

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>ELLISON, KEITH P.                                                                                                                    | <b>2. Court or Organization</b><br><br>U.S.D.C. SOUTHERN DISTRICT OF TEXAS                                                                                                                                                                                                               | <b>3. Date of Report</b><br><br>08/25/2016                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. DISTRICT JUDGE-ACTIVE                                                    | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2015<br>to<br>12/31/2015 |
| <b>7. Chambers or Office Address</b><br><br>515 RUSK STREET, ROOM 3716<br>HOUSTON, TEXAS 77002                                                                                                            |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u>                           |
|-----------------|--------------------------------------------------------------|
| 1. Director     | Harry A. Blackmun Scholarship Foundation                     |
| 2. Director     | Executive Committee Order of Coif                            |
| 3. Secretary    | Rhodes Scholarship Selection Committee -District VIII        |
| 4. Director     | Houston Achievement Place                                    |
| 5. Counsel      | American Inn of Court, Houston Intellectual Property Chapter |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

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Name of Person Reporting

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

| DATE | SOURCE AND TYPE | INCOME<br>(yours, not spouse's) |
|------|-----------------|---------------------------------|
| 1.   |                 |                                 |
| 2.   |                 |                                 |
| 3.   |                 |                                 |
| 4.   |                 |                                 |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

| DATE         | SOURCE AND TYPE                           |
|--------------|-------------------------------------------|
| 1. 2015 Year | Norton Rose Fulbright partnership profits |
| 2.           |                                           |
| 3.           |                                           |
| 4.           |                                           |

**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

| SOURCE                 | DATES        | LOCATION         | PURPOSE                        | ITEMS PAID OR PROVIDED           |
|------------------------|--------------|------------------|--------------------------------|----------------------------------|
| 1. Order of Coif       | January 2015 | Washington, D.C. | Committee Meeting              | Transportation / Meals / Lodging |
| 2. Stanford Law School | January 2015 | Palo Alto, CA    | To serve as a moot court judge | Transportation / Meals / Lodging |
| 3.                     |              |                  |                                |                                  |
| 4.                     |              |                  |                                |                                  |
| 5.                     |              |                  |                                |                                  |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. |               |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u>   | <u>DESCRIPTION</u>                 | <u>VALUE CODE</u> |
|----|-------------------|------------------------------------|-------------------|
| 1. | Wallis State Bank | Revolving Unsecured Loan Agreement | K                 |
| 2. |                   |                                    |                   |
| 3. |                   |                                    |                   |
| 4. |                   |                                    |                   |
| 5. |                   |                                    |                   |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. 401(K) SAVINGS PLAN Vanguard                                                                                             | E                                       | Dividend                                      | P1                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. - Vanguard Prime Money Market Fund                                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 3. - Vanguard Total Market Index Fund                                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 4. - Vanguard International Stock Index Fund                                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 5. - Vanguard Total Stock Market Index Fund                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 6. - Adams Express Co. Common Stock                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 7. - American Opportunities Mutual Fund                                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 8. - Van Kampen Internet Mutual Fund                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 9. IRA                                                                                                                      | A                                       | Dividend                                      | O                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. - Fidelity Dividend Growth Mutual Fund                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 11. - Fidelity Money Market                                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 12. - Van Kampen Internet Mutual Fund                                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 13. RETIREMENT SAVINGS PLAN Govt Plan                                                                                       | B                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. - Spartan U. S. Equity Income                                                                                           |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 15. 401(K) PLAN - TAX DEFERRED                                                                                              | E                                       | Dividend                                      | O                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. - Fidelity Contrafund                                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 17. - Fidelity Government Income Fund                                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

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Date of Report

08/25/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                            |   |           |    |   |             |          |   |   |  |
|--------------------------------------------|---|-----------|----|---|-------------|----------|---|---|--|
| 18. WELLS FARGO BANK                       | A | Interest  | J  | T |             |          |   |   |  |
| 19. WELLS FARGO BANK                       | A | Interest  | J  | T |             |          |   |   |  |
| 20. AMEGY BANK OF TEXAS CHECKING ACCOUNT   | A | Interest  | N  | T |             |          |   |   |  |
| 21. AMEGY BANK OF TEXAS SAVINGS ACCOUNT    | A | Interest  | M  | T |             |          |   |   |  |
| 22. Fidelity -Individual Account (H)       | E | Int./Div. | P1 | T |             |          |   |   |  |
| 23. - Fidelity Municipal Money Market      | E | Interest  | O  | T |             |          |   |   |  |
| 24. San Francisco Airport Improvement Bond | A | Interest  | J  | T |             |          |   |   |  |
| 25. Chevron Corp.                          | E | Dividend  | O  | T | Sold (part) | 10/20/15 | K | D |  |
| 26. Chevron Corp                           | E | Dividend  | O  | T | Sold (part) | 11/17/15 | L | D |  |
| 27. Adams Express Co.                      | B | Dividend  | L  | T |             |          |   |   |  |
| 28. Fairpoint Communications, Inc.         | A | Dividend  | J  | T |             |          |   |   |  |
| 29. Merck & Co.                            | A | Dividend  | K  | T |             |          |   |   |  |
| 30. Verizon                                | A | Dividend  | L  | T |             |          |   |   |  |
| 31. Spartan International                  | A | Dividend  | K  | T |             |          |   |   |  |
| 32. Legg Mason                             | A | Dividend  | J  | T |             |          |   |   |  |
| 33. GE Capital Interests                   | A | Interest  | J  | T |             |          |   |   |  |
| 34. Time Warner                            | A | Interest  | L  | T |             |          |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|                                                               |   |           |   |   |                |          |   |   |  |
|---------------------------------------------------------------|---|-----------|---|---|----------------|----------|---|---|--|
| 35. Lehman Bros                                               | A | Interest  | J | T |                |          |   |   |  |
| 36. Fidelity Cash Reserves                                    | A | Interest  | M | T |                |          |   |   |  |
| 37. Govt. Natl. Mortg. Assn                                   | A | Interest  | L | T |                |          |   |   |  |
| 38. Vanguard Inherited IRA-(H)                                |   |           |   |   |                |          |   |   |  |
| 39. -Vanguard Balanced Index Fund Admiral                     | A | Dividend  | K | T |                |          |   |   |  |
| 40. -Vanguard Windsor II Fund Admiral Shares                  | A | Dividend  | K | T | Sold<br>(part) | 01/05/15 | K | B |  |
| 41. -Vanguard Total Stock Market Index Fund<br>Admiral Shares | A | Dividend  | K | T | Sold<br>(part) | 01/05/15 | K | B |  |
| 42. Fidelity IRA                                              | E | Int./Div. | O | T |                |          |   |   |  |
| 43. -Fidelity Cash Reserves                                   |   |           |   |   |                |          |   |   |  |
| 44. -Govt Natl Mtg Assn II Pool                               |   |           |   |   |                |          |   |   |  |
| 45. -Govt Natl Mtg Assn Pool                                  |   |           |   |   |                |          |   |   |  |
| 46. -GE Capital Internotes                                    |   |           |   |   |                |          |   |   |  |
| 47. -Lehman Bros Hldgs Inc                                    |   |           |   |   |                |          |   |   |  |
| 48. -Leucadia Natl Corp Sr NT                                 |   |           |   |   |                |          |   |   |  |
| 49. -Time Warner Cos Inc DEB                                  |   |           |   |   |                |          |   |   |  |
| 50. -Adams Express Company                                    |   |           |   |   |                |          |   |   |  |
| 51. -Fidelity Inflation Protected Bond                        |   |           |   |   |                |          |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
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| 52. -Fidelity Strategic Income                                                                                              |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 53. -Spartan Intl Index Investor Class                                                                                      |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 54. -Frontier -Communications Corp Com                                                                                      |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 55. -Merck & Co Inc New Com                                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 56. -Legg Mason CBA Equity FD CL O                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 57. -Verizon Communications                                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 58. -Fidelity Money Market                                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 59. -Van Kampen Internet Mutual Fund                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part IV line 51 of my report for 2014, the listing of Chevron Corp. New was in error. It has not been included in this report.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **KEITH P. ELLISON**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544