

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Volpe, Joseph J.	2. Court or Organization District Court -Eastern Arkansas	3. Date of Report 5/4/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 500 West Capitol Avenue Little Rock, Arkansas 72201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Vice President	Judge Henry Woods Inn of Court
2. Treasurer	Douglas MacArthur West Point Society
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Webster University, Adjunct Faculty	\$14,499.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	self-employed/ residential real estate rentals
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase	Mortgage on rental property #2 Little Rock, AR (Part VII Line 2)	L
2.	Chase	Mortgage on rental property #3 Little Rock, AR (Part VII Line 3)	L
3.	Chase	Mortgage on rental property #4 Little Rock, AR (Part VII Line 4)	M
4.	JB Nutter Company	Mortgage on rental property #5 Little Rock, AR (Part VII Line 5)	M
5.	Chase	Mortgage on rental property #8 Little Rock, AR (Part VII Line 8)	M

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property #1, Little Rock, AR	E	Rent	M	W					
2. Rental Property #2, Little Rock, AR	D	Rent	M	W					
3. Rental Property #3, Little Rock, AR	E	Rent	M	W					
4. Rental Property #4, Little Rock, AR	E	Rent	M	W					
5. Rental Property #5, Little Rock, AR	E	Rent	M	W					
6. Rental Property #6, North Little Rock, AR	E	Rent	M	W					
7. Rental Property #7, New Albany IN	C	Rent			Sold	05/01/16	L	F	Pam Kraft
8. Rental Property #8, Little Rock, AR	D	Rent	M	W					
9. Rental Property #9, North Little Rock, AR	D	Rent	M	W					
10. Rental Property #10, North Little Rock, AR	D	Rent	L	W	Buy	06/30/16	L		Thomas Steley
11. Rental Property #11, Little Rock, AR	D	Rent	M	W	Buy	05/31/16	M		VA Foreclosure
12. Exxon Mobile Common Stock	B	Dividend	L	T	Sold (part)	06/08/16	K	D	
13. AT&T Common Stock	C	Dividend	L	T					
14. Home Depot Common Stock	A	Dividend	J	T					
15. Target Group Common Stock	A	Dividend	K	T					
16. Walgreen Co. Common Stock	A	Dividend	K	T					
17. American Funds New Perspective FD Inc Class B	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Willbros Group Inc.	A	Dividend	J	T					
19. American Funds Capital World Growth & Income Class B	A	Dividend	J	T					
20. Europacific Growth Fund Class C (AEPCX)	A	Dividend	J	T					
21. Diversified Investment Advs. (AR Children's Hosp 403(b)plan	A	Dividend	L	T					
22. McDonald's Corp Common Stock	B	Dividend	L	T					
23. Chevron Texaco Common Stock	B	Dividend	L	T					
24. Bank of Little Rock (checking/savings)	A	Interest	J	T					
25. Iberia Bank (checking/savings)	A	Interest	J	T					
26. PNCBank Corp.	C	Int./Div.	M	T					
27. Wal-Mart Inc.	C	Int./Div.	L	T					
28. Anchorage AK Wastewtr Bond	A	Int./Div.	K	T					
29. Baldwin Cnty Ala Bond	A	Int./Div.			Redeemed	01/04/16	K	A	
30. Broward Cty FL Bond	A	Int./Div.	J	T					
31. Clark Cnty NV Bond	A	Int./Div.	J	T					
32. Eastern Mun Wtr Dist CA Bond	A	Int./Div.			Redeemed	07/01/16	K	A	
33. Humble Tex Indpt Sch Dist Bond	A	Int./Div.			Redeemed	02/02/16	K	A	
34. IL Fin Auth Rev Bond	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Indiana Trail Impt Dist FL Bond	A	Int./Div.	J	T					
36. Indianapolis In Loc Pub Rev Bond	A	Int./Div.	K	T					
37. Kasson & Mantorville MN Bond	A	Int./Div.			Redeemed	02/01/16	J	A	
38. Nebraska Pub Power Dist Bond	A	Int./Div.			Redeemed	01/04/16	K	A	
39. Philadelphia PA WTR and Waste Bond	A	Int./Div.	J	T					
40. Pima Cnty AZ Indl DEV Bond	A	Int./Div.	J	T					
41. Tucson, AZ Water Bond	A	Int./Div.			Redeemed	07/01/16	K	A	
42. Tucson AZ Cert of Part Bond	A	Int./Div.	J	T					
43. BRK B	A	Int./Div.	L	T					
44. Brown Forman Corp Cl B	A	Int./Div.	L	T					
45. Colgate Palmolive	A	Int./Div.	K	T					
46. Dominion Resources	A	Int./Div.	J	T					
47. Energizer Holdings Inc	A	Int./Div.	K	T					
48. First Eagle Global Fund Cl C	A	Int./Div.	J	T					
49. Frontier Communications Corp	A	Int./Div.	J	T					
50. NBIX	A	Int./Div.			Sold	03/25/16	J	A	
51. Northwest Mutual Nat. Gas	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Philip Morris Intl Inc.	A	Int./Div.	K	T					
53. Piedmont Nat Gas Inc.	A	Int./Div.			Sold	10/04/16	K	E	
54. Verizon	A	Int./Div.	K	T					
55. WPX	A	Int./Div.	J	T					
56. Zimmer Holdings	B	Int./Div.	J	T					
57. CWGBX	A	Int./Div.	J	T					
58. NPF BX	A	Int./Div.	J	T					
59. Gilead Sciences Inc (GILD)	A	Int./Div.	K	T					
60. Qualcomm Inc (QCOM)	A	Int./Div.	J	T					
61. Select Sector SPDR Trust	A	Int./Div.	K	T					
62. BHP Billiton	A	Int./Div.			Sold	03/24/16	K	A	
63. Apache Corp	A	Int./Div.			Sold	03/24/16	K	A	
64. Proctor & Gamble (P&G)	A	Dividend	J	T					
65. Edgewell Personal Care	A	Dividend	K	T					
66. Apple	A	Dividend	K	T	Buy	10/06/16	K		
67. Amgen	A	Dividend	K	T	Buy	03/24/16	K		
68. Duke Energy	A	Dividend	K	T	Buy	10/06/16	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The stocks listed in lines 50, 53, and 56 were listed twice and merged into one line item per stock.

The stocks listed in items 59, 60, and 64 were identified by their ticker symbol in 2015. I have listed them by their common names but have also added the ticker symbols in parenthesis.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joseph J. Volpe**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544