

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Melloy, Michael J.	2. Court or Organization Eighth Circuit Court of Appeal	3. Date of Report 05/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge (Senior Status)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 111 Seventh St. S.E. Box 22 Cedar Rapids, IA 52401-2101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 6

Name of Person Reporting

Melloy, Michael J.

Date of Report

05/13/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	University of Iowa College of Law-Salary for teaching	\$13,558.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Cedar Rapids Community School District-Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Defense Research Institute	06/21/2013-06/22/2013	Boston, MA	Panel participant at CLE program	Air fare, lodging and meals
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 6

Name of Person Reporting

Melloy, Michael J.

Date of Report

05/13/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Northwestern Mutual Life Insurance Company	Policy Loan	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 6

Name of Person Reporting

Melloy, Michael J.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northwestern Mutual Life Insurance Company-cash values	C	Interest	M	T					
2. Home Technology Systems Stock in US Bank IRA	C	Distribution	K	T					
3. Cedar Rapids Bank and Trust Company-Checking Acct.	A	Interest	J	T					
4. General Electric Company stock in US Bank IRA	B	Dividend	K	T					
5. Wells Fargo Advisors	A	Interest	J	T					
6. Vanguard IRA-International Growth Fund	A	Dividend	J	T	Sold (part)	08/01/12	J	A	
7. Vanguard IRA-Primecap Core Fund	A	Dividend	J	T					
8. Vanguard IRA-Emerging Markets Stock Index	A	Dividend	J	T	Sold (part)	08/01/12	J	A	
9. Vanguard IRA-Federated Money Market Fund	A	Dividend	J	T					
10. Cash in US Bank IRA	A	Interest	J	T					
11. Vanguard IRA-500 Index Fund	A	Dividend	J	T	Sold (part)	08/01/12	K	B	
12. Vanguard IRA-GNMA Fund	A	Dividend	J	T					
13. Vanguard IRA-High Yield Corporate Fund	A	Dividend	L	T	Buy (add'l)	08/01/12	L		
14. Hill Bank and Trust-Money Market Account	A	Interest	L	T					
15. Northern Trust- Survivor's Trust-money market	A	Interest	L	T					
16. Mid-America Administrative & Retirement-ALU Fixed Income	A	Interest	K	T					
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 6

Name of Person Reporting

Melloy, Michael J.

Date of Report

05/13/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 6, 8, 11 and 13--part of the funds held in the accounts at lines 6, 8 and 11 were transferred into the account at line 13. The transfers occurred on 08/01/2012.

Line 14-a money market account was opened at Hill Bank and Trust Company. This entry shows the value of that account as of 12/31/2012.

Line 15- [REDACTED] died during calendar year 2012. As a result [REDACTED] became a beneficiary of a residual trust created by [REDACTED]. The trustee is Northern Trust Company. The assets are all invested in a money market account at Northern Trust. I have reported the value of [REDACTED] share of the trust. [REDACTED] is not a trustee and has no responsibility or discretion in the investment of the trust assets. I anticipate the trust will be closed and the trust assets distributed in calendar year 2013.

Line 16- [REDACTED] retired in calendar year 2012. As part of a retirement incentive program, payment was made into a deferred compensation program with MidAmerica Administrative and Retirement Solutions. The 12/31/2012 value of that account is shown on this line. The funds are invested in ALU Fixed Income Account.

FINANCIAL DISCLOSURE REPORT

Page 6 of 6

Name of Person Reporting

Melloy, Michael J.

Date of Report

05/13/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael J. Melloy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544