

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Watkins, William K.	2. Court or Organization U.S. District Court, M.D. AL	3. Date of Report 08/04/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Courthouse 1 Church Street, E300 Montgomery, AL 36104		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Manager/Sole Member	Rosewood Martin, LLC
2.	Director, Shareholder, Officer	Powermaster, Inc.
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Spouse's Neurological Care Center of Montgomery Salary reported on Form W-2
2. 2015	Spouse's W-2 wages from Neurology and Neurodiagnostics of Alabama
3. 2015	Spouse's Non-employee compensation received from SCSHealthcare Marketing, LLC and reported on form 1099
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Troy Bank & Trust Company	Turkey Mountain Real Estate Loan	K
2.	Troy Bank & Trust Company	Line of Credit	L
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Pike Co. AL Farm #1		None	O	W					
2. Farm (1/4 interest), Coffee County, AL		None	L	W					
3. North Carolina, Jackson Co. Lot 1 (Appraisal 7/23/04)		None	M	W					
4. North Carolina, Jackson Co. Lot 2 *See VIII		None	K	W					
5. North Carolina Land, Jackson Co. (Appraisal 8/8/02)		None	N	W					
6. Barn Property Troy, AL		None	K	W					
7. Pike Co. AL Farm #3		None	L	W					
8. Pike Co. AL Farm #4 - Mineral		None	J	W					
9. Pike Co. AL Farm #5 - Mineral		None	J	W					
10. Pike Co. AL Farm #6 (19% interest) (Known as Turkey Mountain LLC)	C	Distribution	K	W					
11. Pike Co. AL Farm #6 (19% interest) Mineral		None	J	W					
12. WE, LLC (50% interest)		None	J	W					
13. Powermaster, Inc. (1/3 interest)		None	K	W					
14. E. D. Moseley Note		None			Expired	10/06/15	J		E. D. Mosely died 10/6/15
15. Wells Fargo Bank (formerly Wachovia Bank) - Dimensions Acct.	A	Interest	J	T					
16. Principal: Variable Universal Life Policy	A	Int./Div.	J	T					
17. Principal #302 WKW 318 Variable Universal Life	A	Int./Div.	J	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Northwestern Mutual TM 010 Extraordinary Life	A	Int./Div.	J	T					
19. LPL Financial IRA Account #2 Brokerage Cash	A	Int./Div.	J	T					
20. American Funds - Capital Income Builder Fund Class F CIBFX IRA #2	D	Dividend	M	T					
21. American Funds INVESTMENT COMPANY OF AMERICA CL C AICFX - IRA #2	A	Dividend	J	T					
22. INVESTMENT COMPANY OF AMERICA CL F1 AICCX -IRA #2	A	Dividend	J	T					
23. LPL Financial IRA Account #1	G	Distribution	M	T					
24. LPL Financial IRA Account #1 Brokerage Cash	C	Int./Div.	K	T					
25. Arbitrage Funds: Arbitrage Event-Driven Fund; Class I IRA #1	A	Dividend			Sold (part)	03/17/15	J	A	
26. Arbitrage Funds: Arbitrage Event-Driven Fund; Class I IRA #1	A	Dividend			Sold	09/02/15	J	A	
27. ALGER CAP APPREC FUND IRA #1	A	Dividend	J	T	Sold (part)	03/17/15	J	A	
28. ALGER CAP APPREC FUND IRA #1	A	Dividend	J	T	Sold (part)	12/03/15	J	A	
29. BLACKROCK HIGH YIELD BOND FUND IRA #1	B	Dividend	J	T	Sold (part)	03/17/15	J	A	
30. BLACKROCK HIGH YIELD BOND FUND IRA #1	A	Dividend	J	T	Sold (part)	12/03/15	J	A	
31. DOUBLELINE TOTAL RETURN BOND FUND IRA #1	B	Dividend	K	T	Sold (part)	03/17/15	K	A	
32. DOUBLELINE TOTAL RETURN BOND FUND IRA #1	B	Dividend	K	T	Sold (part)	12/03/15	J	A	
33. JOHN HANCOCL DISCIPLINED VAL FD IRA #1	A	Dividend	J	T	Sold (part)	03/17/15	K	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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34. JOHN HANCOCK DISCIPLINED VAL FD IRA #1	A	Dividend	J	T	Sold (part)	12/03/15	J	A	
35. LOOMIS SAYLES INVESTMENT GRADE BOND FUND IRA #1	B	Dividend	J	T	Sold (part)	03/17/15	K	A	
36. LOOMIS SAYLES INVESTMENT GRADE BOND FUND IRA #1	B	Dividend			Sold	12/03/15	K	A	
37. MFS RESEARCH FUND CLASS I IRA #1	A	Dividend	J	T	Sold (part)	03/17/15	J	A	
38. MFS RESEARCH FUND CLASS I IRA #1	A	Dividend	J	T	Sold (part)	12/03/15	J	A	
39. JOHN HANCOCK DISCIPLINED VAL MID CAP CL I IRA #1	A	Dividend			Buy	03/17/15	J		
40. JOHN HANCOCK DISCIPLINED VAL MID CAP CL I IRA #1	A	Dividend			Sold	12/03/15	J	A	
41. PUTNAM SMALL CAP VALUE CL Y IRA #1	A	Dividend			Buy	03/17/15	J		
42. PUTNAM SMALL CAP VALUE CL Y IRA #1	A	Dividend			Sold	12/03/15	J	A	
43. JPMORGAN CORE BOND SELECT IRA #1	A	Dividend			Buy	03/17/15	K		
44. JPMORGAN CORE BOND SELECT IRA #1	A	Dividend	J	T	Sold (part)	12/03/15	J	A	
45. MERGER FUND INVESTOR CL IRA #1	A	Dividend	J	T	Buy	09/02/15	J		
46. MERGER FUND INVESTOR CL IRA #1	A	Dividend	J	T	Sold (part)	12/03/15	J	A	
47. RIDGEWORTH SEIC FLOATING RATE HIGH INCOME CL IRA #1	A	Dividend	J	T	Buy	12/03/15	J		
48. FRANKLIN CONVERTIBLE SECURITIES ADVISOR CL IRA #1	A	Dividend	J	T	Buy	12/04/15	J		
49. T ROWE PRICE SUMMIT MUNI INC FUND IRA #1	A	Dividend	J	T	Sold (part)	03/17/15	J	A	
50. Troy Bank & Trust Company	A	Interest	J	T					

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51. Residential rental real property, Pike Road, Montgomery Co., Alabama	D	Rent	N	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 17 - 22 reflect the individual holdings in IRA account #2

Line 23 is the total value of IRA # 1 and shows IRA distributions from the account during 2015.

Lines 24 - 49 shows the transactions and values of the individual investments in IRA Account #1

Line 51: This item is the former personal residence converted to residential real property rental upon moving to new personal residence

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William K. Watkins**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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