

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) RICE, GUDRUN J.	2. Court or Organization U S DISTRICT COURT, DIST OF CO	3. Date of Report 08/13/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US MAGISTRATE JUDGE, PART TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address WAYNE ASPINALL FEDERAL BUILDING 402 ROOD AVE., ROOM 301 GRAND JUNCTION, CO 81501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	WITHERS SEIDMAN RICE & MUELLER	\$2,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property #1 Mt Crested Butte CO 1994 125000	D	Rent	M	R					
2. Rental Property #2 Sarasota FL 1999 189000	D	Rent	M	R					
3. Rice Fruit Co-Com	C	Distribution	L	U					
4. R & L Orchard Co-Com	B	Distribution	O	U					
5. Withers Seidman & Rice P.C.-Com		None	J	U					
6. Wells Fargo Bank - Bank Deposit Sweep	A	Interest	L	U					
7. Apple, Inc. - Common		None	K	T					
8. JP Morgan Chase & Co ETIN Alerian MLP Index ETN Based on WAP	C	Dividend	L	T	Sold (part)	08/30/11	L	A	
9. FDUXX	A	Dividend	K	T					
10. SVAIX	A	Dividend	K	T	Buy	12/05/11	J		
11. FTIXX	A	Dividend	L	T					
12. UTIXX	A	Dividend	J	T					
13. ASFYX	A	Dividend	K	T					
14. Cohen & Steers Intl Realty Fund		None			Sold	06/27/11	K	A	
15. Gabelli Equity Ser Fund Small Cap Growth Fund		None			Sold	08/30/11	J	A	
16. Greenhaven Continuous ET Commodity Index Fund		None			Sold	10/06/11	K	A	
17. Growth Fund of America		None			Sold	08/30/11	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18. Hartford Mutual Funds Inc Midcap Fund		None			Sold	08/02/11	J	A	
19. Janus Invst Fud Perkins Midcap Value Fund		None			Sold	08/30/11	J	A	
20. JP Morgan Chase US Treasury Plus Money Fund	A	Dividend			Sold	11/02/11	J		
21. Nuveen Investment Funds Real Estate Securities Fund	A	Dividend			Sold	08/30/11	K	B	
22. Oppenheimer Developing Markets Fund		None			Sold	06/27/11	K	A	
23. Pioneer Ser Tr I Pioneer Oad Ridge Samll Cap Growth Fund		None			Sold	08/30/11	J	A	
24. Pioneer Series TR III Cullen Value Fund		None			Sold	08/02/11	K	A	
25. Rydex Series Funds Long/Short Comm Strat FD		None			Sold	10/06/11	K	A	
26. Thornburg Intl Value Fund	A	Dividend			Sold	08/30/11	K	A	
27. Colgate Palmolive Co-Com	A	Dividend	K	T					
28. Blackrock Liquidity Funds Treasury Trust	A	Dividend	K	U					
29. Abbott Laboratories-Com	A	Dividend	J	U					
30. Air Products & Chemicals, Inc. - Com	A	Dividend	J	U					
31. Stryker Corp-Copm	A	Dividend	J	U					
32. Auto Data Processing-Com	A	Dividend	J	U					
33. Church & Dwight Co - Com	A	Dividend	J	U					
34. Cisco Systems-Com	A	Dividend	J	U					

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35. Cree, Inc. - Com.		None	J	U					
36. Qualcomm Inc-Com	A	Dividend	K	U					
37. Microsoft Corp-Com	A	Dividend	K	U					
38. Intel Corp-Com	A	Dividend	K	U					
39. Linear Tech Corp-Com	A	Dividend	K	U					
40. Pepsico Inc-Com	A	Dividend	K	U					
41. EOG Resources - Com	A	Dividend	K	U	Buy	09/19/11	K		
42. Ecolab, Inc - Com.	A	Dividend	J	U	Buy	04/25/11	J		
43. Exxon Mobil, Inc. - Com.	A	Interest	J	U					
44. Canadian National Railway	A	Dividend	K	U					
45. Nestle SA Spons ADR for Reg	B	Dividend	K	U					
46. Fiserv, Inc. - Common		None	J	U					
47. Flowers Food, Inc. - Common	A	Dividend			Sold	09/19/11	J	A	
48. Heineken Holding NV	A	Dividend	J	U					
49. Reckitt Benckiser Group PLC	A	Dividend	K	U					
50. L-3 Communications Holdings, Inc.	A	Dividend			Sold	04/25/11	J	A	
51. Life Technologies Corp. - Com.		None			Sold	08/17/11	J	A	

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52. Mastercard, Inc. - Com	A	Dividend	K	U	Buy	01/25/11	K		
53. United Technologies - Com.	A	Dividend	J	U					
54. Abb Ltd	A	Dividend	J	U					
55. BHP Billiton PLC - ADR	A	Dividend	J	U					
56. Woodside Petroleum Ltd	A	Dividend			Sold	09/20/11	K	A	
57. Enbridge, Inc. - Com.	A	Dividend	K	U					
58. Suncor Energy, Inc. - Com	A	Dividend	K	U					
59. Unilever PLC Sponsored ADR	A	Dividend	J	U	Buy	09/19/11	J		
60. Leighton Holdings Ltd	A	Dividend			Sold	04/27/11	J		
61. Dairy Farm International Holdings, LTD. - Com	A	Dividend	K	U	Buy (add'l)	04/26/11	J		
62. Jardine Matheson Holdings, LTD	A	Dividend	K	U					
63. Standard Chartered, PLC	A	Dividend	J	U					
64. CSL Limited - Com	A	Dividend	J	U	Buy	08/18/11	J		
65. Bidvest Group, LTD	A	Dividend	K	U	Buy	01/26/11	K		
66. iShares MSCI EAFE Index Fund		None			Sold	01/25/11	K	B	
67. State Street Corp. - Com	A	Dividend	J	U					
68. Blackrock Liquidity Funds/Treasury Trust	A	Int./Div.	L	U					

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69. Abbott Laboratories - Com	B	Dividend	K	U					
70. Colgate Palmolive-Com	C	Dividend	K	U					
71. CVS Caremark Corp - Com	A	Dividend	J	U					
72. State Street Corp-Com	A	Dividend	K	U					
73. Medtronic Inc-Com	B	Dividend	K	U					
74. Stryker-Com	B	Dividend	J	U					
75. Automatic Data Processing-Com	B	Dividend	K	U					
76. Paychex Inc-Com		None			Sold	01/25/11	J	E	
77. Cisco Systems-Com	A	Dividend	K	U					
78. Qualcomm Inc-Com	B	Dividend	K	U					
79. Microsoft Corp-Com	A	Dividend	K	U					
80. Intel Corp-Com	B	Dividend	K	U					
81. Linear Tech Group-Com	C	Dividend	K	U					
82. Church & Dwight Co. - Com.	A	Dividend	K	U					
83. Cree, inc. - Com		None	K	U					
84. Devon Energy Corp - Com		None			Sold	01/25/11	K	D	
85. EOG Resources - Com	A	Dividend	K	U	Buy	09/19/11	K		

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86. Canadian National Railway	B	Dividend	K	U					
87. Nestle SA Spons ADR for Reg	C	Dividend	K	U					
88. Ecolab, Inc. - Com	A	Dividend	K	U					
89. Fiserv, Inc.		None	K	U					
90. Flowers Foods Inc. - Com	A	Dividend			Sold	09/19/11	K	C	
91. Heineken Holding NV	A	Dividend	K	U					
92. Reckitt Benckiser Group PLC	B	Dividend	K	U					
93. Woodside Petroleum Ltd.	A	Dividend			Sold	09/20/11	K	A	
94. Johnson & Johnson Corp - Com	B	Dividend	J	U					
95. Standard Chartered PLC	B	Dividend	K	U					
96. L-3 Communications Holdings, Inc - Com	A	Dividend			Sold	04/25/11	K	A	
97. Leighton Holdings Ltd.	A	Dividend			Sold	04/27/11	K	E	
98. LifeTechnologies Corp - Com		None			Sold	08/17/11	K	A	
99. Mastercard, Inc. - Com	A	Dividend	L	U	Buy	01/25/11	K		
100. BHP Billiton PLC	A	Dividend	K	U					
101. Occidental Petroleum Corp - Com	A	Dividend	K	U	Buy	01/25/11	K		
102. Procter & Gamble Co - Com	B	Dividend	K	U					

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103. Abb Ltd	B	Dividend	K	U					
104. Jardine Matheson Holdings Ltd	B	Dividend	K	U					
105. Enbridge Inc. - Com	B	Dividend	K	U					
106. Suncor Energy Inc. New - Com	A	Dividend	K	U					
107. Unilever PLC Sponsored ADR	A	Dividend	K	U	Buy	09/19/11	K		
108. Dairy Farm Int'l Holdings Ltd	A	Dividend	K	U	Buy (add'l)	04/26/11	K		
109. CSL Limited	A	Dividend	K	U	Buy	08/18/11	K		
110. Bidvest Group Ltd	A	Dividend	K	U	Buy	01/26/11	K		
111. iShares MSCI EAFE Index Fund	B	Dividend	K	U					
112. Wells Fargo Bank Deposit Sweep	B	Interest	L	T					
113. Thornton Colo Rfdg Wtr Bond B/E Cap Apr OID Yld 6.8% 12/1/12	C	Interest	L	T					
114. Grand Rapids MI Downtown Dev Auth Tax Inc 0.0% Due 6/1/13	B	Interest	K	T					
115. Thornton CO Cap Apprec. Ref MBIA 0.0 CPN Due 12/01/14	C	Interest	K	T					
116. Texas Muni Power Agency Rev OID 0.0 CPN Due 9/1/15	B	Interest	K	T					
117. Will Cnty IL Cmnty USD #201 0.0% CPN Due 10/01/16	A	Interest	K	T					
118. E-470 Pub Hwy Auth Colo Rev Cap Appr. CPN 0.0% Due 9/01/17	C	Interest	L	T					
119. Hanford CA JT UN S/D Ser B CPN 0.0% Due 8/01/18	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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RICE, GUDRUN J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Patterson CA FGIC Cap Appr CPN 0.0% Due 8/01/18	A	Interest	K	T					
121. Colorado Hlth Fac Retir. Fac Liberty Hghts 0.0% Due 7/15/20	C	Interest	L	T					
122. CWGIX Fund	B	Dividend	K	T					
123. MRGAX Fund	A	Dividend	J	T					
124. MEIAX Fund	A	Dividend	J	T					
125. Adams Cnty CO S/D No 12 CPN 4.75% Due 12/15/19	B	Interest			Redeemed	12/15/11	K	B	
126. Northwest Pkwy Pub Hwy Auth CPN 0.0% Due 6/15/26	A	Interest			Sold	06/21/11	K	A	
127. FTEXX Fund	A	Interest	M	T					
128. Clark Cnty Wash Sch Dst 37	C	Interest	L	T					
129. FLPSX Fund	A	Dividend	L	T					
130. Intel Corp	A	Dividend	J	T					
131. Crocs (CROX)		None	J	T					
132. FICDX	A	Dividend	K	T					
133. FSICX	D	Dividend	M	T					
134. FEMKX	A	Dividend	K	T					
135. FDVLX	A	Dividend	K	T					
136. FVDFX	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Microsoft Corp (MSFT)	A	Dividend	K	T					
138. Orbital Corp Limited Spons ADR		None	J	T					
139. Huron Valley Mich School District GO 0.0% CPN Due 5/1/2012	A	Interest	J	T					
140. Colo Hsng Fin Auth Single Fam Rev 0.0% CPN Due 9/1/2014	D	Interest	L	T					
141. Washoe Cnty Nev Reno Sparks 0.0% CPN Due 7/1/2015	C	Interest	L	T					
142. FFRHX	B	Dividend	K	T					
143. FDGFX	A	Dividend	K	T					
144. ARTKX	A	Dividend	K	T					
145. OAKIX	A	Dividend	K	T					
146. JASBX	A	Dividend	K	T					
147. PLDDX	A	Dividend	K	T					
148. IRA # 1	C	Int./Div.	N	T					
149. -Wells Fargo Bank Deposit Sweep									
150. -JP Morgan Chase & Co ETN Alerian MLP Index ETN Based on WAP									
151. -FDUXX									
152. -SVAIX									
153. -FTIXX									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -UTIXX									
155. -ASFYX									
156. IRA #2	C	Int/Div.	M	T					
157. -FEMKX									
158. -FDGFX									
159. -FLPSX									
160. -FDVLX									
161. -FDRXX									
162. IRA #3	D	Int/Div.	M	T					
163. -FDRXX									
164. -FLPSX									
165. -FCPGX									
166. -FEXPX									
167. CGM Mutual Fund	A	Dividend	K	T					
168. Dreyfus Opportunistic Small Cap Fund	B	Dividend	J	T					
169. Dreyfus Opportunistic Mid-Cap Value Fund	C	Dividend	J	T					
170. American Century Ultra		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Federated Kaufman Fund K	A	Dividend	K	T					
172. Ecological Systems Inc of Nevada		None	J	W					
173. Alpine Bank	A	Interest	M	T					
174. XOM	A	Dividend	K	T					
175. Western National Life Retirement Tax Sheltered Annuity #1	B	Interest	L	T					
176. Western National Life Retirement Tax Sheltered Annuity #2	C	Interest	L	T					
177. 403(b) #1	B	Int./Div.	M	T					
178. -VALIC Tax sheletered annuity									

1. Income Gain Codes: (See Columns B1 and D4)	A -\$1,000 or less F -\$50,001 - \$100,000	B -\$1,001 - \$2,500 G -\$100,001 - \$1,000,000	C -\$2,501 - \$5,000 H1 -\$1,000,001 - \$5,000,000	D -\$5,001 - \$15,000 H2 -More than \$5,000,000	E -\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J -\$15,000 or less N -\$250,001 - \$500,000 P3 -\$25,000,001 - \$50,000,000	K -\$15,001 - \$50,000 O -\$500,001 - \$1,000,000	L -\$50,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 -More than \$5,000,000	M -\$100,001 - \$250,000 P2 -\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ GUDRUN J. RICE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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