

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Herndon, David R.	2. Court or Organization U.S. District Court Southern District of Illinois	3. Date of Report 04/27/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States Courthouse 750 Missouri Avenue East St. Louis, IL		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1, Assets No 30-108
2.	Trustee	Trust #2, Assets No 109-150
3.	Trustee	Trust #3, Assets No 151-200
4.	Trustee	Trust #4, Assets No 201-216
5.	Trustee	Trust #5 Assets No 217-233
6.	Trustee	Trust #6, Assets No 234-251
7.	Director	Federal Judges Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Illinois Judges Retirement System	\$9,601.54
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Byron, Carlson, Petri & Kalk LLC; salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Judges Association	April 12-15	Washington DC	Board of Directors Meeting	Transportation, Meals, Hotel
2. American Conference Institute	Dec 3-4	New York, NY	Speaker at Educational Seminar	Transportation, Hotel
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First Clover Leaf Bank checking acct	A	Interest	L	T					
2. Bank of Edwardsville Checking account	A	Interest	J	T					spouse
3. IRA Account Pershing IRA Account	C	Dividend	M	T					assets listed below
4. - (IRA) Kinder Morgan Inc					Sold	12/09/15	J		loss
5. - (IRA) Actavis PLC					Buy	06/12/15	J		purchased Actavis ACT
6. - (IRA) Actavis PLC					Merged (with line 7)	06/17/15	J		Actavis became Allergan
7. - (IRA) Allergan PLC					Open	06/17/15	J		Actavis became Allergan
8. - (IRA) Allergan PLC					Buy (add'l)	06/18/15	J		purchased additional AGN
9. - (IRA) Perrigo Co PLC shs					Buy	07/01/15	J		
10. - (IRA) Nielson Holdings NV shs Com NLSN					Merged (with line 11)	08/31/15	K		(old) Name Change
11. - (IRA) Nielson Holdings PLC Shs NLSN					Open	08/31/15	K		New Name
12. - (IRA) Ebay Inc Com					Sold (part)	04/24/15	J	C	
13. - (IRA) Ebay Inc Com					Sold	08/24/15	K	D	
14. - (IRA) PayPal Hldgs Inc Com					Spinoff (from line 12)	07/23/15	K		spinoff from EBay
15. - (IRA) PayPal Hldgs Inc Com					Buy (add'l)	07/23/15	J		purchased add'l PayPal
16. - (IRA) Google Inc Cl A					Buy	08/20/15	K		
17. - (IRA) Google Inc Cl A					Buy (add'l)	08/21/15	J		see below for name change

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - (IRA) Google Inc Cl A					Merged (with line 19)	10/05/15	K		(old) name change
19. - (IRA) Alphabet Inc Cap Stk Cl A					Open	10/05/15	K		new name, google to alphan
20. - (IRA) Bank of New York Mellon Corp Com					Buy	11/09/15	K		
21. - (IRA) Freeport McMoran Inc FCX					Buy (add'l)	05/11/15	J		
22. - (IRA) Freeport McMoran Inc FCX					Sold	07/23/15	J		loss
23. - (IRA) Moody's Corp Com									
24. - (IRA) Omnicom Group Inc					Sold (part)	05/01/15	J	C	
25. - (IRA) Omnicom Group Inc					Sold	06/10/15	L	E	
26. - (IRA) West Pharmaceutical Svcs Inc Com					Buy	04/24/15	J		
27. - (IRA) West Pharmaceutical Svcs Inc Com					Buy (add'l)	05/01/15	J		
28. - (IRA) Vanguard Intl Equity Index Fds FTSE Europe ETF									
29. - (IRA) Dreyfus Treasury Prime MM									
30. TRUST #1 Pershing Brokerage Account	G	Int/Div.	P1	T					trust assets listed below
31. - Dreyfus Treasury Prime Inv MM									
32. - Jackson Cnty Mo Spl Oblig Truman Sports					Redeemed	12/01/15	L	A	
33. - Deschutes Cnty Ore Sch Dist 5.25%									
34. - State Pub Sch Bldg Auth PA Sch Rev 5.25%									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Connecticut St Ser C 5% 11/1/21 B/E									
36. - Hampton VA Pub Impt-Ser A 5%					Redeemed	04/02/15	L	A	
37. - Indiana Univ Revs Student Fee Ser S 5% 2/21/08									
38. - Metropolitan Govt Nashville Davidson 5% 5/15/23 \$20k									
39. - Metropolitan Govt Nashville Davidson 5% 5/15/23 \$80k									
40. - Metropolitan Govt Nashville Davidson 5% 1/01/25 \$50k									
41. - Chicago ILL Sales Tax Rev Sale 5%					Sold	06/10/15	M		loss
42. - Conroe Tex Indpt Sch Dist RFDG 5% 2/15/24									
43. - Humble Tex Indpt Sch Dist Sch Bldg 5% 2/1/06									
44. - Tennessee St Rfdg-Ser A 4% 08/1/25									
45. - Abilene Tex CTFS Oblig 5% 2/15/26									
46. - Victoria Tex Indpt Sch Dist Sch Bldg 5% 6/15/07									
47. - Washington St Var Purp dtd 5% 8/1/26									
48. - Keller Tex Indpt Sch Dist Sch Bldg 5% 8/15/26									
49. - Gainesville Fla Utils Sys Rev Ser A 5% 10/01/26					Buy	08/28/15	L		
50. - Arlington TEX Indpt Sch Sch Dist 5% 8/15/27									
51. - Missouri St Brd Pub Bldgs Spl Oblg 4.5%									

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52. - North Carolina St Pub Impt Ser A 5% 4/14/10									
53. - Clear Creek Tex Indpt Sch Dist 5% 02/15/28					Buy	06/10/15	L		
54. - First Tenn Bk NA Memphis Sub Nt 5.05%					Redeemed	01/15/15	J	A	
55. - HCA Inc No 6.375% 01/15/15					Redeemed	01/15/15	J	A	
56. - HanesBrands Inc Gtd Sr Nt 6.375% 12/15/20					Buy	01/26/15	K		
57. - HCA Inc Sr Note 8.0% 10/01/18									
58. - Southwestern Energy Co Med Term Notes 7.125%									
59. - Chesapeake Energy Corp Sr Nt 7.25% 12/15/18					Buy	04/06/15	K		see below for sale in same
60. - Chesapeake Energy Corp Sr Nt 7.25% 12/15/18					Sold	08/25/15	K		period (loss)
61. - MGM Resorts Intl Sr Nt 6.75% 10/01/20					Buy	04/06/15	K		
62. - Nielsen Fin LLC 4.5% 10/01/20					Buy	04/06/15	K		
63. - Allergan Plc Com Shs					Buy	07/01/15	K		
64. - Accenture PLC Ireland Shs Cl A ACN									
65. - American Tower REIT com									
66. - Brookfield Infrastructure Partners LP					Distributed (part)	06/30/15	J		return of principal
67. - Brookfield Infrastructure Partners LP					Sold (part)	07/27/15	K	B	
68. - Brookfield Infrastructure Partners LP					Sold	08/05/15	K	B	

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69. - Perrigo Co PLC Shs									
70. - Perrigo Co PLC Shs					Buy (add'l)	11/13/15	K		
71. - Apple Inc									
72. - Bank of America Corp									
73. - Boeing Co Com									
74. - CBS Corp CL B Com									
75. - Colgate Palmolive Co									
76. - ConocoPhillips Com					Buy (add'l)	05/11/15	J		
77. - ConocoPhillips Com					Buy (add'l)	12/02/15	J		
78. - ConocoPhillips Com					Sold	12/16/15	K		loss
79. - EMC Corp (Mass) Com					Sold (part)	10/12/15	K	B	
80. - EMC Corp (Mass) Com					Sold	10/21/15	K	A	
81. - Energy Transfer Equity L.P.									
82. - Enterprise Products Partners L.P.									
83. - General Electric Co Com					Buy	12/16/15	K		
84. - Honeywell Intl Inc									
85. - Jarden Corp Com					Sold (part)	05/01/15	K	D	

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86. - Jarden Corp Com					Sold (part)	07/10/15	J	D	
87. - Kinder Morgan Inc Del Com					Sold	12/02/15	J		loss
88. - Las Vegas Sands Corp Com					Buy	02/23/15	J		
89. - Lowes Companies Inc					Sold (part)	05/11/15	K	D	
90. - Lowes Companies Inc					Sold (part)	11/06/15	K	E	
91. - Markwest Energy Partners L.P.					Merged (with line 92)	12/04/15	J		(old) name changed
92. - MPLX LP Com Unit Repstg Ltd Partner					Open	12/04/15	J		new name
93. - MPLX LP Com Unit Repstg Ltd Partner					Distributed (part)	12/04/15	J	A	cash in lieu of fractional
94. - Moodys Corp Com									
95. - Newell Rubbermaid Inc Com									
96. - Plains All American Pipeline L.P.									
97. - Thermo Fisher Scientific Inc Com TMO									
98. - Verizon Communications Com									
99. - West Pharmaceutical Svcs Inc Com					Buy	06/09/15	J		
100. - Williams Partners L.P.					Merged (with line 101)	02/05/15	J		(old) name changed
101. - Williams Partners L.P. New Com Unit Ltd					Open	02/05/15	J		new name
102. - KKR Finl Hldgs LLC PFD Ser A LLC									

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103. - Starwood Ppty Tr Inc Com									
104. - Alps ETF Tr Alerian MLP Etf					Buy	12/02/15	J		
105. - Vanguard Intl Equity Index Fds FTSE Europe ETF									
106. - WisdomTree Tr Emerging Mkts Small Cap					Sold (part)	03/05/15	J		loss
107. - WisdomTree Tr Emerging Mkts Small Cap					Sold	12/02/15	K		loss
108. - Wisdom Tree Tr Europe Hedged Equity Fd					Buy	12/02/15	K		
109. TRUST #2 Pershing Brokerage Account	C	Int./Div.	M	T					trust assets listed below
110. -Drefus Treasury Prime MM									
111. -Allergan Plc Com Shs					Buy	06/15/15	J		
112. -Allergan Plc Com Shs					Buy (add'l)	07/01/15	J		
113. -Accenture PLC Ireland Sh Cl A									
114. -American Tower REIT com									
115. -Brookfield Infrastructure Partners LP					Sold (part)	07/27/15	J	A	
116. -Brookfield Infrastructure Partners LP					Sold	08/05/15	J	A	
117. -Perrigo Co PLC shs									
118. -Perrigo Co PLC shs					Buy (add'l)	07/01/15	J		
119. -Perrigo Co PLC shs					Buy (add'l)	11/13/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S =Assessment W ~Estimated	T ~Cash Market	

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Name of Person Reporting

Herndon, David R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Apple Inc					Sold (part)	04/24/15	J	C	
121. -Bank of America Corp									
122. -Bank of New York Mellon Corp Com					Buy	11/09/15	J		
123. -Boeing Co com									
124. -CBS Corp CL: B Com									
125. -Colgate Palmolive Co Com									
126. -Google Inc C1 A					Buy	08/20/15	J		
127. -Google Inc C1 A					Buy (add'l)	08/21/15	J		
128. -Google Inc C1 A					Merged (with line 129)	10/05/15	J		(old) name change
129. -Alphabet Inc Cap Stk C1 A					Open	10/05/15	J		new name for Google
130. -Ebay Inc Com					Sold	08/24/15	J	B	
131. -PayPal Hldgs Inc Com					Spinoff (from line 130)	07/23/15	J		spinoff from Ebay
132. -PayPal Hldgs Inc Com					Buy (add'l)	07/23/15	J		
133. -Freeport McMoran Inc CL B					Sold	07/23/15	J		loss
134. -Honeywell Intl Inc									
135. -Jarden Corp Com									
136. -Kinder Morgan Inc Del com					Sold	12/02/15	J		loss

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Herndon, David R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Las Vegas Sands Corp Com					Buy	02/23/15	J		
138. -Lowes Companies Inc					Sold (part)	11/06/15	J	C	
139. -Moody's Corp com									
140. -Newell Rubbermaid Inc Com									
141. -Nielson Holdings N V Com					Merged (with line 142)	08/31/15	J		(old) name changed
142. -Nielson Holdings PLC Shs					Open	08/31/15	J		new name
143. -Omnicom Group Inc					Sold	06/10/15	J	C	
144. -Thermo Fisher Scientific Inc Com									
145. -Verizon Communications Com									
146. -West Pharmaceutical Svcs Inc Com					Buy	04/24/15	J		
147. -West Pharmaceutical Svcs Inc Com					Buy (add'l)	05/01/15	J		
148. -West Pharmaceutical Svcs Inc Com					Buy (add'l)	06/09/15	J		
149. -Starwood Ppty Tr Inc com									
150. -JPMorgan Chase & Co Alerian MLP Index					Buy	12/02/15	J		
151. TRUST #3 Pershing Brokerage Account	D	Int./Div.	O	T					trust assets listed below
152. -Dreyfus Treasury Prime Money Market									
153. -Accenture PLC Ireland Shs Cl A									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Herndon, David R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Allergan PLC Com Shs					Buy	06/15/15	J		
155. -Allergan PLC Com Shs					Buy (add'l)	07/01/15	J		
156. -American Tower REIT									
157. -Apple Inc									
158. -Brookfield Infrastructure Partners LP					Sold (part)	07/27/15	J	A	
159. -Brookfield Infrastructure Partners LP					Sold	08/05/15	J	A	
160. -Perrigo Co PLC shs									
161. -Perrigo Co PLC shs					Buy (add'l)	07/01/15	J		
162. -Perrigo Co PLC shs					Buy (add'l)	11/13/15	J		
163. -Bank of America Corp									
164. -Bank of New York Mellon Corp Com					Buy	11/09/15	J		
165. -Boeing Co com									
166. -CBS Corp CL B Com									
167. -Colgate Palmolive Co									
168. -ConocoPhillips com									
169. -EMC Corp (Mass) Com					Sold (part)	10/12/15	J	A	
170. -EMC Corp (Mass) Com					Sold	10/21/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Ebay Inc Com									
172. -Ebay Inc Com					Sold	08/24/15	J	C	
173. -PayPal Hldgs Inc Com					Spinoff (from line 171)	07/23/15	J		spin off from Ebay
174. -PayPal Hldgs Inc Com					Buy (add'l)	07/23/15	J		
175. -Freeport McMoran Inc CL B					Sold	07/23/15	J		loss
176. -Google Inc Cl A					Buy	08/20/15	J		
177. -Google Inc Cl A					Buy (add'l)	08/21/15	J		
178. -Google Inc Cl A					Merged (with line 179)	10/05/15	J		(old) name change
179. -Alphabet Inc Cap Stk Cl A					Open	10/05/15	J		new name for Google
180. -Honeywell Intl Inc									
181. -ALPS ETF Tr Alerian Mlp Etf					Sold	12/02/15	K		loss
182. -iShares Tr S&P Midcap 400 Value Index Fd									
183. -Jarden Corp Com									
184. -Kinder Morgan Inc Del com					Sold	12/02/15	J		loss
185. -Las Vegas Sands Corp Com					Buy	02/23/15	J		
186. -Lowes Companies Inc					Sold (part)	11/06/15	J	D	
187. -Moody's Corp Inc com									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Herndon, David R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Nielson Holdings N V Shs Com					Merged (with line 189)	08/31/15	J		(old) name change
189. -Nielson Holdings PLC Shs					Open	08/31/15	J		new name
190. -Newell Rubbermaid Inc Com									
191. -Omnicom Group Inc					Sold	06/10/15	J	D	
192. -Thermo Fisher Scientific Inc com									
193. -Verizon Communications Com									
194. -West Pharmaceutical Svcs Inc Com					Buy	04/24/15	J		
195. -West Pharmaceutical Svcs Inc Com					Buy (add'l)	05/01/15	J		
196. -West Pharmaceutical Svcs Inc Com					Buy (add'l)	06/09/15	J		
197. -Starwood Ppty Tr Inc Com									
198. -JPMorgan Chase & Co Alerian MLP Index					Buy	12/02/15	J		
199. -Vanguard Intl Equity Index Fds FTSE Europe ETF									
200. -WisdomTreeTr Emerging Mkts Small Cap AVG									
201. TRUST #4 Pershing Brokerage Account	D	Int./Div.	M	T					trust assets listed below
202. -Dreyfus Treasury Prime Inv Sh MM									
203. -ALPS Etf Tr Alerian MLP Etf									
204. -ALPS Etf Tr Alerian MLP Etf					Buy (add'l)	05/11/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Herndon, David R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -ALPS Etf Tr Alerian MLP Etf					Sold	12/02/15	K		loss
206. -iShares Inc MSCI Japan ETF					Buy	07/01/15	J		
207. -iShares Tr S&P Midcap 400 Value Index									
208. -iShares Tr S&P Midcap 400 Value Index					Buy (add'l)	05/11/15	J		
209. -Powershares QQQ Tr Unit Ser 1					Sold	07/01/15	J	D	
210. -JPMorgan Chase & Co Alerian MLP Index					Buy	12/02/15	J		
211. -SPDR S&P Midcap 400 ETF Tr Unit Ser 1									
212. -Vanguard Index Fds S&P 500 ETF VOO									
213. -Vanguard INTL Equity Index Fds FTSE Europe ETF VGK									
214. -Vanguard INTL Equity Index Fds FTSE Europe ETF VGK					Buy (add'l)	05/11/15	J		
215. -WisdomTree Emerging Mkts Small Cap Avg									
216. -WisdomTree Emerging Mkts Small Cap Avg					Buy (add'l)	05/11/15	J		
217. TRUST #5 Pershing Brokerage Account	C	Int./Div.	M	T					trust assets listed below
218. -Dreyfus Treasury Prime Inv MM									
219. -ALPS Etf Tr Alerian MLP Etf									
220. -ALPS Etf Tr Alerian MLP Etf					Buy (add'l)	05/11/15	J		
221. -ALPS Etf Tr Alerian MLP Etf					Sold	12/02/15	K		loss

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -iShares Inc MSCI Japan ETF					Buy	07/01/15	J		
223. -iShares Tr S&P Midcap 400 Value									
224. -iShares Tr S&P Midcap 400 Value					Buy (add'l)	05/11/15	J		
225. -Powershares QQQ Tr Unit Ser 1					Sold	07/01/15	J	C	
226. -JPMorgan Chase & Co Alerian MLP Index					Buy	12/02/15	K		
227. -SPDR S&P Midcap 400 ETF Tr Unit Ser 1									
228. -SPDR S&P Midcap 400 ETF Tr Unit Ser 1					Buy (add'l)	05/11/15	J		
229. -Vanguard Intl Equity Index Fds FTSE Europe ETF VGK									
230. -Vanguard Intl Equity Index Fds FTSE Europe ETF VGK					Buy (add'l)	05/11/15	J		
231. -Vanguard Index Fds S&P 500 ETF									
232. -WisdomTree Tr Emerging Mkts Small Cap Avg DGS									
233. -WisdomTree Tr Emerging Mkts Small Cap Avg DGS					Buy (add'l)	05/11/15	J		
234. Trust #6 Pershing Brokerage Account	B	Int./Div.	L	T					trust assets listed below
235. -Dreyfus Treasury Prime Inv MM									
236. -ALPS Etf Tr Alerian MLP Etf									
237. -ALPS Etf Tr Alerian MLP Etf					Buy (add'l)	05/11/15	J		
238. -ALPS Etf Tr Alerian MLP Etf					Sold	12/02/15	J		loss

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -iShares Tr S&P MidCap 400 Value									
240. -iShares Tr S&P MidCap 400 Value					Buy (add'l)	05/11/15	J		
241. -PowerShares QQQ Tr Unit Ser 1					Sold	07/01/15	J	B	
242. -iShares Inc MSCI Japan ETF					Buy	07/01/15	J		
243. -JPMorgan Chase & Co Alerian MLP Index					Buy	12/02/15	J		
244. -SPDR S&P MidCap 400 ETF Tr Unit Ser 1									
245. -SPDR S&P MidCap 400 ETF Tr Unit Ser 1					Buy (add'l)	05/11/15	J		
246. -Vanguard Intl Equity Index Fds FTSE Europe ETF									
247. -Vanguard Intl Equity Index Fds FTSE Europe ETF					Buy (add'l)	05/11/15	J		
248. -Vanguard Index Fds S&P 500 ETF									
249. -Vanguard Index Fds S&P 500 ETF					Buy (add'l)	05/11/15	J		
250. -WisdomTree Emerging Mkts Small Cap Avg DGS									
251. -WisdomTree Emerging Mkts Small Cap Avg DGS					Buy (add'l)	05/11/15	J		
252.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Dreyfus Treasury Prime Inv Money Market Account

IRA account summarized on line 29

Trust #1 on line 31

Trust #2 on line 110

Trust #3 on line 152

Trust #4 on line 202

Trust #5 on line 218

Trust #6 on line 235

Lines 29, 31, 110, 152, 202, 218, 235 Dreyfus Treasury Prime Inv Money Market Account was used as a vehicle to hold cash equivalent assets for purchase of other investments and to place sale proceeds pending other investments. Consequently, money moved in and out of these accounts with great frequency and no attempt was made to distinguish each transaction unless specifically requested.

There were several stocks with name changes during the year. Google became Alphabet. Actavis became Allergan. MarkWest became MPLX. No money was exchanged so no gain or loss just a name change. There was also one spinoff during 2015. EBay spun-off PayPal in July.

All trust assets are accounted for herein. According to your instructions, the aggregate income and values were reported on lines 30, 109, 151, 201, 217, 234. Identification of the trusts was omitted for security purposes, but will be provided if requested. Naturally, the records of each individual trust are available for the committee's inspection upon request.

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Name of Person Reporting

Herndon, David R.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David R. Herndon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544