

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Garza, Carmen E.	2. Court or Organization U.S. District Court	3. Date of Report 07/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. District Court 100 N. Church St. Las Cruces, NM 88001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2013	Carmen E. Garza, Keogh Retirement Plan
2. 2013	Carmen E. Garza, Simplified Employee Pension
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	M & T Bank	Mortgage on rental property	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fort Bliss Federal Credit Union accounts	A	Interest	K	T					
2. First American (formerly First National) Bank accounts		None	L	T					
3. Rental property #1, Las Cruces, NM purchase 2000-\$125,000	E	Rent	M	W					
4. Rental property #2 in Las Cruces, NM purchase 2008 240,000	D	Rent	M	W					
5. Time share property #1, San Diego, Ca. purchase 1998 \$4000		None			Sold	07/22/13	J		
6. Time share property #2, San Diego, Ca purchase 2005 \$5000		None	J	W					
7. Acacia Resh Acacia Tech		None			Sold	07/11/13	J		
8. Alliance Data		None			Buy	03/28/13	J		
9. Alliance Data	D	Dividend			Sold	11/18/13	J		
10. Alps Trust		None			Buy	04/09/13	J		
11. Alps Trust		None			Sold	11/18/13	J		
12. AMT		None			Buy	03/19/13	K		
13. AMT		None			Sold	08/12/13	K		
14. Ansys Inc.	C	Dividend			Sold	11/18/13	K		
15. Baidu Inc Adr		None			Sold	11/18/13	J		
16. C.H. Robinson Worldwide		None			Buy (add'l)	02/06/13	J		
17. C.H. Robinson Worldwide		None			Sold	07/11/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated		

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Cisco Systems		None			Buy	05/30/13	J		
19. Cisco Systems		None			Sold	11/18/13	J		
20. Core Laboratories Nvshs		None			Sold (part)	02/27/13	J		
21. Core Laboratories Nvshs	D	Dividend			Sold	11/18/13	K		
22. Express Scripts ,Inc.		None			Sold (part)	04/30/13	J		
23. Express Scripts Inc.	C	Dividend			Sold	11/18/13	K		
24. Factset Research Sys Inc.		None			Buy (add'l)	04/11/13	J		
25. Factset Research Sys Inc.	C	Dividend			Sold	11/18/13	K		
26. Franklin Invest. Serv.	A	Dividend	L	T	Buy	11/18/13	L		
27. Franklin Rising	A	Dividend	K	T	Buy	11/18/13	K		
28. Franklin Strategic	A	Dividend	J	T	Buy	11/18/13	J		
29. Franklin Templeton	A	Dividend	L	T	Buy	11/18/13	L		
30. Gartner Inc. Com (IT)	B	Dividend			Sold	05/02/13	J		
31. Henry Jack		None			Buy	10/10/13	K		
32. Henry Jack	B	Dividend			Sold	01/18/13	K		
33. Idexx Labs Corp. stock	C	Dividend			Sold	11/18/13	K		
34. Ihs Inc.	C	Dividend			Sold	11/18/13	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Intuitive Surgical Inc.		None			Sold	11/18/13	J		
36. IShares Russell Midcap		None			Buy	01/07/13	K		
37. IShares Russell Midcap		None			Buy (add'l)	02/06/13	J		
38. IShares Russell Midcap	B	Dividend			Sold	03/19/13	K		
39. Mastercard, Inc. stock		None			Sold (part)	07/17/13	J		
40. Mastercard, Inc. stock	D	Dividend			Sold	11/18/13	K		
41. Marketaxess Holdings		None			Buy	08/09/13	J		
42. Marketaxess Holdings	C	Dividend			Sold	11/18/13	K		
43. McDonalds	B	Dividend			Sold	04/09/13	K		
44. Micros Corporation Stock	C	Dividend			Sold	11/18/13	K		
45. Mutual Global Disc.	A	Dividend	K	T	Buy	11/18/13	K		
46. Open Table	D	Dividend			Sold	11/18/13	K		
47. Portfolio Recovery		None			Buy	08/09/13	J		
48. Portfolio Recovery	A	Dividend			Sold	11/18/13	J		
49. Stericycle, Inc. stock		None			Sold (part)	04/11/13	J		
50. Stericycle, Inc. stock	C	Dividend			Sold	11/18/13	K		
51. T Rowe Price Group stock		None			Buy	09/10/13	K		

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52. T Rowe Price Group stock	B	Dividend			Sold	11/18/13	K		
53. Templeton Foreign	A	Dividend	J	T	Buy	11/18/13	J		
54. Templeton Global	A	Dividend	K	T	Buy	11/18/13	K		
55. Templeton Growth	A	Dividend	K	T	Buy	11/18/13	K		
56. UIT First Trust	A	Dividend	L	T	Buy	11/18/13	K		
57. UIT First Trust Capital	A	Dividend	L	T	Buy	11/18/13	K		
58. UIT First Trust Global	A	Dividend	K	T	Buy	11/18/13	K		
59. UIT First Trust International Capital		None	K	T	Buy	11/18/13	K		
60. Verisk Analytics Incl A	B	Dividend			Sold	11/18/13	J		
61. Visa Inc. Com Cl A	D	Dividend			Sold	11/18/13	K		
62. Whole Foods		None			Buy	08/29/13	K		
63. Whole Foods	B	Dividend			Sold	11/18/13	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The residential lot that I owned in Las Cruces, New Mexico was deeded to [REDACTED] in March, 2011. [REDACTED] paid me \$50,000 during 2013.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Carmen E. Garza**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544