

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'Toole, George A.	2. Court or Organization U.S. District Court	3. Date of Report 05/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address John Joseph Moakley United States Courthouse 1 Courthouse Way, Suite 7-130 Boston, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Boston College -- Teaching	\$6,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Contract employee, Massachusetts Department of Public Health
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. New York Intellectual Property Law Association	3/23/2018-3/25/2018	New York, New York	Bar Association Meeting	Travel, food, and lodging
2. James Wilson Institute	4/27/2018-4/28/2018	Washington, D.C.	Academic Seminar	Travel, food, and lodging
3. Florida Bar	6/14/2018-6/17/2018	Orlando, Florida	Bar Association Meeting	Travel, food, and lodging
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Morgan Stanley Bank	Line of Credit	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Abbvie Inc.	A	Dividend			Sold (part)	02/02/18	J	A	
2.					Sold	04/06/18	J	A	
3. Accenture PLC Ireland C1 A	A	Dividend	K	T					
4. Adobe Systems		None	J	T	Sold (part)	06/01/18	J	B	
5.					Sold (part)	06/05/18	J	C	
6.					Sold (part)	08/24/18	J	B	
7.					Sold (part)	08/28/18	J	C	
8. Align Technology		None	J	T	Sold (part)	06/28/18	J	B	
9.					Sold (part)	07/02/18	J	C	
10.					Sold (part)	10/05/18	J	A	
11. Allergan	A	Dividend	J	T	Buy (add'l)	01/16/18	J		
12.					Buy (add'l)	01/18/18	J		
13.					Buy (add'l)	02/14/18	J		
14. Alphabet Inc. C1 A		None	J	T					
15. Alphabet Inc. C1 C		None	K	T					
16. Altria Group	A	Dividend	J	T	Sold (part)	01/10/18	J	A	
17.					Sold (part)	02/22/18	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	12/20/18	J		
19. AMC Networks		None	J	T	Buy (add'l)	01/16/18	J		
20. American Cap World Gr & Inc F2	A	Dividend	J	T	Buy (add'l)	12/20/18	J		
21. Anadarko Petroleum	A	Dividend	J	T	Buy (add'l)	01/16/18	J		
22.					Buy (add'l)	11/06/18	J		
23.					Buy (add'l)	12/06/18	J		
24.					Buy (add'l)	12/21/18	J		
25.					Sold (part)	12/20/18	J		
26. Apple common	A	Dividend	J	T	Buy (add'l)	02/07/18	J		
27.					Buy (add'l)	02/22/18	J		
28.					Sold (part)	09/13/18	J	A	
29.					Sold (part)	12/20/18	J		
30. AT&T	A	Dividend	J	T	Buy (add'l)	02/12/18	J		
31. Autodesk Inc.		None	J	T					
32. Automatic Data Processing	A	Dividend	K	T					
33. Bank of America deposit accounts	A	Interest	J	T					
34. Becton Dickinson	A	Dividend	J	T	Sold (part)	10/10/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Berkshire Hathaway Cl B		None	J	T	Sold (part)	12/20/18	J		
36. Biogen		None	J	T	Buy (add'l)	01/16/18	J		
37.					Buy (add'l)	02/21/18	J		
38.					Buy (add'l)	05/02/18	J		
39.					Sold (part)	12/20/18	J		
40. Blackrock Inc.	A	Dividend	J	T	Sold (part)	12/20/18	J		
41. Blackrock High Yield Fund	A	Dividend	J	T	Buy (add'l)	12/06/18	J		
42.					Buy (add'l)	12/21/18	J		
43. Blackrock Low Dur Bd Inv Inst	B	Dividend	L	T	Buy (add'l)	08/24/18	J		
44.					Buy (add'l)	11/06/18	J		
45. Blackrock Multi-Asset	A	Dividend	J	T	Buy (add'l)	05/02/18	J		
46.					Buy (add'l)	08/24/18	J		
47.					Buy (add'l)	12/20/18	J		
48. Booking Holdings, Inc.	A	Dividend	J	T	Sold (part)	02/05/18	J	A	See Part VIII, note 2.
49.					Buy (add'l)	05/15/18	J		
50. Broadcom	A	Dividend	J	T					
51. C A Inc.	A	Dividend			Buy (add'l)	02/21/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Redeemed (part)	11/05/18	J	A	
53.					Redeemed	11/06/18	J	A	
54. Calamos Market Neutral Inc I	B	Dividend	K	T					
55.	B	Distribution							
56. Carnival CP New Paired	A	Dividend	J	T	Buy (add'l)	02/21/18	J		
57.					Buy (add'l)	04/23/18	J		
58.					Buy (add'l)	05/15/18	J		
59.					Buy (add'l)	08/29/18	J		
60.					Buy (add'l)	12/17/18	J		
61.					Sold (part)	12/20/18	J		
62. Celgene		None			Buy (add'l)	01/16/18	J		
63.					Sold (part)	01/22/18	J	A	
64.					Sold (part)	01/23/18	J		
65.					Sold (part)	01/24/18	J		
66.					Sold	01/25/18	J		
67. Center Coast MLP Focus	B	Dividend	K	T	Buy (add'l)	05/02/18	J		
68.					Buy (add'l)	12/06/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	12/20/18	J		
70.					Buy (add'l)	12/21/18	J		
71. Chevron common	A	Dividend	J	T	Sold (part)	12/20/18	J		
72. Chubb Ltd	A	Dividend	J	T	Buy (add'l)	05/31/18	J		
73.					Sold (part)	12/13/18	J		
74. Cincinnati Financial Ohio	A	Dividend	J	T	Buy (add'l)	01/16/18	J		
75.					Buy (add'l)	02/21/18	J		
76. Cisco Systems	A	Dividend	J	T	Buy (add'l)	02/21/18	J		
77.					Sold (part)	05/17/18	J	A	
78.					Sold (part)	12/20/18	J		
79. Citrix Systems Inc.	A	Dividend	J	T					
80. Clearbridge Aggressive Growth (SAGYX)	A	Dividend	K	T	Buy (add'l)	12/11/18	J		
81.	C	Distribution							
82. CME Group	A	Dividend	J	T	Buy	07/13/18	J		
83.					Buy (add'l)	08/29/18	J		
84.					Buy (add'l)	11/21/18	J		
85. Coca Cola Co.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. Cogate Palmolive	A	Dividend	J	T	Buy (add'l)	01/30/18	J		
87. College Station TX Muni Bond		None	J	T	Buy	02/20/18	J		
88. Comcasst (New) Cl A	A	Dividend	J	T					
89. Cree Research		None	J	T					
90. Crown Castle Intl Corp	A	Dividend	J	T					
91. CVS Health Corp	A	Dividend	J	T	Buy (add'l)	12/06/18	J		
92.					Buy (add'l)	12/21/18	J		
93. CyrusOne, Inc.	A	Dividend	J	T	Buy (add'l)	02/12/18	J		
94.					Buy (add'l)	06/04/18	J		
95.					Buy (add'l)	12/14/18	J		
96. Diageo ADR	A	Dividend	J	T	Buy (add'l)	02/21/18	J		
97. Discovery Communications Ser.A		None	J	T	Buy (add'l)	01/16/18	J		
98.					Buy (add'l)	12/21/18	J		
99. Dolby Cl A	A	Dividend	J	T					
100. Dollar General Corp.	A	Dividend	J	T	Buy (add'l)	01/16/18	J		
101.					Buy (add'l)	02/01/18	J		
102.					Buy (add'l)	02/21/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Sold (part)	12/20/18	J		
104. Dominion Resources	A	Dividend	J	T	Buy (add'l)	03/21/18	J		
105.					Sold (part)	12/20/18	J		
106. Duke Energy	A	Dividend	J	T	Buy (add'l)	02/21/18	J		
107. Eli Lilly	A	Dividend			Sold (part)	02/07/18	J	A	
108.					Sold	02/22/18	J	A	
109. Enterprise Bancorp common	B	Dividend	L	T	Sold (part)	01/22/18	J	A	
110.					Sold (part)	01/23/18	L	F	
111. Exxon Mobile common	A	Dividend	J	T					
112. Facebook Cl A		None	K	T	Sold (part)	01/12/18	J	B	
113.					Sold (part)	01/17/18	J	C	
114.					Buy (add'l)	11/15/18	J		
115. Fastenal		None	J	T	Buy	11/09/18	J		
116.					Buy (add'l)	11/12/18	J		
117.					Sold (part)	12/20/18	J		
118. Fidelity National Information Services	A	Dividend	J	T					
119. Fluor Corp	A	Dividend	J	T	Buy (add'l)	01/16/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	11/06/18	J		
121.					Buy (add'l)	12/06/18	J		
122.					Sold (part)	12/20/18	J		
123.					Buy (add'l)	12/21/18	J		
124. Franklin Resources, Inc.		None	J	T	Buy	11/27/18	J		
125.					Buy (add'l)	11/28/18	J		
126.					Buy (add'l)	12/10/18	J		
127.					Sold (part)	12/20/18	J		
128. Freeport-McMoran	A	Dividend	J	T	Sold (part)	12/20/18	J		
129. Gartner Inc.		None	J	T	Buy (add'l)	03/01/18	J		
130.					Buy (add'l)	03/05/18	J		
131.					Sold (part)	12/19/18	J	A	
132.					Sold (part)	12/21/18	J	A	
133.					Sold (part)	12/31/18	J	A	
134. General Dynamics	A	Dividend	J	T	Buy (add'l)	02/21/18	J		
135.					Sold (part)	11/27/18	J		
136.					Sold (part)	12/10/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. General Electric	A	Dividend			Buy (add'l)	01/16/18	J		
138.					Sold	02/07/18	J		
139. General Mills	A	Dividend			Buy (add'l)	01/16/18	J		
140.					Buy (add'l)	02/21/18	J		
141.					Sold (part)	02/22/18	J		
142.					Sold (part)	02/23/18	J		
143.					Sold	04/03/18	J		
144. Harding Loevner Intl Equity	C	Dividend	M	T	Buy (add'l)	11/06/18	J		
145.					Buy (add'l)	12/11/18	J		
146.					Sold (part)	12/20/18	J		
147. Hasbro, Inc.	A	Dividend	J	T	Sold (part)	12/20/18	J		
148. Honeywell	A	Dividend	J	T					
149. Immunogen		None	J	T	Buy (add'l)	01/16/18	J		
150.					Buy (add'l)	11/06/18	J		
151.					Sold (part)	12/20/18	J		
152. Ingersoll-Rand	A	Dividend	J	T	Buy (add'l)	12/21/18	J		
153. Intel	A	Dividend	J	T	Buy (add'l)	02/21/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold (part)	12/20/18	J		
155. Interpublic Group of Companies	A	Dividend			Sold (part)	03/01/18	J		
156.					Sold (part)	03/02/18	J		
157.					Sold	03/05/18	J		
158. Invesco Premier Inst	A	Dividend	J	T	Buy (add'l)	12/21/18	J		
159. Ionis Pharmaceuticals		None	J	T					
160. IQ Hedge Multi-Strat Tracker		None	K	T					
161. iShares Core S&P US Growth		None	K	T	Sold (part)	01/16/18	K	A	
162.					Buy (add'l)	12/20/18	K		
163. iShares Core S&P 500 ETF		None	K	T	Buy	12/20/18	K		
164. iShares Russell 1000	A	Dividend	J	T	Sold (part)	01/08/18	J	A	
165.					Sold (part)	01/16/18	J	A	
166.					Buy (add'l)	12/20/18	J		
167. Johnson & Johnson common	A	Dividend	K	T	Buy (add'l)	07/31/18	J		
168.					Buy (add'l)	08/17/18	J		
169.					Sold (part)	12/13/18	J	A	
170.					Sold (part)	12/20/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Johnson Controls	A	Dividend	J	T	Buy (add'l)	01/16/18	J		
172.					Buy (add'l)	02/21/18	J		
173.					Buy (add'l)	11/06/18	J		
174.					Buy (add'l)	12/06/18	J		
175.					Sold (part)	12/20/18	J		
176.					Buy (add'l)	12/21/18	J		
177. JP Morgan Chase & Co.	A	Dividend	J	T	Sold (part)	01/22/18	J	A	
178.					Buy (add'l)	10/24/18	J		
179. Kinder Morgan	A	Dividend	J	T	Buy (add'l)	01/16/18	J		
180.					Buy (add'l)	02/21/18	J		
181.					Sold (part)	12/20/18	J		
182. L-3 Technologies	A	Dividend	J	T					
183. Liberty Interactive QVC A (QVCA)									See Part VIII, note 3.
184. Liberty Media Corp Ser C SiriusXM (LSXMK) (Y)									
185. Linde PLC	A	Dividend	J	T	Buy (add'l)	05/15/18	J		See Part VIII, note 4.
186.					Sold (part)	01/22/18	J	A	
187.					Sold (part)	12/20/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Lions Gate Entertainment	A	Dividend	J	T	Buy	11/06/18	J		
189.					Buy (add'l)	12/06/18	J		
190.					Sold (part)	12/20/18	J		
191.					Buy (add'l)	12/21/18	J		
192. Lockheed Martin	A	Dividend	J	T					
193. Logmein Inc.	A	Dividend	J	T	Buy	11/06/18	J		
194.					Sold (part)	12/20/18	J		
195.					Buy (add'l)	12/21/18	J		
196. Lord Abbett Sht Duration Inc (LDLFX)	B	Dividend	K	T	Buy (add'l)	12/21/18	J		
197. Lowes Companies	A	Dividend	J	T	Buy (add'l)	02/21/18	J		
198.					Sold (part)	12/20/18	J		
199. Lubbock Cooper TX Ind Sch Dist Bond		None	J	T	Buy	02/20/18	J		
200. Manulife Financial common	A	Dividend	K	T					
201. Marsh & McLennan	A	Dividend	J	T	Buy (add'l)	02/12/18	J		
202. Mastercard , Inc. Cl A	A	Dividend	J	T					
203. Merger Fund	A	Dividend	J	T					
204. Medtronic	A	Dividend	J	T	Buy (add'l)	02/21/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold (part)	07/13/18	J	A	
206. Merck & Co.	A	Dividend	J	T	Buy (add'l)	01/16/18	J		
207.					Buy (add'l)	02/21/18	J		
208. Metropolitan West Tot Ret Bd I (MWTIX)	C	Dividend	M	T	Buy (add'l)	05/02/18	J		
209.					Buy (add'l)	08/24/18	J		
210.					Buy (add'l)	11/06/18	J		
211.					Buy (add'l)	12/21/18	J		
212. Microsoft common	A	Dividend	K	T	Buy (add'l)	01/12/18	J		
213.					Buy (add'l)	02/21/18	J		
214.					Buy (add'l)	06/04/18	J		
215.					Sold (part)	11/27/18	J	A	
216.					Sold (part)	12/10/18	J	A	
217. Mondelez Intl Inc.	A	Dividend	J	T	Buy (add'l)	01/18/18	J		
218. Monsanto New	A	Dividend			Sold (part)	04/20/18	J	A	
219.					Sold	06/04/18	J	A	
220. Morgan Stanley Bank cash/MM account	A	Interest	J	T					
221. National Oilwell Varco	A	Dividend	J	T	Buy (add'l)	01/16/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	02/21/18	J		
223.					Sold (part)	12/20/18	J		
224.					Buy (add'l)	12/21/18	J		
225. Nestle Spon ADR	A	Dividend	J	T	Buy (add'l)	11/27/18	J		
226.					Buy (add'l)	11/28/18	J		
227.					Buy (add'l)	12/11/18	J		
228.					Sold (part)	12/20/18	J		
229. Newmarket Corp	A	Dividend	J	T	Buy (add'l)	01/16/18	J		
230.					Sold (part)	12/20/18	J		
231. Nextera Energy	A	Dividend	J	T					
232. Nielsen Holdings PLC	A	Dividend			Sold (part)	08/31/18	J		
233.					Sold (part)	09/04/18	J		
234.					Sold	09/05/18	J		
235. Nike Inc. B	A	Dividend	K	T	Sold (part)	11/15/18	J	A	
236.					Sold (part)	11/19/18	J	A	
237. Norfolk Southern	A	Dividend	J	T	Buy (add'l)	02/21/18	J		
238.					Sold (part)	11/27/18	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold (part)	12/10/18	J		
240. Nuance Communications Inc.		None	J	T	Buy (add'l)	02/21/18	J		
241.					Buy (add'l)	05/10/18	J		
242.					Sold (part)	12/20/18	J		
243. Nucor Corp	A	Dividend	J	T					
244. Nuveen Santa Barbara Div Gr 1	A	Dividend	J	T					
245.	A	Distribution							
246. Nvent Electric	A	Dividend			Sold (part)	09/27/18	J	A	See Part VIII, note 5.
247.					Sold	10/01/18	J	A	
248. Nvidia Corp	A	Dividend	J	T	Buy	08/24/18	J		
249.					Sold (part)	12/20/18	J		
250. Oracle Corp	A	Dividend	J	T	Sold (part)	08/24/18	J	A	
251.					Sold (part)	08/28/18	J	A	
252. O'Reilly Automotive New		None	J	T					
253. Paccar Inc	A	Dividend	J	T	Buy	02/22/18	J		
254.					Buy (add'l)	02/26/18	J		
255.					Buy (add'l)	08/23/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Buy (add'l)	08/24/18	J		
257.					Sold (part)	12/20/18	J		
258. Packaging Corp	A	Dividend	J	T	Buy (add'l)	12/12/18	J		
259. Paychex, Inc.	A	Dividend	J	T	Buy (add'l)	02/21/18	J		
260.					Sold (part)	12/20/18	J		
261. Pentair	A	Dividend	J	T	Buy (add'l)	11/06/18	J		
262.					Buy (add'l)	12/06/18	J		
263.					Sold (part)	12/20/18	J		
264.					Buy (add'l)	12/21/18	J		
265. Pepsico common	A	Dividend	J	T					
266. Pfizer Inc.	A	Dividend	J	T	Buy (add'l)	02/21/18	J		
267. Philip Morris	A	Dividend	J	T	Buy	02/21/18	J		
268.					Buy (add'l)	04/05/18	J		
269.					Buy (add'l)	06/11/18	J		
270.					Sold (part)	12/20/18	J		
271. Phillips 66	A	Dividend	J	T	Sold (part)	08/17/18	J	A	
272.					Sold (part)	08/20/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 20 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Buy (add'l)	10/24/18	J		
274. Praxair, Inc. common									See Part VIII, note 4.
275. Priceline Grp New									See line 48 above.
276. Principal Mid Cap (PMCPX)	D	Distribution	L	T	Buy (add'l)	12/21/18	J		
277. Procter & Gamble common	A	Dividend	J	T					
278. Prudential Short Term Corp Bond Z	A	Dividend	J	T	Buy (add'l)	05/09/18	J		
279.					Buy (add'l)	08/24/18	J		
280. Qurate Retail Group		None	J	T	Buy (add'l)	11/06/18	J		
281.					Sold (part)	12/20/18	J		
282.					Buy (add'l)	12/21/18	J		
283. Realty Income Group	A	Dividend	J	T	Buy	10/10/18	J		
284. Regeneron Pharmaceuticals		None	J	T	Buy (add'l)	01/16/18	J		
285.					Sold (part)	12/20/18	J		
286. Schlumberger Ltd	A	Dividend	J	T	Buy (add'l)	08/29/18	J		
287. Seagate TechnologyPLC	A	Dividend	J	T	Buy	01/16/18	J		
288.					Buy (add'l)	09/12/18	J		
289.					Sold (part)	12/20/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Starbucks Corp	A	Dividend	J	T	Buy (add'l)	08/15/18	J		
291.					Sold (part)	11/14/18	J	A	
292.					Sold (part)	11/16/18	J	A	
293. Stericycle, Inc. common		None	J	T					
294. Target Corp	A	Dividend	J	T	Buy (add'l)	02/21/18	J		
295.					Sold (part)	08/17/18	J	A	
296.					Sold (part)	12/20/18	J		
297. TE Connectivity	A	Dividend	J	T					
298. Texas Instruments	A	Dividend	J	T	Buy	02/28/18	J		
299.					Buy (add'l)	03/23/18	J		
300.					Buy (add'l)	04/18/18	J		
301.					Buy (add'l)	10/24/18	J		
302.					Buy (add'l)	11/27/18	J		
303.					Buy (add'l)	12/10/18	J		
304.					Sold (part)	12/20/18	J		
305. Twitter Inc.		None	J	T	Buy (add'l)	01/16/18	J		
306. Union Pacific common	A	Dividend	J	T	Buy (add'l)	01/31/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Sold (part)	07/13/18	J	A	
308. UnitedHealth Group	A	Dividend	K	T	Sold (part)	11/26/18	J	A	
309.					Sold (part)	11/28/18	J	A	
310. United Parcel Service	A	Dividend	J	T	Buy	01/10/18	J		
311.					Buy (add'l)	02/02/18	J		
312.					Sold (part)	12/20/18	J		
313. Vanguard FTSE Dev Mkts	A	Dividend	J	T	Buy	12/20/18	J		
314. Verizon Communications common	A	Dividend	J	T	Buy (add'l)	01/16/18	J		
315.					Buy (add'l)	02/21/18	J		
316. Vertex Pharmaceuticals		None	J	T					
317. Virtus Insight Emrg Mkts (HIEMX)	B	Distribution	K	T					
318. Visa Inc. Cl A	A	Dividend	K	T					
319. Voya Global RE (IGLIX)	A	Dividend	K	T	Buy (add'l)	12/06/18	J		
320.	B	Distribution			Buy (add'l)	12/21/18	J		
321. Walt Disney Co Holding Co	A	Dividend	J	T					
322. Weatherford Intl		None	J	T	Buy (add'l)	01/16/18	J		
323.					Buy (add'l)	11/06/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Sold (part)	12/20/18	J		
325. WEC Energy Group Inc.	A	Dividend	J	T	Buy (add'l)	01/18/18	J		
326. Wells Fargo & Co New	A	Dividend	J	T	Buy (add'l)	02/21/18	J		
327.					Sold (part)	10/26/18	J		
328.					Sold (part)	12/20/18	J		
329. Wells Fargo Muni Bond Fund (WMBIX)	C	Dividend	M	T	Buy (add'l)	02/21/18	K		See Part VIII, note 6.
330. Western Digital Corp	A	Dividend	J	T	Buy (add'l)	08/15/18	J		
331.					Buy (add'l)	12/06/18	J		
332.					Sold (part)	12/20/18	J		
333.					Buy (add'l)	12/21/18	J		
334. Whirlpool Corp	A	Dividend	J	T					
335. Zoetis Inc. Cl A	A	Dividend	J	T	Buy (add'l)	01/30/18	J		
336.					Buy (add'l)	01/31/18	J		
337.					Buy (add'l)	02/21/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. The trust identified in Part I is a family trust. All the assets held in the trust are reported individually in Part VII but are not specifically identified in that Part to the trust.
2. The previously owned (and reported) asset Priceline Group changed its name to Booking Holdings, Inc. The asset is the same. Transactions are reported here in the name of the company as of the end of the reporting period.
3. Liberty Interactive changed its name to Qurate Retail Group and the investment is reported under that name at lines 280-282 .
4. Praxair, an asset identified in previous reports, merged with Linde PLC, which is the surviving entity. This line reports transactions both before and after merger under Linde and includes pre-merger transactions involving Praxair.
5. Nvent Electric is a spinoff of Pentair. The spun-off shares were sold.
6. The prior reports for 2016 and 2017 misidentified this asset. We had previously invested in Wells Fargo 6% Series V preferred stock fund (WFC.PRV). That identification was mistakenly carried forward in the reports for 2016 and 2017. Reivew of the relevant records indicate that the investment in the preferred stock fund was liquidated in 2016 and a new investment was initiated in the Wells Fargo Municipal Bond Fund (WMBIX). The transactions and amounts reported in the prior reports were accurate, but the asset should have been reported as Wells Fargo Muni Bond Fund. This report corrects the error.

FINANCIAL DISCLOSURE REPORT

Page 25 of 25

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ George A. O'Toole

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544