

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Freeman, Debra C.	2. Court or Organization U.S. District Court	3. Date of Report 11/03/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Courthouse 500 Pearl Street Room 1660 New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Federal Direct Loan Program (PLUS Loan)	Student loan	J
2.	Northwestern University	Student loan	J
3.	Federal Direct Loan Program (unsubsidized)	Student loan	K
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Chase Bank account	A	Interest	J	T					
2. Chase Bank [REDACTED] operating account)	A	Interest	K	T					
3. Citibank Account	A	Interest	J	T					
4. Fidelity New York Tax Free Money Market	A	Dividend	K	T					
5. Fidelity Spartan US Treasury	A	Dividend	M	T					
6. Fidelity US Government Reserves, IRA	A	Dividend	J	T					
7. Fidelity Spartan Market Index	B	Dividend	L	T					
8. Fidelity Cash Reserves (rollover IRA)	A	Dividend	K	T					
9. Vanguard New York Tax Free Money Market	A	Dividend	J	T					
10. Treasury Direct Account 1 (various Treasury bills)	A	Interest	K	T					
11. Treasury Direct Account 2 (various Treasury bills)	A	Interest			Redeemed	12/27/13	K		
12. Morley Stable Value Fund (IRA)		None	N	T					
13. Schwab Institutional Large Cap Value Trust (IRA)		None	L	T					
14. Janus Triton (IRA)	A	Dividend	K	T					
15. Dodge and Cox International Stock (IRA)	A	Dividend	L	T					
16. Brokerage Account #1 Charles Schwab Money Market	A	Interest	K	T					
17. Brokerage Account #2 Charles Schwab Money Market (IRA)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Hellenic Telecommunications (Common) (IRA)		None	J	T					
19. IShares EAFE Index (IRA)	A	Dividend	K	T					
20. Swiss Helvetia Fund (IRA)	A	Dividend	J	T					
21. Brokerage Account #3 Charles Schwab Money Market (IRA)	A	Interest	M	T					
22. Liberty Media Class A (Common) (IRA)		None	J	T					
23. Starz (Common) (IRA)		None	J	T	Spinoff (from line 22)	01/14/13	J		
24. General Electric (Common) (IRA)	A	Dividend	K	T					
25. Spider Gold Trust (IRA)		None	J	T	Buy	02/28/13	J		
26.					Buy (add'l)	07/05/13	J		
27. Brokerage Account #4 Charles Schwab Money Market Account	A	Interest	J	T					
28. General Electric (Common)	B	Dividend	L	T					
29. Pfizer (Common)	C	Dividend	M	T					
30. Brokerage Account #5 Charles Schwab Money Market (IRA)	A	Interest	K	T					
31. Comcast Class A (Common) (IRA)	A	Dividend	K	T	Sold (part)	10/04/13	J	C	
32. Merck (Common) (IRA)	A	Dividend	K	T	Sold (part)	10/04/13	J	A	
33. Mylan (Common) (IRA)		None	K	T	Sold (part)	10/04/13	J	C	
34. Brokerage Account # 6 Charles Schwab Money Market	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35. Merck (Common)	A	Dividend			Sold	07/12/13	J		
36. Monsanto (Common)	A	Dividend	J	T	Sold (part)	03/27/13	J	B	
37.					Sold (part)	07/12/13	J	B	
38. Oakmark Fund	A	Dividend	K	T					
39. Mutual Beacon Fund	A	Dividend	K	T					
40. Mutual Discovery Fund	B	Dividend	L	T					
41. New York Times Class A (Common)	A	Dividend	J	T					
42. Calgon Carbon (Common)		None	J	T					
43. Walt Disney Company (Common)	A	Dividend	K	T					
44. Con Edison (Common)	B	Dividend	K	T					
45. NY Coll. Sav. Prog. Acc't #1 (Mod. Age- Based Income Portfol)		None	L	T	Buy (add'l)	12/26/13	J		
46. NY Coll. Sav. Prog. Acc't #2 (Mod. Age- Based Income Portfol)		None	J	T	Sold (part)	03/08/13	J	B	
47.					Sold (part)	08/04/13	J	B	
48. U.S. Savings Bonds, Series EE	A	Interest	K	T	Redeemed (part)	05/28/13	J		
49. Fidelity Municipal Income	A	Dividend	J	T					
50. Fidelity New York Municipal Income	A	Dividend	J	T					
51. Vanguard Global Equity Fund (IRA)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Vanguard Wellington Inv () IRA)	A	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 51 -- in the NY College Savers Account #2, holdings in the Moderate Age-Aged Conservative Growth Portfolio were converted by Trustee in 2013 to the Moderate Age-Based Income Portfolio

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Debra C. Freeman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544