

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) STEVE, AMY J.	2. Court or Organization District Court	3. Date of Report 02/11/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) USDCJ - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 219 South Dearborn Street Room 1260 Chicago, Illinois 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor	Northwestern Law School
2. Board Member	Federal Bar Association -- Chicago Chapter
3. Board Member	DePaul University College of Law -- Intellectual Property Law and Information Technology Board
4. Member	Public Safety Commissioner
5. Board Member	Posse Foundation Chicago
6. Board Member	Loyola Academy

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

STEVE, AMY J.

Date of Report

02/11/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Northwestern Law School (teaching)	\$2,000.00
2. 2013	Thompson Reuters	\$1,805.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Northwestern Medical Faculty Foundation
2. 2013	Interventional Consultants, LLC
3. 2013	U.S. Government - Department of Veteran's Affairs
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

STEVE, AMY J.

Date of Report

02/11/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

STEVE, AMY J.

Date of Report

02/11/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Ginnie Mae Mutual Fund	A	Dividend	K	T					
2. Fidelity Magellan Mutual Fund (monthly purchase of \$250)	A	Dividend	K	T					
3. Fidelity Low Priced Stock Mutual Fd. & monthly purchase \$250	C	Distribution			Sold	03/23/13	M	D	
4. Fidelity Municipal Money Market Account	A	Interest	K	T					
5. Chase (Accounts)	C	Interest	N	T					
6. Abbott Laboratories Common Stock		None	J	T					
7. Fidelity Contrafund (Monthly purchase of \$250)	B	Distribution	L	T					
8. EMC Corp. Common Stock		None	J	T					
9. Van Kampen Equity Trust Small Cap Growth Fund		None	J	T					
10. ING International Value Fund	B	Dividend	K	T					
11. Neuberger Berman Genesis Fund	A	Dividend	K	T					
12. ING Small Company Fund A	A	Dividend	K	T					
13. Lord Abbett Affiliated Fund A	A	Dividend	J	T					
14. McDonalds Corp. CS	A	Dividend			Sold	01/09/13	J	D	
15. Stiefel Nicholas Money Market	B	Dividend	L	T					
16. Illinois Municipal Bonds	A	Interest	K	T					
17. Fidelity Strategic Dividend/Income	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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STEVE, AMY J.

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02/11/2015

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. 529 Unique College Investing Plan (age based) See Note 1	D	Interest	N	T					
19. General Electric Company	A	Dividend	K	T					
20. Fidelity Select Medical Delivery (monthly \$250)	B	Dividend	K	T					
21. Entertainment PPTYS Trust Ser V (see note)	A	Dividend	J	T					
22. Illinois State Tax Free Bonds	A	Interest	K	T					
23. Illinois State College Bonds	A	Interest	J	T					
24. Fidelity Balanced	A	Dividend	J	T					
25. Fidelity Latin America	B	Dividend	J	T					
26. Fidelity Blue Chip Value Fund (See Note 2 in Part VIII)	B	Dividend			Sold	03/23/13	L	C	
27. Fidelity Total Bond Fund (See Note 2 in Part VIII)	B	Dividend	M	T	Buy (add'l)	03/23/13	L		
28. Fidelity International Discovery Fd (See Note 2 in Part VII)		None			Sold	03/23/13	K	C	
29. Fidelity Large Cap Value Fund (See Note 2 in Part VIII)	A	Dividend			Sold	03/23/13	L	D	
30. Fidelity Mid Cap Growth Fund (See Note 2 in Part VIII)	B	Dividend			Sold	03/23/13	J	C	
31. Fidelity Mid Cap Value Fund (See Note 2 in Part VIII)	B	Dividend			Sold	03/20/13	K	C	
32. Fidelity Small Cap Growth Fund (See Note 2 in Part VIII)	C	Dividend			Sold	03/20/13	K	B	
33. Fidelity Small Cap Value Fund (See Note 2 in Part VIII)	C	Dividend	L	T					
34. Federated Strategic Mutual Value Fund CLC		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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35. Fidelity Select Materials Mutual Fund	A	Dividend	J	T					
36. Fidelity New Markets Income Mutual Fund	A	Dividend	J	T					
37. Monsanto		None	K	T					
38. Fidelity Gov't Income (See Note 2 in Part VIII)	A	Dividend			Sold	03/13/13	K	C	
39. Fidelity Int'l Discovery (See Note 2 in Part VIII)	B	Distribution			Sold	03/20/13	K	B	
40. Eaton Vance Municipal Fund		None			Sold	06/14/13	J		
41. Exact Target		None			Sold (part)	06/05/13	J	C	
42.		None			Sold	06/14/13	J	C	
43. Haliburton		None	J	T					
44. Manchester United		None	J	T					
45. Apple		None			Sold	02/21/13	K	A	
46. Corning		None	J	T	Buy	06/05/13	J		
47. PIF Gov. : HQ Bond I		None			Buy	03/23/13	L		
48.					Sold	07/29/13	L	C	
49. American Century Ultra IS Fund (see note 2 in Part VIII)	D	Dividend	M	T	Buy	03/13/13	M		
50. Fidelity Growth Co. K Fund (see note 2 in Part VIII)	D	Dividend	M	T	Buy	03/13/13	L		
51. HTFD Int'l Opps Y Fund (see note 2 in Part VIII)	C	Dividend	L	T	Buy	03/20/13	K		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

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STEVE, AMY J.

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52. Janus Truton Fund (See note 2 in Part VIII)	B	Dividend	K	T	Buy	03/20/13	K		
53. JPM Midcap Value IS Fund (see note 2 in Part VIII)	C	Dividend	M	T	Buy	07/29/13	M		
54. PIF Midcap Fund I (see note 2 in Part VIII)	B	Dividend			Buy	03/20/13	M		
55.					Sold	07/29/13	L	C	
56. NYL Guar Int. Accocent Fund (see note 2 in Part VIII)	B	Interest	K	T	Buy	03/20/13	K		
57. EIM Mutual Fund Closed End	A	Interest	J	T	Buy	06/14/13	J		
58. Indesco American Franchise Mutual Fund	A	Dividend	J	T	Buy	03/21/13	J		
59. Express Scripts		None	J	T	Buy	03/21/13	J		
60. Facebook	A	Dividend	K	T	Buy	02/21/13	J		
61. Freeport Mc Moran		None	J	T	Buy	11/21/13	J		
62. Potbellys		None	J	T	Buy	11/21/13	J		
63. Timken		None	J	T	Buy	12/24/13	J		
64. First Trust Financial Series 41 Unit Trust	A	Int./Div.	J	T	Buy	01/30/13	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

STEVE, AMY J.

Date of Report

02/11/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Notes

1. We made monthly contributions [REDACTED] to these Fidelity 529 Education Plans. We contributed a total of \$3,000 monthly in 2013.
2. [REDACTED] employer makes automatic monthly contributions to these funds as part of [REDACTED] retirement plan.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

STEVE, AMY J.

Date of Report

02/11/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ AMY J. STEVE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544