

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rosenblatt, Paul G.	2. Court or Organization U.S. District Court, Arizona	3. Date of Report 05/2/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Courthouse, Suite 621 401 W. Washington St., SPC 56 Phoenix, AZ 85003-2156		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Successor Co-Trustee (with no beneficial interest)	Spouse's Parents' Family Trust
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 4 acres undeveloped land South of Prescott, AZ		None	L	W					
2. Chase Bank Account	A	Interest	L	T					
3. IRA Bank of America - Cash - Equivalent	A	Interest	J	T					
4. Wells Fargo Bank Accounts	A	Interest	K	T					
5. Vodafone Airtouch PLC	A	Dividend	J	T					
6. Exxon Mobil	A	Dividend	L	T					
7. AT&T Common Stock	A	Dividend	K	T					
8. Edison International	A	Dividend	J	T					
9. Chevron Common Stock	A	Dividend	J	T					
10. Maricopa Co. AZ Indl Hlth	A	Interest	J	T					
11. Tax Free Trust of AZ - Trust	A	Dividend	K	T					
12. Rental Property #1, Prescott, AZ	D	Rent	M	W					
13. Delaware Investments Arizona	A	Dividend	J	T					
14. Verizon	A	Dividend	J	T					
15. Comcast Corp. New Class A	A	Dividend	J	T					
16. FRB Treasury Direct Acct 3-7/8 Note T 07	A	Interest	J	T					
17. Goodyear, AZ 4.5% 7-1-24	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Prudential Advanced Series Apex II Annuity	A	Interest	K	T					
19. Arizona State Credit Union	A	Interest	N	T					
20. SPDR S&P Trustee ETF	A	Interest	K	T					
21. J.P. Morgan Chase Bank (L)									
22. -SIT Dividend Growth Fund-I	A	Dividend	J	T					
23. -JPM Intrepid European FD - Instl	A	Dividend	J	T					
24. -Matthews Asia Dividend-Ins	A	Dividend	J	T					
25. -Columbia FD Ser Trt - Columbia	A	Dividend	J	T					
26. -Eaton Vance Floating-Rate	A	Dividend	J	T					
27. -HSBC FDS	A	Dividend	J	T					
28. -Tortoise MLP & Pipeline-Ins	A	Dividend	J	T					
29. -Lord Abbett Invt Tr	A	Dividend	J	T					
30. -Ridgeworth Seix Floating-I	A	Dividend	J	T					
31. -TRP Inst Floating Rate-F	A	Dividend	J	T					
32. -Blackrock High Yield Bond	A	Dividend	J	T					
33. -Avenue Mut Fds Tr	A	Dividend	J	T					
34. -Cohen & Steers Preferred Securities	A	Dividend	J	T					

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35. -Metropolitan West Fds	A	Dividend	J	T					
36. -Harbor High Yield Bond-Inst	A	Dividend	J	T					
37. -Harbor Convertible Sec-Inst	A	Dividend	J	T					
38. -JPM Unconstrained Debt FD - Select	A	Dividend	J	T					
39. -Doubleline Total Ret BD-I	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Line 1, Neither I nor my spouse have a beneficial interest in the trust. We have no dependent children. We did not create the trust. Did not receive income.

Part VII, Line 21, Filer has no legal right to control investment decisions, other than portfolio objectives.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Paul G. Rosenblatt**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544