

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Donohue, James P.	2. Court or Organization United States District Court, Western District of Washington	3. Date of Report 09/05/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge (Full-time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address United States Courthouse 700 Stewart Street Seattle, WA 98101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.	Board of Directors	Special Olympics of Washington
3.	Board of Directors	Federal Magistrate Judges Association
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. monthly

Group Health Cooperative monthly pension through the entire year

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED1. Federal Magistrate Judges
Association

Feb 28-March 2

Washington D.C.

Board Meeting

Lodging and food

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Card	J
2.	US Bank	Line of Credit	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. WELLS FARGO COMPASS ETF	E	Dividend	M	T	Sold (part)	07/28/11	O		
2. WELLS FARGO CASH ACCOUNT	A	Interest	J	T	Buy	07/28/11	O		
3. WELLS FARGO CASH ACCOUNT	A	Interest			Closed	11/18/11	O	A	
4. EATON VANCE NAT'L LTD MAT MUNI INCM C - EZNAX	B	Distribution	K	T					
5. EATON VANCE NAT'L LTD MAT MUNI INCM B - ELNAX		None	J	T					
6. AMERICAN FUNDS TAX EXEMPT BONDS C - TECBX	B	Distribution	K	T					
7. WELLS FARGO ADV MUNI BD C - WMFCX	B	Distribution	J	T					
8. CAPITAL INCOME BUILDER B - CIBBX	A	Distribution	J	T					
9. FIDELITY ADV EQUITY INC FD - FEIRX	A	Distribution	J	T					
10. PRUDENTIAL INVT PORT INC ASSET ALLOC B - PBFBX	A	Distribution	J	T					
11. PRUDENTIAL ASSET ALLOC A - PIBAX	A	Distribution	J	T					
12. WELLS FARGO ASSET ALLOC B - EABFX	A	Distribution	K	T					
13. FIDELITY VIP EQUITY-INC. PORT		None	K	T					
14. FIDELITY VIP GROWTH PORT 1		None	K	T					
15. ING T-ROWE PR. GR. EQ PORT		None	J	T					
16. ING SMALL CO PORT		None	K	T					
17. ING INDEX PLUS MID CAP PORT		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. ING T-ROWE PRC DIVRSD MD CAP		None	J	T					
19. VALIC		None	J	T					
20. WACHOVIA BANK SWEEP	A	Interest	J	T					
21. OPPENHEIMER FDS ROCHESTER NAT MUN CL C (symbol ORNCX)	A	Dividend			Sold	1/04/11	J	A	
22. FIRST EAGLE SOGEN GOLBAL FD, CL C	A	Dividend	J	T	Buy	1/04/11	J		
23. T-Rowe Pr. 2015 Ret Fnd.		None	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 1 and 2: The individual funds in the Wells Fargo Compass ETF program were the subject of the vast majority of the financial interests reported on prior Financial Disclosure Reports. In a phone call to the FDR office of the AO this year, I was advised that the individual funds and the trading action on those individual funds that comprise the ETF program did not have to be individually tracked and reported. Hence, the report is substantially smaller than the past. The Compass ETF otherwise covers the investments reported in lines 1-69, and 86-140.

Part VII, line 4. On the effective date of closure, the funds were rolled over into my TSP account. It is my understanding that TSP account transactions do not need to be reported.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James P. Donohue**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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