

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FELDMAN, MARTIN L.C.	2. Court or Organization EASTERN DISTRICT OF LOUISIANA	3. Date of Report 08/13/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active U S DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 500 POYDRAS STREET, Rm. 555 NEW ORLEANS, LA 70130-3313		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 1/15/2016	Rollover IRA Distribution	\$560.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. The Witherspoon Institute	10/16-17/2016	Princeton, NJ	Conference at Princeton University	Lodging and meals.
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Home Life Ins. Co. Whole Life Insurance Policy	A	Dividend	K	T					
2. FHLMC CMO SER 1505-CL Q	A	Interest	J	T					
3. Senior Housing Properties 0403	A	Dividend	J	T					
4. NexPoint Credit Strategies	A	Dividend	J	T	Buy (add'l)	10/19/16	J		
5. Equity Commonwealth Com. Sh Ben.Int.	A	Int./Div.	K	T					
6. GNMA #1924 JUMBO	A	Interest	J	T					
7. Ocean Energy Notes	B	Interest			Sold	08/11/16	K	B	
8. Virtus Total Return Fd	A	Dividend	J	T					
9. FNMA CMO SER 1990-78	A	Interest			Sold	02/25/16	J	A	
10. FNMA CMO SER 1992-195	A	Interest	J	T					
11. AB International Value Fd. Cl A Alliance Bernstein, #0436 Y	A	Dividend	J	T					
12. FNMA #1990-78 CL-J, 0403	B	Interest			Sold	02/25/16	J	A	
13. Citigroup	A	Dividend	J	T					
14. GNMA #2005-5 ea	A	Interest	J	T					
15. Blackrock FLTG Rate Inc. Strategies Fd II	A	Dividend	J	T					
16. Blackrock Ltd Duration Income Trust	B	Dividend	K	T					
17. Shoretel, Inc.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Archer, Ltd	A	Dividend	J	T					
19. Bank of America	A	Dividend	J	T					
20. RAIT Financial Trust - 0403	A	Int./Div.	J	T					
21. Chase Bank	A	Interest	K	T					
22. Eaton Vance Ltd. Duration Income Fund	B	Dividend	K	T					
23. FNMA1993-82H, 0403	A	Interest	J	T					
24. Proshares Ultra Financials-IRA	A	Distribution	J	T					
25. Healthways, Inc.-IRA	A	Dividend	J	T					
26. Energy Transfer Equity	A	Distribution	J	T	Sold (part)	01/06/16	J	A	
27.					Buy (add'l)	01/13/16	J		
28.					Sold (part)	05/02/16	J	A	
29.					Sold	02/03/16	J	D	
30.					Buy (add'l)	11/16/16	J		
31. Triangle Capital Corporation	B	Dividend			Sold	05/05/16	K	D	
32.			J	T	Buy (add'l)	08/31/16	J		
33. Blackrock Enhanced Equity Trust	A	Dividend	J	T					
34. Five Star Quality Care	A	Dividend	J	T					

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35. Quicksilver Resources 0403	A	Dividend	J	T					
36. Frontier Communications	A	Dividend	J	T					
37. Suburban Propane Partnership LP	A	Distribution	J	T					
38. FHLMC CMO Series 3699 LA	B	Interest	K	T					
39. Eaton Vance Insured Municipal Bond Fund	A	Dividend	J	T					
40. FHLMC CMO Series 3772 NA	A	Interest	J	T					
41. Medical Properties Trust Inc. 0403	B	Dividend			Sold	01/12/16	J	B	
42. GNMA CMO SERIES 2011-21 BA	A	Interest			Sold	02/11/16	J	A	
43. Fidus Investment Corporation	A	Dividend	J	T	Buy (add'l)	11/29/16	J		
44.									
45. Nuveen Preferred Income	A	Dividend			Sold	03/11/16	J	A	
46. Aegon NV 8% Note	A	Interest	J	T					
47. FNMA CMO Series 2012-120QA	A	Interest	J	T					
48. FNMA CMO 2012-152 TA 0403	A	Interest	J	T					
49. Spyglass Res Corporation#0403	A	Dividend	J	T					
50. Eagle Class-JPMorgan Prime MM 0403	A	Int./Div.	J	T					
51. Raymond James Bank Deposit Program, IRA	A	Dividend	J	T					

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52. Fifth Street Finance	A	Dividend	J	T					
53. Blackstone Group LP	A	Distribution	J	T	Buy (add'l)	01/14/16	J		
54.					Sold (part)	01/20/16	J	A	
55. GNMA Remic Trust 3.5% 2013-104	B	Interest	J	T					
56. Sabra Healthcare REIT	A	Dividend			Sold	01/05/16	J	A	
57. Eagle Class-JPMorgan Prime Money Market	A	Dividend	J	T					
58. Crestwood Equity Partners LP	A	Distribution	J	T					
59. Fannie Mae 8.25% Pfd. #0403	A	Int./Div.	J	T					
60. KKR & Company LP	A	Distribution	J	T					
61. Memorial Production Partners LP	A	Distribution	J	T					
62. Alcentra CAP Corp.	A	Dividend	J	T	Buy (add'l)	09/15/16	J		
63. GNMA Remic Trust 2013-131 KQ 3.5%	A	Interest	J	T					
64. FNMA Remic Trust 2014-49 PU 3.0%	A	Interest			Sold	12/27/16	J	A	
65. Brookfield Real Assets Income Fund Inc. (X)	A	Dividend	J	T	Sold (part)	12/14/16	J	A	
66. Blackrock Muni Income TR II	A	Int./Div.	J	T					
67. Stellus Capital Invst. Corporation	A	Dividend			Sold (part)	08/11/16	J	A	
68.					Sold	09/15/16	J	A	

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69. HCP Inc. REIT	A	Dividend	J	T	Buy (add'l)	05/04/16	J		
70.					Sold (part)	08/09/16	J	A	
71. Alcentra Cap. Corporation #0403	B	Dividend	J	T					
72. Eagle Class-JPMorgan Prime MM #0436	A	Dividend	J	T					
73. Two HBRS Inv. Corporation REIT #0403	A	Dividend	K	T					
74. Sandridge Permian Tr. Com. Unit Ben. Int.#0403	A	Distribution	J	T					
75. Barings Global Short Duration old is Babson Cap Glb. DURHGH Yd. #0403	A	Dividend	K	T					
76. Huntington Bancshares Inc.	A	Dividend	J	T					
77. Carlyle Group LP	A	Distribution	J	T	Buy (add'l)	12/09/16	J		
78. Oneok Partners LP	A	Distribution			Sold (part)	06/08/16	J	A	
79.					Sold	07/07/16	J	A	
80. Manulife Financial Corporation	A	Dividend			Buy (add'l)	07/12/16	J		
81.					Sold	10/28/16	J	A	
82. Cohen & Steers Quality Rlty Fd	A	Dividend	J	T	Buy (add'l)	03/11/16	J		
83.					Sold (part)	07/27/16	J	A	
84. GNMA Remic Trust 2015-144 KQ	A	Interest	J	T					
85. Merck & Company Inc.	A	Dividend			Sold	10/11/16	K	B	

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2. Value Codes	J ~\$15,000 or less (See Columns C1 and D3)	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000 (See Column C2)	R ~Cost (Real Estate Only) V ~Other	P4 ~More than \$50,000,000 S ~Assessment W ~Estimated	T ~Cash Market	
	Q ~Appraisal U ~Book Value				

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86. Nexpoint Residential Tr. Inc.	A	Dividend	J	T	Sold (part)	01/20/16	J	A	
87. Verizon Communications	A	Dividend			Buy (add'l)	01/05/16	J		
88.					Sold (part)	02/25/16	J	A	
89.					Sold	04/07/16	J	A	
90. Fortress Investment Group #0403	A	Dividend	J	T					
91. Fortress Trans Infr. INvs LLC#0403	A	Distribution			Sold	12/02/16	J	A	
92. Carlyle Group LP #0403	A	Dividend	J	T					
93. Swift Transn Company Cl A #0403	A	Dividend			Sold	01/06/16	J	A	
94. GNMA 3.0% due 12/20/2045	A	Interest			Sold	12/20/16	J	A	
95. FHLMC Remic Ser. 4419 PD 3%	A	Interest	J	T					
96. Lazard Limited SHS A	A	Dividend	J	T					
97. SLM Corporation #0436	A	Dividend			Buy	09/30/16	J		
98.					Sold	12/14/16	J	A	
99. Microsoft Corporation	A	Dividend	J	T	Buy	01/06/16	J		
100. Main Street Capital Corporation	A	Dividend			Buy	02/16/16	J		
101.					Sold	03/18/16	J	A	
102. Sunoco Logistics Ptrs. LP	A	Distribution	K	T	Buy	07/20/16	J		

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103.					Buy (add'l)	12/02/16	J		
104. Columbia Pipeline Partners LP	A	Distribution			Buy	04/19/16	J		
105.					Sold	06/16/16	J	A	
106. TPG Specialty Lending Inc. #0403	A	Dividend	J	T	Buy	09/15/16	J		
107. Western Asset High Inc. Opp. Fd.	A	Dividend	J	T	Buy	09/23/16	J		
108. American Eqty. Invst. Life Hold co.	A	Dividend			Buy	11/04/16	J		
109.					Sold	11/10/16	J	A	
110. Verizon Communications Inc.	A	Dividend			Buy (add'l)	11/11/16	J		
111.					Sold	12/20/16	J	A	
112. FHLMC REMIC Series 4116 GA 2.5%	B	Interest	J	T	Buy	01/22/16	J		
113. Metlife Inc.	A	Dividend			Buy	03/01/16	J		
114.					Sold	04/21/16	J	A	
115. Talmer Bancorp Inc.	A	Dividend			Buy	03/07/16	J		
116.					Sold	05/04/16	J	A	
117. Pharmacia Corp.	A	Dividend			Buy	04/21/16	J		
118.					Sold	05/19/16	J	A	
119. Steris PLC	A	Dividend			Buy	09/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 ~\$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 ~More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold	11/03/16	J	A	
121. Genesis Energy LP	A	Distribution			Buy	05/06/16	J		
122.					Sold	11/22/16	J	A	
123. First TR High Income L/S Fd.	A	Dividend	J	T	Buy	05/11/16	J		
124. Monroe Cap. Corporation	A	Dividend	J	T	Buy	07/27/16	J		
125. Prudential SHT Duration High Yld. Fd.	B	Dividend	K	T	Buy	06/09/16	J		
126.			J		Buy (add'l)	11/02/16	J		
127. TPG Specialty Lending Inc.	A	Dividend	J	T	Buy	06/21/16	J		
128. TCP CAP Corporation	A	Dividend	K	T	Buy	08/09/16	J		
129.					Buy (add'l)	08/11/16	J		
130. Quality Care Properties Inc. REIT	A	Dividend	J	T	Buy	11/01/16	J		
131. MPLX LP	A	Distribution	J	T	Buy	10/31/16	J		
132. MedEquities Realty Tr. Inc. REIT	A	Dividend	J	T	Buy	10/03/16	J		
133. Weyerhaeuser Company REIT	A	Dividend	J	T	Buy	11/09/16	J		
134. Nokia Corporation ADR	A	Dividend	J	T	Buy	12/13/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

LINE 11. - Name change.

LINE 65 - NAME CHANGE

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ MARTIN L.C. FELDMAN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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