					Buy (add'l)	09/17/15	J		
218.					Buy (add'l)	10/01/15	J		
219.					Sold	10/27/15	K	B	
220. SKYWORKS SOLUTIONS - SWKS	A	Dividend	L	T	Buy	03/25/15	K		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 23

Name of Person Reporting

Harpool, Mark D.

Date of Report

10/19/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
221.					Buy (add'l)	03/26/15	K		
222.					Sold (part)	03/30/15	K	B	
223.					Sold (part)	04/02/15	L	B	
224.					Buy (add'l)	09/01/15	K		
225.					Sold	09/03/15	K	A	
226.					Buy	11/10/15	K		
227.					Sold	12/02/15	K	A	
228.					Buy	12/29/15	L		
229. SPDR GLOBAL REAL ESTATE	A	Dividend	J	T	Sold (part)	02/02/15	J	A	
230.					Buy (add'l)	07/09/15	J		
231.					Sold (part)	12/09/15	J	A	
232. STAR GAS PARTNERS LP LP - SGU	A	Dividend			Buy	04/15/15	K		
233.					Buy (add'l)	04/24/15	K		
234.					Sold (part)	05/08/15	K	A	
235.					Sold	05/13/15	K	A	
236. THRESHOLD PHARMA NEW - THLD - common stock		None			Sold	01/12/15	J	A	
237. TOTAL S A ADR F1 ADR REP 1 ORD - TOT	B	Dividend			Buy (add'l)	01/06/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 23

Name of Person Reporting

Harpool, Mark D.

Date of Report

10/19/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

238.					Sold (part)	01/08/15	L	A	
239.					Buy (add'l)	03/10/15	K		
240.					Sold (part)	03/23/15	L	C	
241.					Buy (add'l)	06/01/15	L		
242.					Sold	06/10/15	L	A	
243. TWITTER INC - TWTR - common stock		None			Buy	07/31/15	K		
244.					Buy (add'l)	08/05/15	K		
245.					Sold (part)	08/10/15	K	A	
246.					Sold	08/11/15	K	A	
247.					Buy	08/25/15	K		
248.					Sold	08/27/15	K	A	
249. VALERO ENERGY CORP NEW - VLO		None			Buy	02/17/15	K		
250.					Sold	02/20/15	L	B	
251. VERIZON COMMUNICATIONS - VZ - common stock	B	Dividend	K	T	Sold (part)	01/12/15	K	A	
252.					Buy (add'l)	07/06/15	K		
253.					Sold (part)	07/13/15	K	A	
254.					Buy (add'l)	12/28/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 23

Name of Person Reporting

Harpool, Mark D.

Date of Report

10/19/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

255.					Buy (add'l)	12/31/15	K		
256. VGD DEVELOPED MKTS - VDVIX	A	Int./Div.	K	T	Buy (add'l)	01/06/15	J		
257.					Sold (part)	02/02/15	J	A	
258.					Buy (add'l)	07/09/15	J		
259.					Sold (part)	12/09/15	J	A	
260. VGD GROWTH - VIGRX	A	Dividend	K	T	Sold (part)	02/02/15	J	A	
261.					Sold (part)	12/09/15	J	A	
262. VGD S&P 500 INDEX - VOO	A	Dividend	K	T	Sold (part)	02/02/15	J	A	
263.					Buy (add'l)	07/09/15	J		
264.					Sold (part)	12/09/15	J	A	
265. VGD SHORT TERM - VFSTX	A	Int./Div.	K	T	Sold (part)	02/02/15	J	A	
266.					Buy (add'l)	02/17/15	J		
267.					Sold (part)	07/09/15	J	A	
268.					Sold (part)	12/09/15	J	A	
269. VGD SHORT TERM TIP - VTIP		None	K	T	Buy (add'l)	02/17/15	J		
270.					Sold (part)	07/09/15	J	A	
271.					Sold (part)	12/09/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 23

Name of Person Reporting

Harpool, Mark D.

Date of Report

10/19/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

272. W P GLIMCHER INCORPO REIT - WPG (formerly Washington Prime Group)	C	Dividend			Buy (add'l)	08/19/15	J		See note in Part VIII.
273.					Buy (add'l)	11/17/15	J		
274.					Sold	12/22/15	L	A	
275. WALT DISNEY CO - DIS		None	L	T	Buy	08/11/15	L		
276.					Sold	10/23/15	L	B	
277.					Buy	12/02/15	L		
278. WEIBO CORP ADR FSPONSORED I ADR REPS I ORD - WB		None			Buy	02/11/15	K		
279.					Sold	02/13/15	K	B	
280. WELLS FARGO & CO NEW - WFC	A	Dividend			Buy	01/12/15	L		
281.					Sold	02/09/15	L	B	
282.					Buy	07/24/15	L		
283.					Sold	07/30/15	L	A	
284.					Buy	09/18/15	K		
285.					Sold	09/25/15	K	A	
286.					Buy	10/30/15	L		
287.					Sold	11/10/15	L	A	
288. YAHOO INC - YHOO - common stock		None			Buy	06/11/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 23

Name of Person Reporting

Harpool, Mark D.

Date of Report

10/19/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
289.					Sold	06/25/15	K	A	
290.					Buy	08/19/15	K		
291.					Sold	10/27/15	K	A	
292. YY INC ADR FSPONSORED ADR 1 ADR REPS 20 ORDS - YY		None			Sold (part)	05/26/15	J	A	
293.					Sold	06/10/15	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 23

Name of Person Reporting

Harpool, Mark D.

Date of Report

10/19/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Interest earned on bank accounts at a particular banking institution were combined into one entry for the entire year.

Dividends on individual stocks were combined into one entry for that stock for the year.

Line 42 - Baird, Lightner, Millsap & Harpool, P.C. - value of this asset is being paid off through income reported in Part III-A. Non-Investment Income, and will no longer be reported in Part VII.

Line 175 - Hewlett-Packard Co (HPQ) had a name change to H P Inc (HPQ) on 11/2/15.

Line 177 - Hewlett-Packard Co (HPQ) had a Spinoff on 11/2/15 a of 10/30/15 of Hewlett Packard ENTE (HPE).

Line 198 - Panera Bread Company Class A (PNRA) had a Spinoff on 10/1/15 as of 9/30/15 of P J T Partners Incor Class A (PJT).

Line 271 - Washington Prime Group common stock had a name change to W P Glimcher Incorporated REIT (WPG) on 1/16/15.

FINANCIAL DISCLOSURE REPORT

Page 23 of 23

Name of Person Reporting

Harpool, Mark D.

Date of Report

10/19/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mark D. Harpool

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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