

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lee, John Z.	2. Court or Organization U.S. District Court, N.D.Ill.	3. Date of Report 05/14/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 219 South Dearborn Street Room 122 Chicago, Illinois 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2012	Freeborn & Peters LLP -- Compensation for legal services rendered before becoming a judge.
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Lee, John Z.

Date of Report

05/14/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2014	Physician Anesthesia Associates
2. 2014	Illinois Board of Health
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Harvard Law School	02/21/2014-02/22/2014	Cambridge, MA	Conference speaker	Transportation, meals, lodging
2. Korean American Bar Association of San Diego	04/11/2015	San Diego, CA	Conference speaker	Transportation, meals, lodging
3. Federal Judicial Center	05/01/2014-05/03/2015	Washington, D.C.	Judicial training	Transportation, meals, lodging
4. Korea University Law School	08/08/2014-08/15/2015	Seoul, South Korea	Guest instructor	Transportation, meals, lodging
5. Federal Judicial Center	10/05/2014-10/07/2014	Bloomington, IN	Judicial training	Transportation, meals, lodging
6. Federal Judicial Center	10/27/2014-10/29/2015	Palm Beach, FL	Judicial training	Transportation, meals, lodging

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Lee, John Z.

Date of Report

05/14/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.	Capital One	Mortgage on Rental Property #1, Barrington, IL	O
3.	Greentree Financial Services	Mortgage on Rental Property #1, Barrington, IL	M
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Lee, John Z.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property #1, Barrington, IL (2007 \$745,000)	E	Rent	O	R					
2. LPL Financial IRA	F	Dividend	O	T					
3. - Am. Beacon High Yield									
4. - Am. Beacon Lg Cap Value									
5. - Am. Century Real Estate									
6. - American Funds Cap. Income Builder (x)					Buy	01/28/14	J		
7. - Artisan Mid Cap									
8. - Artisan Small Cap Value									
9. - DWS Floating Rate									
10. - DWS Select Alt. Allocation									
11. - JP Morgan Prime Money Mkt Fund									
12. - Janus Venture									
13. - Natixis ASG Global Alt.									
14. - Oakmark International									
15. - Oakmark Select									
16. - Oppenheimer Dev. Mkts									
17. - Perkins Mid Cap Value									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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Lee, John Z.

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18. - RS Small Cap Growth									
19. - TCW Select Equities									
20. - TCW Total Return									
21. - Touchstone Sands Select									
22. Am. Century Equity Income Mut. Fund (x)	B	Dividend	K	T	Buy	04/15/14	J		
23.					Buy (add'l)	05/02/14	J		
24.					Buy (add'l)	05/27/14	J		
25.					Buy (add'l)	06/18/14	J		
26.					Buy (add'l)	07/08/14	J		
27. Artisan Mid Cap. Mut. Fund (x)		None	J	T	Buy	12/23/14	J		
28. Deutsche Managed Muni. Bond Mut. Fund (x)	A	Dividend	K	T	Buy	05/02/14	J		
29.					Buy (add'l)	05/27/14	J		
30.					Buy (add'l)	06/18/14	J		
31.					Buy (add'l)	07/08/14	J		
32. Deutsche Floating Rate Mut. Fund (x)	A	Dividend	J	T	Buy	04/15/14	J		
33.					Buy (add'l)	05/02/14	J		
34.					Buy (add'l)	05/27/14	J		

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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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Lee, John Z.

Date of Report

05/14/2015

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35.					Buy (add'l)	06/18/14	J		
36.					Sold	12/23/14	J	A	
37. Henerson Euro. Focus Mut. Fund (x)	A	Dividend	J	T	Buy	04/15/14	J		
38.					Buy (add'l)	05/27/14	J		
39.					Buy (add'l)	06/18/14	J		
40.					Buy (add'l)	07/08/14	J		
41. Janus Venture Mut. Fund (x)		None	J	T	Buy	12/23/14	J		
42. Oakmark Selet Mut. Fund (x)	A	Dividend	J	T	Buy	04/15/14	J		
43.					Buy (add'l)	05/02/14	J		
44.					Buy (add'l)	05/27/14	J		
45.					Buy (add'l)	07/08/14	J		
46.					Buy (add'l)	12/23/14	J		
47. Bright Directions College Savings 9-12 A Portfolio		None	M	T					
48. American Fund Capital Income Builder Fund A		None			Sold	01/28/14	J		
49. Schwab One Interest Account	A	Interest	J	T					
50. JP Morgan Chase Bank Accounts	A	Interest	N	T					
51. Bright Directions College Savings 13-16 Portfolio (x)		None	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Lee, John Z.

Date of Report

05/14/2015

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52. 6720 Jeffery, LLC	F	Distribution	L	W					
53. Trust # 1	E	Dividend	O	T					
54. - DFA Intern'l Core Equity Ptf									
55. - DFA US Core Equity 2									
56. - DFA Emerg Mkts Core Equity									
57. - DFA Intern'l Sm Cap Value									
58. - DFA US Small Cap Value Port									
59. - DFA US Large Cap Value Port									
60. - DFA Real Estate Ptf									
61. - DFA Global Real Estate Sec Ptf									
62. - DFA Intern'l Value Ptf									
63. - Vanguard Intern'l Equity Index									
64. - Vanguard REIT ETF Index									
65. - FDIC Insured Deposit Account									
66. Apple (AAPL)	A	Dividend	L	T					
67. Revolution Lighting (RVLT)		None	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Lee, John Z.

Date of Report

05/14/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Lee, John Z.

Date of Report

05/14/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John Z. Lee**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544