

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wilkinson, III, James H.	2. Court or Organization Fourth US Circuit Court of Appeals	3. Date of Report 5/8/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Circuit Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 255 W. Main Street Room 230 Charlottesville, VA 22902		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1. to date	I will receive no salary from my former employer, the University of Virginia Law School. There will be no continuing participation (contd Part VIII)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2/24/2011	Oxford University Press (Royalty)	\$7,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Constitution Society	June 16-17, 2011	Washington, DC	Panel	Lodging
2. William & Mary School of Law	September 23-24, 2011	Williamsburg, VA	Panel	Lodging/Meals
3. The Federalist Society	November 9, 2011	Washington, DC	Banquet	Meal
4. The Brookings Institute	November 30 - December 1, 2011	Washington, DC	Speaker	Meal
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust #1									
2. Albemarle Corp Common Stock	A	Dividend	K	T					
3. AT&T Common Stock	D	Dividend	M	T					
4. Deere & Co Common Stock	C	Dividend	N	T					
5. Dupont E I De Nemours & Co Common Stock	C	Dividend	M	T					
6. Duke Energy Corp Common Stock	C	Dividend	L	T					
7. General Electric Co Common Stock	C	Dividend	M	T					
8. Hewlett Packard Common Stock	B	Dividend	M	T					
9. Johnson & Johnson Common Stock	B	Dividend	K	T					
10. Kraft Common Stock	A	Dividend	J	T					
11. Lily Ely & Co Common Stock	B	Dividend	K	T					
12. Merck & Co Common Stock	C	Dividend	L	T					
13. Pfizer & Co Common Stock	B	Dividend	K	T					
14. Proctor & Gamble Co Common Stock	D	Dividend	N	T					
15. Providence & Worcester RR Common Stock	A	Dividend	K	T					
16. Royal Dutch Petroleum Shell Co Common Stock	D	Dividend	M	T					
17. Spectra Energy Corp Common Stock	B	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. SunTrust Bank Inc Common Stock	A	Dividend	J	T					
19. Ridgeworth Fd - Large Cap Core Equity		None			Merged (with line 20)	5/2/2011	K		
20. Ridgeworth Fd - Large Cap Core Growth Fund	A	Dividend	K	T					
21. Ridgeworth Fd - Sm Cap Val Equity	C	Dividend	L	T	Buy (add'l)	06/13/11	K		
22. Ridgeworth Fd - Mid-Cap Core Eqty		None			Merged (with line 23)	5/2/11	M		
23. Ridgeworth Fd - MidCap Value Eqty	D	Dividend	M	T					
24. Ridgeworth Fd - Intl Equity	D	Dividend	N	T					
25. Ridgeworth Fd - Va Inter Mun Bond	E	Interest	P1	T					
26. Vanguard Fd- Intl Eqty IDX MSCI Emerg Mkt	A	Dividend	K	T	Buy	6/14/11	K		
27. Federated FD - Va Muni MMKT	A	Interest			Sold	10/17/11	M		
28. Federated FD - TR MMMKT	A	Interest	M	T	Buy	10/17/11	M		
29.									
30. IRA #1									
31. Ridgeworth Fd - Mid Cap Value Equity	A	Dividend	J	T					
32. Ridgeworth Fd - Large Cap Growth		None	K	T					
33. Ridgeworth Fd - Large Cap Value Equity	A	Dividend	K	T	Buy (add'l)	8/1/2011	J		
34. Ridgeworth Fd - Emerging Growth		None	J	T	Buy (add'l)	8/1/2011	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Ridgeworth Fd - Intl 130/30		None			Sold	04/19/11	J	A	
36. Ridgeworth Fd - Intl Equity Index	A	Dividend	J	T	Buy (add'l)	4/19/11	J		
37. Federated Fd - Prime Obligations MMKT	A	Interest			Sold	10/17/11	J		
38. Federated FD - TR MMMKT	A	Interest	J	T	Buy	10/17/11	J		
39.									
40. Trust #2									
41. Albemarle Corp Common Stock	A	Dividend	K	T					
42. AT&T Common Stock	B	Dividend	K	T					
43. Dupont E I De Nemours & Co Common Stock	A	Dividend	K	T					
44. Duke Energy Corp Common Stock	A	Dividend	J	T					
45. Hewlett Packard Common Stock	A	Dividend	K	T					
46. Johnson & Johnson Common Stock	C	Dividend	L	T					
47. Lincoln Natl Corp Common Stock	B	Dividend	L	T					
48. Merck & Co Common Stock	B	Dividend	K	T					
49. Pfizer & Co Common Stock	A	Dividend	K	T					
50. Royal Dutch Petroleum Shell Co Common Stock	B	Dividend	K	T					
51. Spectra Energy Corp Common Stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Ridgeworth Fd -Agressive Growth		None	K	T					
53. Ridgeworth Fd - Va Inter Mun Bond	D	Interest	M	T					
54. Federated FD - Va Muni MMKT	A	Interest			Sold	10/17/11	K		
55. Federated FD - TR MMMKT	A	Interest	K	T	Buy	10/17/11	K		
56.									
57. Deposit Accounts									
58. SunTrust Interest Checking #1	A	Interest	J	T					
59. SunTrust Checking #2		None	J	T					
60. SunTrust Checking #3		None	J	T					
61.									
62. Trust #3									
63. Ridgeworth Fd - Large Cap Core Equity		None			Merged (with line 64)	05/02/11	M		
64. Ridgeworth Fd - Large Cap Core Growth Stock	A	Dividend			Sold	08/01/11	M		
65. Ridgeworth Fd - Small Cap Growth Stock		None	J	T					
66. Ridgeworth Fd - Intl Equity	B	Dividend	J	T					
67. Ridgeworth Fd - Intl 130/30		None			Sold	04/15/11	J		
68. Ridgeworth Fd - Large Cap Growth Stock		None	M	T	Buy (add'l)	08/01/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69.					Buy (add'l)	8/24/11	K		
70. Ridgeworth Fd - Agressive Growth Stock		None			Sold	04/15/11	K	C	
71. Ridgeworth Fd - Emerging Growth Stock		None			Sold	04/15/11	K	C	
72. Ridgeworth Fd - Large Cap Value Equity	B	Dividend	M	T	Buy (add'l)	08/01/11	M		
73.					Buy (add'l)	8/24/11	K		
74. Ridgeworth Fd - Mid Cap Value Equity	B	Dividend	K	T					
75. Ridgeworth Fd - Va Inter Mun Bond	D	Interest	N	T	Buy (add'l)	4/15/11	L		
76.					Sold (part)	8/24/11	L	B	
77. Federated FD - Va Muni MMKT	A	Interest			Sold	10/17/11	K		
78. Federated FD - TR MMMKT	A	Interest	K	T	Buy	10/17/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II: Agreements.

RE: I will rec, contd.... in any benefit plan provided by the University, although past pension benefits are vested. My mortgage was provided at a favorable rate available to faculty members and had to be refinanced within 6 months of leaving the University. The mortgage was paid in full on 02/09/1985.

Trust #1

My Revocable Trust

IRA

My current IRA -

Account is invested entirely in SunTrust Bank mutual funds, named Ridgeworth Funds.

Trust #2

██████████ Revocable Trust

Trust #3

Irrevocable GST Trust for me (██████████)

This trust was created from ██████████ Trust (██████████) Revocable Trust became Irrevocable Interim Trust - after ██████████) which was split into two shares, after IRS approval was received, for ██████████ me. My share later became this trust. When it was initially funded, it only held cash, but it has now been fully invested. The overall asset allocation now includes various asset classes represented by a diverse group of SunTrust Bank mutual funds (Ridgeworth Funds) and Federated money market funds.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James H. Wilkinson, III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544