

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Inman, Dennis H.	2. Court or Organization Eastern District of Tennessee	3. Date of Report 08/07/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address James H. Quillen U.S.Courthouse 220 West Depot St., Suite 306 Greeneville, Tennessee 37743		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director (uncompensated and no personal control of assets)	Boys and Girls Club, Morristown, Inc.
2. Trustee (uncompensated)	Boys & Girls Club Foundation of Morristown, Inc.
3. Trustee	Trust
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Inman, Dennis H.

Date of Report

08/07/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	State of Tennessee Retirement Benefit	\$22,462.14
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Inman, Dennis H.

Date of Report

08/07/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Inman, Dennis H.

Date of Report

08/07/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AMG Funds- Managers Bond Fund	A	Dividend	K	T					
2. American Funds - New World Fund	A	Dividend	L	T					
3. American Funds - Income Fund	A	Dividend	L	T					
4. American Funds - EuroPacific Growth Fund	B	Dividend	M	T					
5. American Funds - Balanced Fund	A	Dividend	M	T					
6. American Funds - Balanced 529 Fund	A	Dividend	L	T					
7. American Funds - Cap Inc Bldr 529	A	Dividend	K	T					
8. American Funds - Fndmntl Inv 529	A	Dividend	K	T					
9. American Funds - Grwth Fnd of Amer 529	A	Dividend	K	T					
10. American Funds - Invstmnt Co of Amer 529	A	Dividend	K	T					
11. American Funds- Cap Wrld Grwth 529	A	Dividend	J	T					
12. American Funds - Small Cap Wrld Gwth 529	A	Dividend	K	T					
13. American Funds - Mutual Invs. Fnd 529	A	Dividend	K	T					
14. AQR Funds-Managed Futures Strategy Fund	A	Dividend	J	T					
15. Artio Funds - Global Total Return Fund	A	Dividend	K	T					
16. Artisan Funds - Midcap Value Fund	A	Dividend	J	T					
17. Blackrock Funds - Core Bond Portfolio	A	Dividend	K	T	Buy	09/16/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Inman, Dennis H.

Date of Report

08/07/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Broadview Funds - Opportunity Fund	A	Dividend	J	T	Buy	09/16/14	J		
19. Broadview Funds - Opportunity Fund	A	Dividend			Sold	09/14/14	J	A	
20. CBL & Assoc	A	Dividend	K	T					
21. Cohen & Steers Funds - Realty Shares Fund	A	Dividend	J	T					
22. Colgate Palmolive	D	Dividend	N	T					
23. Duke Energy	A	Dividend	K	T					
24. Du Pont - Nemours & Co	C	Dividend	M	T					
25. Eaton/Vance Funds - Global MacroAbsolute Return Fund	A	Dividend	J	T					
26. Fidelity Advisors Fund - Health Care Fund	A	Dividend			Sold	01/02/14	J	A	
27. Fidelity Funds - Fidelity Adviser Series VII Fund	C	Dividend	K	T					
28. Goldman - Sachs - TR FINL Square Treas	A	Dividend	J	T					
29. Harbor Funds- CAP Appreciation Fund	A	Dividend	K	T					
30. Harbor Funds - Small Cap Growth Fund	A	Dividend			Sold	05/20/14	J	A	
31. Heartland Funds- Heartland Value Plus Fund	A	Dividend	J	T					
32. Highland Funds - PYXIS Long/Short Equity Fund	A	Dividend			Sold	05/20/14	J	A	
33. John Hancock Funds - Global Absolute Return Fund	A	Dividend			Buy	05/21/14	J		
34. John Hancock Funds - Global Absolute Return Fund	A	Dividend			Sold	09/15/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Inman, Dennis H.

Date of Report

08/07/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Johnson & Johnson	D	Dividend	N	T					
36. JP Morgan Funds - Intrepid Value Fund	A	Dividend	K	T					
37. Knoxville TN Waste Water Sys Rev Impt Ser A	C	Interest	L	T					
38. Lowes Companies	A	Dividend	K	T					
39. Mainstay Funds - High Yield Corporate Bond Fund	A	Dividend			Sold	05/20/14	J	A	
40. Memphis TN Gen - Impt Ser A G/O Unltd	A	Interest	L	T					
41. Met Govt Nashville & Davidson Co. TN H&E FACS Board	C	Interest			Redeemed	05/15/14	K	A	
42. Metropolitan West Funds - Total Return Bond Fund	A	Dividend			Sold	05/20/14	J	A	
43. MetLife Variable Annuity - AQR Global Risk Balanced		None	K	T					
44. MetLife Variable Annuity - Alliance Bernstein Global		None	K	T					
45. MetLife Variable Annuity - MetLife Balanced Plus		None	K	T					
46. MetLife Variable Annuity - BlackRock Global TCT Strat		None	K	T					
47. MetLife Variable Annuity IRA - MetGrowth Strategies		None	M	T					
48. MetLife Variable Annuity - Met Balanced Strategies		None	M	T					
49. MetLife Vari. Annuity IRA - Met Balanced Strategies		None	M	T					
50. MetLife Variable Annuity IRA - MetGrowth Strategies		None	M	T					
51. MFS Funds - Ser Emerging Markets Fund	A	Dividend	J	T	Sold (part)	09/15/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Inman, Dennis H.

Date of Report

08/07/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Microsoft Corp	A	Dividend	K	T					
53. Neuberger Berman Funds - Alternative Fund	A	Dividend	J	T					
54. OneOk Partners, LP	A	Dividend	N	T					
55. Oppenheimer Funds - Dev Markets Fund (ODVYX)	A	Dividend	J	T					
56. Oppenheimer Funds -Intl Fund(OIBYX)	A	Dividend	J	T					
57. PIMCO Funds - FDS PAC INVT Fund	A	Dividend	J	T	Buy	09/16/14	J		
58. PIMCO Funds - Commodity Real Return Fund	A	Dividend	J	T					
59. PIMCO - S Total Return Fund	A	Dividend			Sold	09/15/14	J	A	
60. Pioneer Funds - Oak Ridge Small Cap Growth Fund	A	Dividend			Sold	09/15/14	J	A	
61. Regions Bank, Morristown, TN checking acct	A	Interest	K	T					
62. Regions Bank, Morristown, Savings Acct	A	Interest	K	T					
63. Rental House, Morristown, Hamblen County, Tennessee	C	Rent	J	W					
64. Sysco Corp	A	Dividend	J	T					
65. TN State (Bond) - Ser A General Obligation UNLTD B/E	A	Interest	J	T					
66. Thornburg - International Value Fund	C	Dividend			Sold	09/15/14	J	A	
67. Thornburg - Investment Income Builder	A	Dividend			Sold	09/15/14	J	A	
68. TN Housing Dev Agy Homeownership	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Inman, Dennis H.

Date of Report

08/07/2015

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Wells Fargo Bank Money Mkts	A	Interest	M	T					
70. Williamson Co. TN School Dist. G/O UNLTD B/E	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Inman, Dennis H.

Date of Report

08/07/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - In the 2013 report and the initial 2014 report there appeared duplicate reportings of Fidelity Advisors Series VII Fund, Thornburg Intl Value, Thornburg Invt, Colgate Palmolive, Sysco Corporation, Lowes, AQR Managed Future Strategy, Broadview (formerly FMI Focus Fund), Goldman - Sachs TR FINL Square Treas, Heartland Value Plus, JP Morgan - Intrepid Value, Neuberger Berman, Oppenheimer - Dev Market, Oppenheimer - International (Bond), Artio Global Total Return, Artisan Funds Midcap Value, Cohen & Steers Rlty Shares, Eaton/Vance Global MacroAbsolute Return, John Hancock Global Absolute Return, Mainstay (-) High Yield, Managers Bond Fund, MFS Ser Emerging Markets, Pimpcos Total Return (same as Pimpcos - S Total Return), Johnson & Johnson, and Knoxville TN Waste Water Sys Rev Impt. This occurred because the trust identified in Part I as [REDACTED] Trust" had many of the same holdings as the Reporting Person's personal holdings and each of the [REDACTED] Trust's holdings were reported separately. On this amended report all holdings, whether personally owned or owned by the [REDACTED] Trust, were reported in a consolidated manner. The duplicated holdings identified above are the holdings of the [REDACTED] Trust.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Inman, Dennis H.

Date of Report

08/07/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Dennis H. Inman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544